

THE UNIVERSITY OF EDINBURGH

**BUSINESS FOR MEETING OF THE UNIVERSITY COURT
to be held in room G.01, Veterinary Teaching Building, Easter Bush
on Monday 14 May 2012 at 2.00 p.m.**

A buffet lunch will be available in The View, Veterinary Teaching Building, Easter Bush from 1.00 p.m.

This meeting of Court will be preceded by a presentation by Professor David Argyle on the activities of the Royal (Dick) School of Veterinary Studies.

A FORMAL BUSINESS

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B PRINCIPAL'S BUSINESS

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| 3. Quality Report: Report of the 2011 Enhancement-Led Institutional Review; Scottish Funding Council Update on Quality Arrangements | D3 |
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UNIVERSITY OF EDINBURGH

MINUTE OF A MEETING *of the University Court of the University of Edinburgh held in the Raeburn Room, Old College, on Monday 20 February 2012.*

Present: Rector (in chair)
 The Principal
 Sheriff Principal E Bowen
 Mr A Johnston
 Professor A M Smyth
 Mrs M Tait
 Dr M Aliotta
 Professor J Ansell
 Professor D Finnegan
 Professor A Harmar
 Professor S Monro, Vice-Convener
 Professor J Barbour
 Dr C Masters
 Mr M Murray
 Mrs E Noad
 Ms A Richards
 Mr D Workman
 Mr D Brook
 Mr M McPherson, President Students' Representative Council
 Mr M Williamson, Vice-President Students' Representative Council

In attendance: Ms S Beattie-Smith, Rector's Assessor
 Senior Vice-Principal Professor N Brown
 Vice-Principal Professor J Haywood
 Vice-Principal Professor D Miell
 Vice-Principal Professor L Yellowlees
 Vice-Principal Professor M Bownes
 Vice-Principal Professor D Hounsell
 Dr K Waldron, University Secretary
 Mr N Paul, Director of Corporate Services
 Dr I Conn, Director of Communications and Marketing
 Dr A Cornish, Deputy University Secretary and Director of Planning
 Mr A Currie, Director of Estates and Buildings
 Mr J Gorringe, Director of Finance
 Ms S Gupta, Director of Human Resources
 Ms K Hood, Head of Widening Participation
 Ms F Boyd, Principal's Policy and Executive Officer
 Dr K J Novosel, Head of Court Services

Apologies: The Rt Hon G Grubb, Lord Provost of the City of Edinburgh
 Mr P Budd

Court received a presentation on widening participation from Vice-Principal Professor Mary Bownes and Ms Kathleen Hood, Head of Widening Participation.

A FORMAL BUSINESS**1 MINUTE OF THE MEETING HELD ON 12 DECEMBER 2011****Paper A1**

The Minute of the meeting held on 12 December 2011 was approved as a correct

record.

Court further noted that this would be the last meeting attended by Mr Iain Macwhirter as Rector and Court warmly thanked Mr Macwhirter for his commitment and service to the University and wished him well for the future.

2 MINUTE OF MEETING OF COURT SUB-GROUP HELD ON 26 JANUARY 2012 Paper A2

The Minute of the meeting of the Court Sub-Group held on 26 January 2012 was noted and approved as a correct record.

3 STUDENT AND STAFF SOCIAL PROVISION AT KING'S BUILDINGS Paper A3

The outcome of a feasibility study for a new nursery to be located at King's Buildings was noted and welcomed by Court. It was further noted that this would be considered at the next meeting of the Estates Committee and thereafter reported to Court with the new facility anticipated to be opened at the end of 2013.

Court further considered the position on catering facilities for students and staff at King's Buildings provided by EUSA and by Accommodation Services. The proposals by Accommodation Services to provide new facilities was noted and that there would be a short gap between the closure of some current facilities and the opening of new facilities; EUSA confirmed that it would be pleased to monitor the position on behalf of Court. Court further noted that EUSA would be submitting requests for support from the University as part of this year's planning round to enable it to take forward proposals for its catering outlets at King's Buildings.

4 RECTORIAL ELECTION 2012 Paper A4

Court noted the Mr Peter McColl had been declared Rector with effect from 1 March 2012 following an uncontested election.

5 RECTOR'S ASSESSOR Paper A5

Court welcomed the appointment of Ms Sarah Beattie-Smith for a further term of office as Rector's Assessor with effect from 1 March 2012.

B PRINCIPAL'S BUSINESS

1 PRINCIPAL'S COMMUNICATIONS Paper B1

Court noted the items within the Principal's report and the additional information on: the very successful visit to India; student application figures; the report on the governance review undertaken on behalf of the Scottish Ministers; Mr Gorringer's decision to demit office and the arrangements to recruit a new Director of Finance; the decision with respect to the Bongo Club; the outcome of the recent Enhancement-Led Institutional Review (ELIR); and various visits by senior UK and Scottish politicians.

2 DESIGNATION OF VICE-PRINCIPALS Paper B2

On the recommendations of the Principal, Court approved the following:

Vice-Principal External Engagement Professor Mary Bownes to be appointed Senior Vice-Principal with effect from a date yet to be determined later this year.

To commence an internal recruitment process in late Spring for the position of Vice-Principal Planning, Resources and Research Policy (0.5 FTE).

To commence an internal recruitment process in late Spring for the position of Vice-Principal Academic Enhancement. Court further approved the proposal to designate Professor Hounsell as an Honorary Fellow (0.2FTE) on his retiral to enable Professor Hounsell to continue his sterling work in supporting the student experience.

To amend when appropriate the authorised deputies in the absence of the Principal schedule as set out in the paper.

C SUBSTANTIVE ITEMS

1 REPORT OF THE FINANCE AND GENERAL PURPOSES COMMITTEE

Professor Monro presented the papers previously circulated.

Report of the Central Management Group meetings of 25 January 2012

Paper C1.1

Court approved the revised Terms of Reference of the Health and Safety Committee as set out in the paper and welcomed the update on the shared academic timetabling project noting the process undertaken to mitigate risks by developing a timetabling policy prior to the implementation of a new shared timetabling system.

Report on Other Items

Paper C1.2

Court ratified the tuition fee for nursing undergraduate training for RUK-domiciled students for 2012/2013 only which had been requested by the Scottish Government and endorsed the approach being taken in respect of the potential insurance liability as set out in the paper. Assurances were provided on the systems now in place to appropriately retain documents. It was welcomed that the US GAAP Accounts had been submitted according to timetable and Court thanked all those involved in ensuring that this had been achieved. Court further welcomed the purchase of an upgrade to the BlueGene computer and the ability of the University to act expeditiously on such funding opportunities.

2 REPORT OF THE REVIEW OF HIGHER EDUCATION GOVERNANCE IN SCOTLAND **Paper C2**

The Rector declared an interest as he had been a member of the Panel which had prepared the report.

It was noted that the report prepared by the panel tasked with the review of higher education governance had now been published and that it set out a number of recommendations which were to be taken forward in discussion and consultation with the sector. The Rector delivered a statement to Court on the principles which had informed the discussions of the panel and the preparation of the report.

There were diverse opinions expressed on the various recommendations set out in the report. It was noted that while Court was already complying with a number of these recommendations, in respect of others, it would be helpful to enter into a constructive dialogue to better understand the underlying issues to enable an appropriate response. Court approved the appointment of a Sub-Group of Court, chaired by the Vice-Convener with the other members being: Dr Masters, Professor Smyth, Professor

Ansell, the EUSA President and the University Secretary to take this forward and to formulate a University position particularly giving consideration to the recommendation on a code of good governance. Court further agreed that the Sub-Group may wish to seek legal opinion on certain matters and that it should take cognisance of the views of Senate in respect of the recommendations relevant to the operations of Senate and to academic freedom.

3 NEW STRATEGIC PLAN – PROGRESS REPORT Paper C3

Court noted progress to date and that the first consultation phase had now been completed with comments on the structure of the new Strategic Plan having been received from across the University including through staff focus groups which had been particularly well received. There was discussion on the key points arising in respect of the strategic goals, enablers and strategic themes and Court was supportive of the proposed structure with certain previous themes now being embedded within the Plan. It was suggested that the structure table could perhaps be better laid out and that it would be more appropriate for the title of the engagement strategic theme to be ‘engagement with our community’. The timeline to take forward the development of the Strategic Plan was endorsed.

4 REPORT FROM ESTATES COMMITTEE Paper C4

The various recommendations as set out in the paper were approved by Court including the level of expenditure on utilities as set out in appendix 3. Court noted the position and challenges in respect of the maintenance programme and fully endorsed the recommendation to reallocate funds of £1.3m to the major maintenance programme which had previously been put aside to settle the Cramond disposal dispute. Court further approved the proposals regarding the disposal of the Chapel Street property.

5 UNIVERSITY OF EDINBURGH REGENTS Paper C5

Court welcomed the proposals to take forward the new themed fundraising approach which would be managed through existing processes within Development and Alumni and with the assistance of key individuals to be appointed as Regents. Court further was content to ratify the list of Regents on an annual basis and that the current members of the University’s Campaign Board and the University’s USA Development Trust should be invited to be Regents if they so wished.

6 ENHANCING STUDENT SUPPORT PROJECT Paper C6

The establishment of this Project and its principal areas of focus were fully endorsed by Court. In particular Court welcomed the new Personal Tutor scheme and the range of structures and measures which would be put in place to improve student support, parts of which would be implemented during the 2012/2013 academic year. Assurances were provided on the effective management of HR issues in taking forward the Personal Tutor scheme including where appropriate the involvement of the Staff Committee.

7 ANDREW GRANT SCHOLARSHIP FUND – UPDATE REPORT Paper C7

Court noted the current position in respect of taking forward the split of ECA endowment funds currently pooled within the Andrew Grant Scholarship Fund and was content to delegate progression of this matter, including confirming the split and the lodging of the appropriate documentation with OSCR, to the Director of Finance. Court further noted that this delegation was subject to any adjustments required as a

result of the work of external audit and that in particular there was an historical anomaly which had recently come to light which could impact on the value of the funds. Court further noted the revised administration arrangements in respect of the Andrew Grant Bequest.

8 REF CODE OF PRACTICE Paper C8

The transparent approach to taking forward equality and diversity considerations in dealing with selection of staff for the REF submission was welcomed by Court. The approval process for the Code of Practice was noted and Court approved the current version as set out in the paper and delegated to Senior Vice-Principal Professor Brown and the Director of HR authority to amend the Code in light of feedback from Hefce with the final Code being presented for ratification to the Court meeting on 2 July 2012.

9 DEACONESS HOUSE PROPOSAL Paper C9

Professor Barbour declared an interest as Chief Executive of NHS Lothian.

Court considered the potential investment opportunity as set out in the paper, noted that the Investment Committee was considering taking this forward as a strategic investment and that in accordance with the terms of reference of the Committee it could invest up to 10% of the total value of the endowment fund in property. Court further noted the proposal to discuss this as a possible investment opportunity for SBS with the Trustees of SBS and dependent on the outcome of discussions at the Investment Committee and by SBS Trustees there may be an opportunity to invest University funds up to a value of £12.5m.

Various issues and concerns were raised in regards to the proposal and Court asked, given the time constraints, that a Sub-Group of Court be convened to review the outcome of all aspects of the due diligence exercise and to consider this proposal further on its behalf. It was agreed that the Vice-Convenor of Court should chair this Sub-Group and that Dr Masters and Mr Paul should be the other members.

D ITEMS FOR FORMAL APPROVAL OR NOTE

1 ACADEMIC REPORT Paper D1

Court noted the report from the Senate meeting on 8 February 2012 and on the business conducted by the electronic Senate of 17-25 January 2012.

2 RESOLUTIONS Paper D2

Court approved the following Resolutions:

Resolution No. 1/2012: Foundation of a Personal Chair of International Health and Molecular Medicine

Resolution No. 2/2012: Foundation of a Personal Chair of Politics and International Relations

Resolution No. 3/2012: Foundation of a Personal Chair of Biopolitics

3 NAMING OF BUILDING Paper D3

Court approved the proposal to name the new King's Buildings Library the Noreen and Kenneth Murray Library.

The University of Edinburgh

The University Court

14 May 2012

Court Seminar – 26 March 2012

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

Attached are the informal notes of the Court Seminar held on 26 March 2012.

Action Requested

Court is invited to note the content of the paper.

Risk Assessment

None directly.

Equality and Diversity

Where applicable, noted in the paper.

Freedom of Information

Can this paper be included in open business? No

Its disclosure would substantially prejudice the commercial interests of any person or organisation.

Originator of the paper

Dr Katherine Novosel
Head of Court Services

March 2012

14 May 2012

Principal's Report

These communications are grouped into international, UK and Scottish developments, followed by details of University news and events:-

International

Office of the Americas

Dalinda Perez Alvarez Rodriguez has been appointed as Director - Office of the Americas. The Office will be based in Sao Paulo at the Centro Brasileiro Britânico and further strengthens the University's strategic network of overseas offices which includes China and India. The new Office will ensure that we are strongly positioned to develop and support our partnerships across academia, business and industry in Latin America. The initial focus will be to support our partnerships across Mexico, Brazil, Chile and Colombia and provide intelligence and support for staff across the University who are seeking to develop their collaboration with the region.

China

In April, Vice President Li Yansong of Peking University and I signed an agreement in London that will establish a National China Research Centre at Edinburgh and a National UK Research Centre at Peking. The creation of these new centres will allow increased academic co-operation in a range of subject areas, including economic, political and cultural fields of study. In addition, it will offer PhD and Masters students the chance to spend time at the partner institution.

The agreement was signed in the presence of Chinese State Councillor Mme Liu Yandong, Yuan Guiren, Chinese Minister of Education, Wang Zhigang, Chinese Vice Minister of Science and Technology and David Willetts MP, Minister of State for Universities and Science.

The President of Zhejiang University visited in February to discuss ongoing collaborative research activity; a second symposium in neurobiology & stem cells; biomedical science teaching; staff exchanges/visiting professorships; summer schools and collaboration in engineering.

India

Professor Sue Welburn, Assistant Principal Global Health, attended an India Innovation Roundtable in New Delhi in April. This was an opportunity to discuss the planned UK-India Education and Research Initiative activities around Innovation Universities and Innovation Centres of Excellence.

Taiwan

A signing Ceremony to designate the University of Edinburgh a Taiwan Academy Contact Point was held in February, attended by Mr. Steven Chu, Director General, Taipei Representative and members of the Taiwanese community in Edinburgh.

Korea

Vice Principal International participated in a Higher Education Mission to Korea in March, organised by British Embassy Seoul and British Council Korea. Briefings were informative and included a

round-table event at the British Embassy, Seoul, attended by the Deputy Prime Minister and South Korean university leaders, providing insight into the potential that exists to increase student mobility between the UK and South Korea.

Turkey

Vice Principal International accompanied a Ministerial delegation to Turkey in April, led by the Rt Hon David Willetts, Minister for Universities and Science. The aim of the mission was to promote cooperation, innovation and investment through the development of bi-lateral ties between higher education institutions, industry, science and innovation bodies in the two countries.

Russia

Alan Mackay, Director International Office, Professor Andrew Scott, Dean International Europe and Dr. Lara Ryazanova-Clarke, Academic Director, Princess Dashkova Russian Centre, visited Russia in March 2012 to further inform the University's forward engagement in the region. The key objectives were to identify new opportunities for engagement and to meet with established partners to discuss joint areas of interest.

The delegation visited the prestigious National Research University Higher School of Economics, Lomonosov Moscow State University, St Petersburg State University of Finance and Economics, Smolny College and St Petersburg State University. Brief meetings were also held with the Russkiy Mir Foundation, the British Council Russia, Scotland Development International Russia and the British Consulate General St Petersburg.

Middle East

In April, a delegation of fourteen Arab League ambassadors to the UK visited the University as part of an official trip to Scotland. Topics discussed included the significant contribution Scottish Arabs make to the civil, cultural and political life of Scotland, year abroad schemes in Arab countries, and options to develop further the University's links with a rapidly changing Arab world. The event was jointly organised by the Scottish Arab Federation and the Alwaleed Centre for the Study of Islam in the Contemporary World at the University of Edinburgh.

Asia Scottish Institute

In March the University hosted a briefing and reception on a proposed Asia Scottish Institute, a concept initiated by Roddy Gow, former Chief Executive of Asia House in London who believes that there is a need to create an organisation in Scotland with a Mission "to educate and inform the people of Scotland about the Asian region, extending from the Gulf in the West to Japan in the East". By attracting globally known speakers and performers, its activities should impact Asia Scottish relations and improve business and cultural connections at a time when doing so is particularly important.

International Visits to the University of Edinburgh

- Trinity College Dublin
- Zhejiang University, People's Republic of China (PRC)
- Taiwan Academy, Republic of China
- Tanzania High Commissioner
- Waseda University, Japan
- Japan Advanced Institute of Science and Technology
- British University in Dubai
- Osaka University, Japan
- Hong Kong Undersecretary for Education and Europe

- Renmin University of China
- Dalian University of Technology, PRC
- Ambassador for Thailand and delegation
- University of New South Wales
- University of Sydney
- UK Brazilian Ambassador
- Arab League Ambassadors
- Shandong Province delegation, PRC
- Representative of Kazakhstan Embassy London

Related meetings

During a period of study leave based at Stanford and Berkeley in early March, I gave a number of presentations and held very productive meetings with academic staff and PhD students across campus. I also had positive talks and meetings with executives at both Google and Oracle. The activities have resulted in a number of leads and developments for colleagues across the University.

I took part in the League of European Research Universities (LERU) 10th Anniversary conference in Barcelona in early May and chaired a number of assessments for the German equivalent of REF, the Excellence Initiative.

UK

HE Pay Negotiations 2012-13

UCEA and the trade unions recently met for the second round of talks for the pay negotiations for 2012-13. The unions' joint claim includes a 7% increase on all pay points. The claim also contains five additional elements, including seeking a commitment to a 'Living Wage' and equality issues.

The employers and unions discussed details of the claim alongside the ongoing challenges and uncertainties facing the sector. Employers explained why the claim of 7% was not achievable in light of sustainability for HEIs with the cost amounting to a £1bn increase on the HE pay bill.

Against this background, the employers invited the trade unions to consider an improved offer of 0.8% on all points on the JNCHES pay spine. For approximately 40% of staff this is in addition to an incremental pay rise they will receive this year.

Tax Relief on Charitable Giving

The University is fully supportive of a number of lobbying activities that are taking place in response to the plans, announced by the Chancellor of the Exchequer, the Right Honourable George Osborne MP, to place a cap on income tax reliefs at a level of £50,000 or 25% of a person's income. Many universities, including our own, are concerned that the measure, announced in the Budget in March, will have a significant and detrimental effect on universities' ability to generate significant philanthropic donations from a small number of wealthy individuals.

Scotland

UKBA Compliance Visit

Representatives from the UKBA recently visited the University as part of an annual assessment of the University's Highly Trusted Sponsor (HTS) status. We have subsequently been advised that our HTS

status has been renewed and I would like to thank colleagues for the work involved in preparing for this visit and the ongoing co-operation of all staff in complying with UKBA matters.

University Admissions

Court members may be aware of recent media coverage that has focussed attention on the admissions policies.

With regard to the balance of Scottish/EU students as oppose Rest of UK applicants receiving offers. The University has made more offers to applicants living in the rest of the UK this year than in previous years although it is worth noting that we have also made considerably more offers to applicants living in Scotland. The reason is that we are seeking to achieve a sustainable balance of our overall student numbers across the four years of undergraduate study. A large 4th year cohort is leaving this summer and, having had relatively small intakes in 2010 and 2011, we need to increase the number of entrants this autumn. This increase has been planned for some time and would have happened irrespective of any changes in the funding or fees situation. As Court will be aware the number of Scottish/EU students we are permitted to recruit is restricted by the SFC and in order to balance the student population, we will, as usual, recruit RUK and international students.

The situation with regard to the fee status of applicants who are domiciled in Northern Ireland who also hold an Irish passport is one that affects all Scottish HE Institutions and has also received much media coverage. The University is currently of the view that an applicant in this situation who is domiciled in RUK or the European Economic Area for the past three years, and with dual UK/EU nationality, is entitled to have their tuition fee status determined on the basis of their EU nationality, provided they can provide documentary evidence of this status.

Bongo Club

The University continues to work with representatives from the Out of the Blue Arts Organisation over the future of their operations, which include the Bongo Club, following the termination of their lease in Moray House. Court will recall that this is due to the development of a new and improved location for the currently dispersed functions of the Office of Lifelong Learning. Angus Currie has met with representatives from the City of Edinburgh Council and continues to provide what support is available to us to aid Out of the Blue with their search for new premises.

EUSA Teaching Awards

I was very pleased to join the Rector at the ceremony for the 4th Annual EUSA teaching awards and also to present one of the awards. The awards are a fantastic way to honour excellence in teaching across the University.

This year the winners were:

- Best Feedback - Graeme Trousdale (Linguistics & English Language)
- Developing Student Employability - Susan Kemp (Film, Performance & Media Arts)
- Best Director of Studies - Dimitra Politi (Economics)
- Best Postgraduate Tutor - Charity McAdams (English Literature)
- Best Research or Dissertation Supervisor - Mary Ho (Business)
- Kendell Award for Teaching in Medicine - Jamie Davies (Biomedical Sciences)
- Award for Teaching in the Vet School - Graham Pettigrew (Preclinical Veterinary Science)
- Campbell Award for Teaching in the Humanities & Social Sciences - Hector MacQueen (Law)
- Simon van Heyningen Award for Teaching in Science & Engineering - Kristel Torokoff (Physics & Astronomy)
- Best Course - Pioneers of Cultural Communication: Europe, India & Japan (History)

- Best Department - English Literature
- Innovative Teaching Award - Fumiko Narumi-Munro (Japanese)
- Supporting Students' Learning Award - Edyta Monika Hunter (Psychology)

Related meetings

The University hosted a conference for Scottish Renewables in March at which I was pleased to deliver the Welcome Address and at which the First Minister gave the Keynote Speech. The First Minister also presented the Saltire Prize Medal to Dr Richard Yemm of Pelamis Wave Power an alumni who founded the company while at Edinburgh in 1998.

During March I gave evidence to the Higher Education Commission who are currently conducting an inquiry into Post Graduate Education and also contributed to a forum chaired by Sir John Beddington into strengthening the links between academia and Government.

University News

Lord Puttnam, who made the Academy Award winning film Chariots of Fire about Eric Liddell's triumph at the 1924 Olympics, gave a very informative and entertaining talk at the University on Thursday 3 May on Eric Liddell: All of Life is a Race.

The University marked **International Women's Day** with a lecture by novelist Philippa Gregory who took an amusing look at the challenges women may face in their life and career. Dr Gregory, who achieved a PhD in 18th century literature at Edinburgh in 1985, is recognised as an authority on women's history and a regular contributor to TV and radio as well as international newspapers.

The University has been chosen to co-host the final **Olympic preparations** for Team GB's swimming squad this summer. From 18 to 24 July all our Olympic swim stars will be in Edinburgh to complete their competition preparations. They will train at the recently refurbished Royal Commonwealth Pool, with their strength and conditioning work taking place at the University's Pleasance complex.

The Roslin Institute at the University of Edinburgh has earned recognition for its commitment to the career development of female staff. The research centre, which is strategically supported by the BBSRC, has been granted the bronze Athena Swan award.

Research in the News:

- New technique could predict heart attacks - A new imaging method could help improve how doctors predict a patient's risk of having a heart attack. University scientists, funded by the British Heart Foundation, have combined different scan techniques to look at the disease process in coronary arteries that lead to heart attacks. The research, carried out in collaboration with the University of Cambridge, looked at the use of PET scans and CT scans.
- University astronomers have helped to gather evidence of a supermassive black hole shredding a star. Supermassive black holes, weighing millions to billions times as much as the Sun, lurk in the centres of most galaxies. These lie quietly until an object, such as a star, wanders close enough to get ripped apart by their powerful gravitational forces.
- University scientists have found that low testosterone levels are linked to a resistance to insulin, the hormone that controls blood sugar levels. The study is the first to directly show how low testosterone levels in fat tissue can be instrumental in the onset of Type 2 diabetes.
- Experts from the University's Royal (Dick) School of Veterinary Studies are working with the Animal Health Trust to identify the cause of a neurological condition that has been

affecting Scottish cats during the last decade. The cats are believed to have a slowly-progressing neurological disease, with symptoms that include an odd walking gait with a stiff, extended tail. This strange movement has seen the cats dubbed as 'robotic cats'.

- Scotland's first mass participation documentary film project, Northern Lights, has been launched by a University academic. Award-winning Scottish filmmaker Nick Higgins, a senior lecturer in visual and cultural studies, is asking people to pick up cameras or mobiles and share videos about their lives, hopes and dreams over the next three months. The film project has been unveiled by, Culture Minister Fiona Hyslop, TV personality Sanjeev Kohli and Iain Munro Director of Creative Development from Creative Scotland.

External Recognition:

- Vice Principal Professor Sir John Savill, has been awarded an honorary fellowship of The Royal College of Surgeons of Edinburgh in recognition of his significant contributions to medicine.
- Physicist Professor Peter Higgs received the 2011 Edinburgh Award - the award honours individuals who have had a significant impact on the city and brought it to prominence.
- Two University researchers have been made Fellows of the Royal Society, Alan Bundy, Professor of Automated Reasoning, and Andrew Miller, Professor of Systems Biology, have both joined the Royal Society's prestigious Fellowship.
- The University's procurement team won *Team of the Year* at the Government Opportunities (GO) Excellence in Public Procurement Awards Scotland 2011/12. The Award recognises teams which have delivered on collaboration, innovation, best practice, and showed a real sense of team ethic.
- Edinburgh University Students' Association (EUSA) have also been winning awards recently. EUSA picked up two awards at the National Union of Students' Scotland conference – the Community Relations Award and Societies Coordinator, Lizzie Brough, received the prize for Student Union Staff Member of the Year. EUSA have also won the coveted gold standard in the National Union of Students' Green Impact Students' Union (GISU) Awards. This is the fourth year running that EUSA have achieved gold and they also took second place in the overall UK rankings, out of the 105 other unions that took part.

The University of Edinburgh

The University Court

14 May 2012

**Report of the Finance and General Purposes Committee
(Comments on the Report of the Central Management Group's meetings of 7 March
and 18 April 2012)**

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

This paper comprises the Report to the Finance and General Purposes Committee at its meeting on 30 April 2012 from the Central Management Group of its meetings of 7 March and 18 April 2012. Comments made by the F&GP Committee are incorporated in boxes within the report at relevant points.

Action requested

The Court is invited to approve the Climate Action Plan 2012 and the Biodiversity Policy at appendix 3 and to note the remaining items with comments as it considers appropriate.

Resource implications

As outlined in the paper.

Risk Assessment

As outlined in the paper.

Equality and Diversity

As outlined where appropriate in the paper.

Freedom of information

Can this paper be included in open business? Yes except for those items marked closed.

Originators of the paper

Dr Alexis Cornish
Dr Katherine Novosel
May 2012

Central Management Group

7 March 2012

1 NURSERY FACILITY

It was noted that there had been media interest in the future of Uni-Tots Nursery, a facility run within the Department of Psychology and used to support research and teaching within the department as well as nursery provision. Although no formal decision had yet been taken, there had been discussion on the continuing requirement for this facility within the Department and this issue was being taken forward as part of the overall discussion on future nursery provision within the University. Assurance had been given that the Uni-Tots Nursery would not close until alternative provision had been made.

The Committee noted that discussions were on going regarding the future of the Nursery within the Department of Psychology and that assurance had been provided to those affected that the nursery would not close until alternative provision had been identified. It was confirmed that further information would be presented to the next meeting of the Estates Committee on proposals for nursery facilities.

2 REPORT FROM FEES STRATEGY GROUP

CMG approved the proposals in respect of: tuition fees for 2013/2014 for undergraduate, taught postgraduate and research postgraduate programmes, that those PGT programmes currently on standard fees or at points 0 or 1 on the PGT fee spine be reviewed by Colleges; Academic Registry fees for 2013/2014; tuition fees for selected programmes in CHSS and MVM for 2012/2013 and 2013/2014; revised streamline process to approve tuition fees; and continuation of the current policy for withdrawals by SLC and self-funded students.

3 REPORT FROM SUSTAINABILITY AND ENVIRONMENTAL ADVISORY GROUP (Appendix 1)

The SEAG report was noted. In particular, the 2012 updated Climate Action Plan was endorsed by CMG for onward transmission to Court, noting the University's difficulties in achieving the year on year reduction in carbon emissions given the increases in the estate and University activity. CMG further noted that there may be some conflicts in fully supporting the ethos of the Food for Life Project while being supportive of the healthy eating aspects. CMG further noted the achievements set out in the Social Responsibility and Sustainability Highlights Report 2010/2011.

The work of the Sustainability and Environmental Advisory Group was noted as were the challenges to the University in taking forward carbon emission targets.

4 REPORT OF STAFF COMMITTEE (Appendix 2)

CMG noted the report welcoming in particular the establishment of an Advancing Gender Equality Steering Group. In terms of the staff appeals against dismissal process, CMG noted the number and outcome of appeals lodged since its last meeting.

There had also been discussion with union colleagues on union consultation in taking forward major projects such as the shared timetabling project. CMG agreed that the current guidance on project management should be reviewed and that provision for engagement, where appropriate, with unions should be included.

5 DRAFT BIODIVERSITY POLICY (Appendix 3)

The draft Biodiversity Policy was endorsed by CMG and recommend to Court for approval.

The Committee further endorsed the Biodiversity Policy and recommended its approval to Court.

6 RENAMING OF SCHOOLS

CMG approved the proposal to rename the School of Clinical Sciences and Community Health to the School of Clinical Sciences and to rename the School of Molecular and Clinical Medicine to the School of Molecular, Genetic and Population Health Sciences; both with effect from 1 August 2012.

7 OFFICE OF THE AMERICAS

CMG was pleased to note the appointment of Dalinda Perez Alvarez Rodriquez as Director of the new Office of the Americas; the Office is to be situated in Sao Paulo and will be launched later this year.

Central Management Group

18 April 2012

1 UPDATED GUIDANCE ON SEVERANCE ARRANGEMENTS (CLOSED)

2 EQUALITY AND DIVERSITY MONITORING AND RESEARCH COMMITTEE REPORT (Appendix 4)

CMG welcomed this important document and acknowledged that the data on staff was based on information disclosed and that there may be under-reporting; there was to be a new staff survey to update the information currently held.

The Committee noted the report from the Equality and Diversity Monitoring and Research Committee and the continuing efforts to address the current under representation of women in higher academic and professional support grades.

3 HEALTH AND SAFETY REPORT (Appendix 5)

CMG noted the report and that a growing number of the significant accidents appeared to be related to personal awareness of safety issues. The proposal to introduce a programme of behavioural safety workshops was considered an appropriate way forward. CMG congratulated the H&S department on being awarded the British Safety Council International Safety Award for 2012 with merit for the

second year running.

The Committee asked if information could be provided in the future on near misses, particularly where these had implications for the wider University community.

Report from Sustainability and Environmental Advisory Group (SEAG)

1. *The Climate Action Plan – 2012 Update*

The SEAG Ops Group meeting held on 13 January 2012 and the full meeting of SEAG on 8 February considered the Climate Action Plan Update. Please see the report (as amended following these meetings) at Appendix A.

SEAG reviewed the actions taken since Court signed the Universities and Colleges Climate Commitment for Scotland in December 2008 and adopted the Climate Action Plan in May 2010.

The group recognised the challenge of achieving absolute carbon emissions reductions at a time of expansion in activities, but remained committed to a 3 percent per year reduction target.

Due in part to the continued growth in turnover, students and activities – together with the increasing energy intensity of some aspects of ground-breaking research activity – the target was not achieved in the last year.

However, relative performance indicators – against floor area for our growing laboratory estate and against turnover, which reflects increasing research activities – show real improvements.

[See Table 1 and Fig.3.]

A lively discussion raised questions about whether sufficiently ambitious and stretching energy efficiency targets were being implemented in the major infrastructure projects to ensure a reduced exposure to the escalating unit costs of fuel and carbon taxes.

Examples were quoted of more ambitious low energy standards applied in peer institutions in North America and in Sweden, Switzerland and Germany. It was suggested that even if funding would not always allow full implementation, those planning and implementing changes should be aware of these possibilities.

SEAG **noted**:

- the recent successes in achieving recognition for new build and refurbishment to prevailing building standards for energy efficiency
- that ground-breaking research activity – especially in modern laboratory buildings – was increasing energy usage and making it difficult for the University to meet the 3 percent / year carbon emission reduction target
- the Scottish Government's economic growth driver for the sector which results in a greater carbon footprint from extra activities and additional buildings.

The Convener agreed to discuss the wider challenge with colleagues in the Universities Scotland network as opportunities arise, as this economic growth versus lower carbon conflict will be relevant at several institutions.

The Director of Estates and Buildings agreed to refer the issue of energy standards to the Estates Committee.

SEAG **endorsed** the report and requested that inclusion of positive achievements since signing the Climate Commitment be incorporated in a revised version of the report.

The group **agreed** that the Climate Action Plan Update, as amended, be forwarded to CMG for endorsement and onward transmission to Court and submission to EAUC for incorporation into a larger progress report for SFC.

Please see the report at Appendix A.

CMG is invited to **endorse** this report from the SEAG meeting of 8 February 2012 and transmit the Climate Action Plan Update 2012 forward to F&GPC and Court.

2. **LiFE Index**

A briefing paper provides a short introduction to the LiFE Index, which was developed over 2010-11 as a successor to Universities that Count. The aim of the project – funded by all four Funding Councils and managed by the Environmental Association for Universities and Colleges (EAUC) – is to provide:

“a performance improvement system developed to help institutions to manage, measure, improve and promote their social responsibility and sustainability performance”.

SEAG **endorsed** further work to see how the University might best benefit from participating in the Index. See the summary introduction to the LiFE Index at Appendix B.

3. **Food for Life Edinburgh Pilot Project**

The Food for Life Edinburgh Pilot Project is a collaborative initiative planned with NHS Lothian and the City Council to establish robust mechanisms to assist in establishing local food supplies for freshly prepared seasonal food in large institutional settings.

A bid for funding to support employment of a Food for Life Supply Chain Coordinator has been submitted to Scottish Government and further a research bid to the Edinburgh & Lothians Health Foundation for monitoring and evaluating the pilot has been submitted. The Government grant is dependent on some matching contribution from the project partners and NHS Lothian and the City Council have undertaken to contribute £8,000 each for three years commencing April 2012.

SEAG **commended** the initiatives promoting sustainability and fair trade in University catering – Paper 5.1 and **endorsed** participation in the Food for Life Edinburgh Pilot Project – Paper 5. 2 and **recommended** support for a funding bid for the project from central funds. See Appendix C.

4. **Learning for Change: Student’s Vision Manifesto**

This paper originated by a working group of students who have been discussing Education for Sustainable Development issues in a series of sessions during 2011.

Their manifesto has many aspects which impinge on how the academic process and purpose would be conducted and so will need to be considered elsewhere but it also relates to how our academic and practical management issues are aligned.

SEAG **noted** the paper will benefit from presentation to several other fora.

CMG is invited **note** the paper and that it will be able to inform the Strategic Plan. The manifesto is at Appendix D.

5. **Social Responsibility and Sustainability Highlights Report 2010/11**

SEAG received the Highlights Report for the past year of activities which provide a succinct summary of progress in delivering the wider ambitions set out in the SRS Strategy 2010-20.

The group recognised that the collected vignettes represent a considerable body of work submitted by many colleagues in the colleges and support groups and the convener said:

“I am delighted to see the diversity of progress we have made towards delivery of our Social Responsibility and Sustainability Strategy. It never ceases to amaze me how innovative and proactive our staff and students are and how successfully our new initiatives engage the University community, the city and the wider world.”

Copies of the SRS Highlights Report will be available at CMG and can be viewed online at www.ed.ac.uk/sustainability

David Somervell, Sustainability Adviser,
23 February 2012

Introduction

1. This paper summarises the key issues discussed and decisions reached at the meeting of Staff Committee held on 21st February 2012.

Matters Arising

Update from Strategic Plan Discussion on 11 January 2012:

2. Senior Vice-Principal Professor Brown provided the Committee with an update of progress on the development of the new Strategic Plan. He confirmed that the University's vision and strategic goals would remain unchanged from the previous Plan but the enablers would now be updated to reflect changing strategic drivers and would cover: People, Finance and Infrastructure. The underpinning People Strategy would be informed by the themes that emerged at the Special Meeting of Staff Committee held on 11th January 2012 and these would also be incorporated into the Strategic Plan. Professor Brown emphasised the importance and benefits of obtaining as much engagement with the University as possible and expressed how pleased he was at the really impressive level of interest that had been exhibited by staff through the focus groups and other discussions that have formed an integral part of the consultation process. He informed the Committee that a draft copy of the Strategic Plan would be sent to HR for comment after Easter. It would also be presented to Staff Committee for comment.

Main Agenda Items

Reward and Recognition Relative to the new Student Support Framework

3. Ms Miller introduced this paper. She explained that the new Student Support Framework, of which the Personal Tutor element was an integral feature, would be phased in over a three year period from September 2012. The purpose of the framework was to ensure the provision of high quality academic and pastoral advice to students. A detailed debate followed, which recognised that leadership was critical and the key purposes and principles needed to be clarified and agreed first, with reward and recognition then having a role in reinforcing the desired culture change.

4. The Student Support Implementation Group (SSIG) had responsibility for the successful planning and implementation of the framework. In its discussions, a number of issues had arisen on the issue of recognition and reward and on which the views of Staff Committee would be particularly helpful.

5. Staff Committee identified factors that were seen as important for the new framework to be implemented effectively across the University and concluded its deliberations by recommending that:

(i) the general expectation was at some point in their career all academic staff would be expected to be a Personal Tutor, this being a normal part of the job. In certain exceptional circumstances a line manager may determine that a member of staff may not yet have the skills to perform the tasks of a Personal Tutor effectively and in these situations, as with any other responsibility, such cases would be dealt with on an individual basis.

(ii) Annual Review would be the process through which effective performance in all aspects of one's role would be assessed, combined with day to day management

and relevant quality assessment processes eg student feedback. In relation to the student experience, as the University enhanced further its guidance on academic promotions, in particular, providing advice on how to evidence excellence in teaching, so too would there be information on how to evidence success in the Personal Tutor role.

(iii) the University's Contribution Policy should be used to recognise consistent and sustained excellence exhibited through either individual or team performance in relation to student support, as for other areas of academic excellence.

(iv) the determination of fair and equitable workloads for staff would be undertaken at School level to take account of different disciplinary needs.

(v) it is expected that the Personal Tutor role will be undertaken by staff at grade 8 level or above, as a person below the level of Grade 8 would be unlikely to have the necessary skills and experience to be a Personal Tutor. As always the allocation of duties is within the discretion of the Head of School.

6. It was agreed that the reward and recognition features considered by Staff Committee were dependent on a number of design factors of the new Student Support Framework and that absolute clarity was paramount for these elements of reward and recognition to be developed and finalised under the auspices of the SSIG and its relevant sub-groups.

Annual Review Framework Update

7. Ms Fraser presented this paper as developing the University's Annual Review guidance and policy statement and seeking Staff Committee's endorsement of this approach.

8. Professor Haywood raised the point that the quality of professional services work was vital in underpinning the University's academic goals and greater prominence needed to be given to the importance of the role of professional services staff in contributing to the University's success. Mr Paul highlighted the University's Leadership and Management Framework which set out in detail the competences that could be used to assess performance and recognise success in leadership and management by professional services staff across the University. It was agreed that more prominence would be given to this model. Further advice would be included on these points in future guidance and training provision.

9. Ms Fraser confirmed that the Annual Review Policy Statement, which set out the core principles and governance processes, applied now and there was no need for anyone to wait in order to use it in Annual Review meetings. There was general agreement that the use of a single form would be useful and that this should be made available immediately to stimulate activity. This being said, there was total consensus on the fact that what really mattered was the quality of the conversation than the need for form-filling. Ms Fraser added that there was currently a range of learning and development provision to support the effective implementation of Annual Review and that work was taking place to develop an on-line package. Professor Waterhouse recommended some form of launch of the Policy to also encourage participation.

10. The Committee commented on the importance of Annual Review as an integral part of REF discussions and the fact that such conversations should be on-going and held as necessary to provide support, guidance and direction to staff as they prepare

for REF. That is to say, meetings did not have to be limited to a single annual discussion and that good practice recommended on-going and regular meetings between staff and their line manager/reviewer.

11. It was noted that the University's current Code of Practice for the Management of Research Staff, which had been developed in advance of the University's new Policy Statement, needed to be aligned, whilst still upholding the principles of the Concordat.

12. The Committee also saw the need to evaluate the effectiveness of the process, for example, to what extent were Reviewers performing their role in a way that the staff member found to be valuable and useful? Equally, an audit of the effectiveness of the process would be most useful in supporting the University attain its goals. These suggestions would be taken forward by Ms Fraser and University HR Services.

Advancing Gender Equality Steering Group

13. Ms Fraser introduced this paper informing Staff Committee about the establishment of the Advancing Gender Equality Steering Group, setting out its role, remit and purpose. Staff Committee noted and approved these proposals including its reporting line to this Committee. Members welcomed Ms Fraser's suggestion to update Staff Committee on the work of this group at regular intervals.

Supporting Senior Staff Departures

14. Professor Haywood presented this discussion paper to raise the awareness of Staff Committee to new considerations which have come about as a consequence of the removal of the default retirement age. One such outcome is that has become more difficult for some staff to make decisions about when they retire. A positive discussion followed in which it was agreed that the changing legislative framework called for pragmatic and supportive approaches by the University when making strategic decisions about senior professional services staff roles. It was felt that the principle of fairness had to prevail and that decisions and proposals for managing change most effectively called for creativity within the bounds of internal mechanisms for exercising scrutiny, for example, the approval of voluntary severance had to satisfy clear and transparent criteria and be signed off by named University Officers.

15. The Convener thanked Professor Haywood for bring this subject to the Committee's attention and suggested that the wider issue of the impact of this new legislation be brought back at some point in the future to Staff Committee.

Relocation Support Service

16. Ms Edgar introduced this paper seeking the support of Staff Committee to set up a Relocation Support Service to support newly appointed staff and their families who had to relocate in order to take up their role at Edinburgh.

17. Staff Committee recognised that there could be real benefits in centralising the management of immigration processes, this was seen as a particular benefit if the University planned to seek Premium Highly Trusted Status, when this facility is made available by UKBA.

18. Members of Staff Committee discussed the concept of partner recruitment in some detail and there was strong support for providing a dual career service in terms of facilitating partners' search for employment opportunities and prepare their CVs, interview skills etc. However, members advised that caution should be exercised in any proposals to create jobs for partners or place partners in University jobs outside normal recruitment processes. It was agreed that the overriding principle had to be that of merit for all appointments. Clear protocols and transparent processes were key to the success of any system such as this. This initiative should be about attracting key skills into the University. A further consideration for the success of this venture was that the project would need to be well resourced to work efficiently and effectively. The timelines for implementation were seen as challenging and there were still lots of details to be worked through and finalised, but the phased approach contained in the paper was welcomed as a sensible and realistic way of introducing such a new idea.

19. The Committee therefore welcomed the proposal and, subject to the advice offered by members, regarded the concept as one that would be seen as attractive for new staff and their partners and could be valuable in positioning Edinburgh well to compete in a highly competitive global market.

Standing Item

Appeals Against Dismissal

20. From the last report the 2 outstanding cases have now been heard, neither have been upheld.

21. Currently, there are 7 appeals, 5 have been heard of which none were upheld.

Any Other Business

Next Meeting

The Convener asked if Staff Committee would be willing to change the date of the next meeting which is set for Tuesday 5 June, due to the Queens Diamond Jubilee holiday scheduled for Monday 4 June. This was agreed and a new date would be advised.

Draft Biodiversity Policy

The University of Edinburgh recognises that our owned and managed sites encompass a range of habitats and species, with many opportunities to conserve and enhance biodiversity.

We are committed to actively enhancing and promoting biodiversity on our grounds. Benefits include supporting biodiversity in our local neighbourhoods and region, enhancing the health and well-being of our staff, students, visitors and the wider community.

This policy will build upon established initiatives such as incorporating biodiversity learning in our taught masters programmes, our key research areas and our cross disciplinary work.

The Nature Conservation (Scotland) Act 2004 placed a duty on all public bodies to further the conservation of biodiversity within their premises. Our duties are further defined under the Wildlife and Natural Environment (Scotland) Act 2011 which requires us to report on progress to the Scottish Government.

Our Objectives

For the University of Edinburgh, actively managing biodiversity means:

1. Developing and maintaining an up to date list of species and habitats through the continued commissioning and periodic review of biodiversity surveys of key land holdings
2. Conserving and enhancing existing, and creating new, habitats
3. Maintaining excellent grounds management practices by Landscape staff and contractors
4. Keeping ecological enhancement opportunities at the forefront of decision making relating to major refurbishments and new capital project investments
5. Meeting or exceeding the requirements of legislation regarding biodiversity
6. Enhancing staff, students and the local community involvement in biodiversity issues where appropriate
7. Continuing to promote healthy living and well-being through use of outdoor amenity areas
8. Seeking funding and grants to facilitate our biodiversity aims and
9. Developing relations with neighbours and interested parties and sharing knowledge and resources relating to biodiversity.

Next Steps to be completed

The next steps will include developing an implementation plan that will embed awareness and learning of biodiversity throughout the University. This will enhance partnerships throughout the University, and with the wider community, encouraging a cross-disciplinary and strategic approach moving forward.

NB a website outlining ongoing activities will be maintained which bridges the practical and academic activities. Some of these are outlined in the attached briefing at Appendix A.

Example website www.ed.ac.uk/about/sustainability/on-campus/biodiversity/management

Appendix A: Background Briefing on Biodiversity at Edinburgh

The University has integrated promotion of biodiversity friendly practices through our long-standing Landscaping section led by John Turpin.

The proposed Biodiversity Policy simply builds on and codifies much existing activity and provides a framework for going forward in the hope that even greater use can be made of the wider estate as a learning and teaching and a research resource.

One example of longstanding stewardship is at the Bush Estate just south of Edinburgh. A healthy population of a rare species – the great crested newt – was found at Bush Pond in the 1990's. Limited monitoring after this meant that a decline in numbers went unnoticed until the mid-90's when more regular surveys suggested that there are only a few newts at the pond. Decline was probably due to the presence of fish and wildfowl, leaves and the loss of surrounding habitat.

In 2004, the Landscape section teamed up with Lothian Amphibian and Reptile Group (LARG) to restore the pond and re-establish favourable conditions. Work was done with an Animal Conservation Licence issued by Scottish Natural Heritage. The pond was drained in winter when the newts leave the pond to hibernate and leaves were cleared by hand.

The results were encouraging and the great crested newt population has recovered. See case study in Pt 2 of *Biodiversity on Campus - EAUC practical guide* at www.eauc.org.uk/biodiversity

Estates Operations

Estates staff are keen to improve the knowledge of biodiversity on our land holdings. In 2009, we commissioned Dr Barbra Harvie, a registered ecologist and staff member in the Centre for the study of Environmental Change and Sustainability (CECS) to undertake our first [Biodiversity Baseline Reviews \(BBR\) at the King's Buildings](#). Barbra then followed this up with the second [Biodiversity Baseline Review at Pollock Halls of Residence](#) in 2010. Others will now undertake the first phase of a Central Area review around George Sq – due to be completed in 2012.

In addition, for all major building projects – new build and refurbishment – Estates and Buildings commission site ecology surveys with recommended actions under the BRE Environmental Assessment Method (BREEAM) accreditation. Along with this improved understanding of the biodiversity of our land holdings, the Landscaping section have led the way by winning the Royal Horticultural Society Britain in Bloom **Sustainable Landscaping Award** for Pollock Halls in 2011.

Teaching and Learning

The University has increased the numbers of both undergraduate and postgraduate degrees which incorporate biodiversity into teaching and collaborate with city-wide partners. There are now in the region of ten taught masters programmes at the University that have biodiversity as an element, including a number of online distance learning courses. The online [MSc in Biodiversity, Wildlife and Ecosystem Health](#) – in partnership with the Royal Zoological Society of Scotland – provides students with an interdisciplinary approach to biodiversity. In addition, the [MSc in Biodiversity and Taxonomy in Plants](#) is run in partnership with the Royal Botanic Gardens in Edinburgh.

Research

The University is a partner in a number of world leading research programmes that promote knowledge sharing about biodiversity through various national and pan European partnerships.

Programmes include the European Biodiversity Observation Network, Volante: Visions of Land Use Transitions in Europe and CLIMSAVE Project. Several research centres and institutes have a focus on biodiversity including the Centre for Study of Environmental Change and Sustainability. In collaboration with the Forestry Commission, researchers at the School of Geosciences carried out a pioneering project on mapping forest structure for red squirrel habitat suitability in 2010.

Fleur Ruckley, Waste and Environment Manager Fleur.Ruckley@ed.ac.uk and John Turpin, Landscape Maintenance Officer, University of Edinburgh, John.Turpin@ed.ac.uk, February 2012



THE UNIVERSITY *of* EDINBURGH

GOVERNANCE AND STRATEGIC PLANNING

EQUALITY AND DIVERSITY MONITORING AND RESEARCH COMMITTEE (EDMARC)

THIRD REPORT EXECUTIVE SUMMARY

Introduction

The third EDMARC report provides analyses of student and staff data by the key equality dimensions of gender, age, disability and ethnicity. The report supports the monitoring of equality and diversity within the University of Edinburgh. This year's spotlight focuses on Social class and the previous institution of students.

This summary identifies the main points from the staff and student reports. The full reports can be obtained from the following weblink, <https://www.wiki.ed.ac.uk/display/UCC/Central+Management+Group> or by contacting Andrew Quickfall in Governance and Strategic Planning, telephone: 0131 651 4104 or email: Andrew.Quickfall@ed.ac.uk.

Students

Undergraduate

Intakes of female students remains steady, 60% of undergraduate entrants were female in 2010/11. There remain gender differences between colleges, however it is encouraging that the proportion of women in the College of Science and Engineering (44%) is comparable with national research carried out by the Equality Challenge Unit on the proportion of women studying SET (Science, Engineering and Technology) subjects.

The proportion of undergraduate students with a registered disability is 9%.

The overall proportion of UK-domiciled ethnic minority undergraduate entrants was 6.3% in 2009/10. The proportion of ethnic minority students has remained consistent for the last three years.

For the analysis of undergraduate outcomes, the proportion of students who withdraw permanently and prematurely without an award is used as the measure. Male students are more likely to withdraw from their programme of study, although it is encouraging that in the College of Humanities and Social Science the gap between male and female students withdrawing has been reduced. Female students continue to outperform men in achieving a first or upper class second degree award.

It is noteworthy that for the third year running, disabled students have a lower withdrawal rate compared to non-disabled students and the difference between disabled and non-disabled students achieving a first or upper class second degree is at its lowest level for six years.

There is no significant difference between the withdrawal rates of ethnic minority and white students. For the first time, the proportion of ethnic minority students achieving a first or upper class second degree is higher than the proportion of white students.

Postgraduate Taught

Since 2001/02 the number of Postgraduate Taught entrants has risen considerably in the University. The overall proportion of female entrants in 2010/11 was 57%. Subject differences remain at postgraduate taught level, with HSS attracting the highest proportion of female entrants. PGT entrants with a registered disability have increased over the period to 4.9%. The proportion of UK-domiciled entrants from an ethnic minority background has also increased to 9.4%.

Outcomes of PGT entrants show that male students continue to be more likely to withdraw from their programme of study, although it is encouraging that the gender gap has reduced from last year.

There is little difference between the outcomes of disabled and non-disabled entrants and it is encouraging that high withdrawal rates of disabled entrants in 2005/06 and 2006/07 have reduced to a level equivalent to that of non-disabled entrants.

Postgraduate Research

For postgraduate research entrants the proportion of female entrants is 50% although there remain subject gender differences between the colleges with CHSS and CMVM having a higher proportional intake of female students. The proportion of entrants registering a disability is 6%. The proportion of UK-domiciled entrants from an ethnic minority background is 7.7%. The College of Medicine and Veterinary Medicine has the highest proportion of ethnic minority PGR entrants.

Postgraduate Research withdrawal rates are higher among men, although there has been a significant drop for 2005/06 entrants to the University and is the lowest for five years.

Comparison data

The proportion of female entrants for first degree, postgraduate taught and postgraduate research are all above the Russell Group average. The University of Edinburgh have a higher than average proportion of students with a declared disability compared to the Russell Group as a whole.

Comparisons for ethnicity show a mixed pattern when compared with other Edinburgh institutions, Scottish institutions and the Russell Group.

Staff

Academic Staff

Staff data is a snapshot of the staff database, as at 31 July 2011. There remains an under-representation of women in senior academic posts. For academic staff in grade UE09, 33% are female and 19% of grade 10 staff are women.

Women are more likely to be employed on a fixed-term contract, although there is no gender difference for Research-only staff. Comparison of data with other Russell Group institutions shows that the University of Edinburgh has one of the smallest differences between male and female academic staff employed on fixed-term contracts.

The proportion of UK nationality academic staff who are from an ethnic minority background is 4.7% in 2010-11. For non-UK nationality staff the proportion of staff from an ethnic minority background is 21.1% for 2010-11. Comparison to other institutions shows that the University of Edinburgh has a higher proportion of UK-nationality staff from ethnic minorities than the average for Scottish institutions. Ethnic minority academic staff are more likely to be employed on a fixed-term contract than a white academic member of staff.

Professional Support Staff

For Professional Support Staff there remains a lower representation of women in higher grades UE08, UE09 and UE10. 33% of posts at grade UE10 are occupied by women, compared to 26% in 2009/10. When compared to the proportion of women in academic posts, women are better represented in the higher grades for professional support staff; in grade UE10 only 19% of academic posts are women compared with 33% for professional support staff. At UE09 women are slightly better represented in professional support posts with 43% female compared with 33% for academic staff.

The proportion of UK nationality ethnic minority professional support staff is 1.9%. For non-UK nationality staff the proportion of professional support staff from an ethnic minority background was 22.2% in 2010-11. Comparison with other institutions shows that the University of Edinburgh has a higher proportion of ethnic minority professional support staff than other Scottish institutions.

Disability

Staff declaring a disability are presented here separately and at an aggregated University level as the figures are too small to be split by staff type. The overall proportion of staff headcount (excluding HTBN staff) is 1.8% which includes academic and professional support staff. The proportion of staff declaring a disability in last years EDMARC report was 2.1%.

Spotlight on social class and previous institution

There are different ways of presenting measures of social class data. It is important to present different figures on social class and widening access as there are dangers relying on only one measure. Two of the primary measures are presented here; The National Statistics Socio-economic Classification (NS-SEC) and the Scottish Index of Multiple Deprivation (SIMD). It is likely that the Scottish Funding Council will use the SIMD as the widening access measure in the forthcoming outcome agreements for 2012/13.

Using the NS-SEC measure, the proportion of young, full-time first degree entrants from low social classes has increased slightly from 15.3% in 2003/04 to 16.5% 2009/10. Within the Russell Group, the University of Edinburgh is one of only six institutions to increase the proportion of entrants from low social class.

A breakdown of undergraduate entrant figures shows that the Colleges of HSS and CSE are comparable in admitting similar proportions of students from low social classes. A breakdown by School shows that proportions of UK-domiciled undergraduate entrants with a low social class are highest in the schools of Education (26%), Chemistry (23%), ACE (22%) and Engineering (22%). Schools within the College of Science and Engineering are more likely to recruit students from lower social classes.

The majority of UK-domiciled undergraduate entrants from low social classes were recruited from Edinburgh and the Lothians (26%) compared with Scotland-wide (21%) and the rest of UK (15%). The higher proportion of students from low social classes in Edinburgh and the Lothians can be attributed to the outreach work that the University does, the LEAPS admissions pledge and that students from lower social classes are less geographically mobile than others.

Scottish Index of Multiple Deprivation (SIMD) data shows that the University of Edinburgh had 3.2% of its population in MD20 (the bottom 20% of postcodes) and 11.3% in MD40 (the bottom 40% of postcodes) in 2009/10. Analysis of the SIMD data by School shows that the majority of MD20 students are recruited into the College of Humanities and Social Science, although the School of Physics and Astronomy has the highest proportion intake.

The Lothian Equal Access Programme for Schools (LEAPS) supports students from schools with information and encouragement to apply to higher education. The vast majority (81%) of LEAPS students are the first in their family to attend higher education. The University of Edinburgh takes in the highest number of LEAPS eligible students of LEAPS partner institutions. Over the last ten years, students from LEAPS entering the University of Edinburgh have increased from 103 in 2001/02 to 280 in 2010/11.

The proportion of undergraduate entrants to the University from state schools or colleges has risen from 65.3% in 2003/04 to 70.4% in 2009/10. The proportion of entrants from independent schools has decreased from 34.1% in 2003/04 to 24% in 2010/11 while entrants from Further Education colleges has risen from 6.6% to 10.6% over the same period.

*Professor Sarah Cunningham-Burley, Chair of EDMARC
Andrew Quickfall, Governance and Strategic Planning
April 2012*

Health and Safety Quarterly Report 2011/2012

Quarterly reporting period: 1st January 2012 – 31st March 2012

Accidents and Incidents

Type of Accident/Incident	Quarter 2 Jan-Mar 2012	Quarter 2 Jan-Mar 2011	Year to Date 1 Oct 2011 – 31 Mar 2012	Year to Date 1 Oct 2010 – 31 Mar 2011
Fatality	0	0	0	0
Specified Major Injury	1	3	2	5
> 3 day Absence	2	4	3	6
Public to Hospital	6	6	10	7
Reportable Dangerous Occurrences	0	0	0	1
Disease	0	0	0	1
Total Reportable Accidents / Incidents	9	13	15	20
Total Non-Reportable Accidents / Incidents	95	96	214	212
Total Accidents / Incidents	104	109	229	232

Further information by College/Support Group is shown in Appendix One

Incidents reported to the Enforcing Authorities during the quarter:

- Employee was using an after-hours door when a strong gust of wind slammed the door shut, trapping her hand, resulting in two fractured fingers. Modifications have been made to the building's main revolving door to enable after-hours use. (*>3 day injury*).
- Postgraduate student was using a flatbed grinder to grind glass. The glass was held incorrectly allowing the IP's finger to come into contact with the grinding disc. This resulted in removal of part of fingernail; IP attended hospital for minor injury. The IP was trained and experienced in the use of the grinder. (*Public to Hospital*).
- Visitor tripped over kerb outside the Chaplaincy building, striking her face on pavement. IP had reported recent problems with her balance. The slightly raised kerb has now been painted bright yellow to highlight its presence. (*>3 day injury*).
- Employee was hit by the head of a dog, which was being placed on its side during a veterinary procedure. IP attended hospital, where X-rays confirmed a dislocated jaw, and a fracture to the top of the mandible (likely to be related to IP's congenital bone condition). Procedures were reviewed and no changes were considered necessary. (*Specified Major Injury*).
- Employee struck their hand against a white board marker pen tray whilst dry mopping a corridor. The pen tray has been removed to avoid a re-occurrence. (*>3 day injury*).
- Undergraduate was bitten by a sedated horse, whilst trying to remove hay from the horse's mouth. This resulted in a crush injury to the middle finger, left hand. Procedures are now in place to ensure that stalls are free from hay, prior to being occupied by a sedated animal. (*Public to Hospital*).

Incidents reported to the Enforcing Authorities during the quarter (cont.):

- Visitor tripped on the edge of the concrete tiered seating in a grandstand at Peffermill, sustaining a head injury. IP was taken to hospital as a precaution. No defect in stairway. (*Public to Hospital*).
- Undergraduate was carrying a spherical glass object. The IP's right arm came into contact with a sharp projection on the glass resulting in a deep cut to the arm. Refresher information on the potential hazards when handling glass items to be provided on a more regular basis. (*Public to Hospital*).
- Visitor was opening a fire door when the wood strip above the door came loose, striking the IP on the head. The door closure had been fitted incorrectly. The door closure was re-fitted correctly that morning and the other doors in the building were checked. (*Public to Hospital*).

Issues and Developments

Review of University Health and Safety Policy

The current golden copy of the University Health and Safety Policy appears on the Health and Safety www site, and has stood the test of time reasonably well. Some sections have seen little change for some time, whilst others have been substantially altered to keep them up to date with changes in legislation and practice.

A comprehensive review of the central Policy is overdue, and is about to commence, with a view to producing a new, more concise Policy document, together with clearly defined supporting guidance and codes of practice. The target date for completing this review, and publishing a new version of the Health and Safety Policy, is the end of 2012.

Fire (Scotland) Act – Duty Holders

The Fire (Scotland) Act 2005 provides that employers have a general duty to ensure, so far as is reasonably practicable, the safety of their employees in respect of harm caused by fire in the workplace. The Act also places an equal duty on employees whilst “at work” to take, in respect of fire safety, reasonable care of themselves and any other relevant person who may be affected by their acts or omissions.

In the case of the University as an employer, the “Duty Holder” with respect to these requirements is the University Court. However, on a day to day basis, the responsibility for ensuring these duties are undertaken is delegated through the Principal to managers at College and School levels, and below. This is also in line with the Health and Safety at Work Act, and is outlined in the current University Health and Safety Policy Framework. The Act also focuses on those persons with a day to day responsibility for the safe operation and maintenance of, and the provision of fire safety measures in, buildings.

Health and Safety Committee confirmed that the “appointment” of the Duty Holder should relate only to the University Court, and that the new edition of the University Health and Safety Policy should state this, but should also include a table of devolved management responsibilities, at an appropriate section.

High School Yards Laboratories

Significant safety issues arose in late 2011 in relation to work being carried out in Geosciences' laboratory suite at High School Yards. Evolution of use of the facility, for both research and contract service provision, had outstripped the capabilities of the laboratory control measures, in particular its cohort of laboratory fume cupboards, which were no longer fit for purpose.

This resulted in the closure of the suite in December 2011, so that necessary remedial work could be assessed and implemented. A specialist waste disposal operation has been completed, and short term solutions have been applied to allow the laboratories to be brought back into service as soon as is practicable.

Discussions are in progress regarding longer term solutions, to enable the provision of a modern facility which is fit for purpose, involving the College Of Science and Engineering, School of Geosciences, Estates and Buildings, and Health and Safety.

Travel Risk Management Review

The Health and Safety Department is currently taking forward a project, in partnership with Aon, the University's Insurance Brokers, to review the University's risk management policy, arrangements and guidance on overseas travel. The review consists of three main phases:

- *Conduct a review of current policy, guidance and arrangements with corporate stakeholders.*
- *Visit relevant Schools and Support Units to find out what policies and arrangements are currently in place, and gauge what central information and guidance would be helpful.*
- *Prepare a report and present findings and recommendations to the corporate level stakeholders as part of a workshop designed to produce an action plan for the development of policy and guidance on overseas travel risk management.*

The feedback from this Review will also be presented to stakeholders in the Schools and Support Units at a joint conference hosted by the Health and Safety Department and the International Office in September 2012.

Behavioural Safety Training Programme

The Director of Corporate Services and the Director of Health and Safety regularly review our significant individual accidents and incidents; the Health and Safety Committee receives the annual survey report on accidents and incidents, and is appraised of any major events in the course of its regular meetings.

A growing conclusion is that the majority of our significant accidents often appear to carry little in the way of preventability, and distil to issues of personal awareness and responsibility, rather than to any breakdown in systems or procedures.

Behavioural Safety Training Programme (cont.)

Campaigns which simply ask people to be more responsible seem likely to have limited value, so we have agreed to implement a programme of behavioural safety workshops over the next year or so, possibly in conjunction with the Edinburgh-based Keil Centre – respected occupational psychologists with whom the University worked successfully during the CHASTE Project.

This programme will initially be targeted at our cohort of full time professional Health and Safety Managers in the Colleges and Schools, with the possibility of broadening it out to our raft of part-time School etc. Health and Safety Advisers, in due course. A cascade approach could then hopefully be implemented.

University Emergency Telephone Numbers

The Health and Safety and Security Departments are evolving procedures to enhance consistency across the University, with regard to the means of contacting the Emergency Services. In particular, modernisation of telephone systems should allow a 3-way dialogue, including First Aiders, security personnel and the Emergency Services, in situations in which a casualty is receiving attention.

International Safety Award

The University has been awarded the British Safety Council International Safety Award for 2012, with Merit – the second year running we have been successful in achieving this recognition.

Alastair Reid
Director of Health and Safety
06/04/2012

Accidents & Incidents

Quarterly period: 01/01/2012 – 31/03/2012

Year to Date Period: 01/10/2011 – 31/03/2012

(Second Quarter)

COLLEGE / GROUP	REPORTABLE (TO HSE) ACCIDENTS / INCIDENTS														TOTAL Non-Reportable Accidents / Incidents		TOTAL ACCIDENTS / INCIDENTS	
	Fatality		Specified Major Injury		>3 day absence		Public to Hospital		Dangerous Occurrences		Diseases		TOTAL Reportable Acc / Inc		Qtr	Ytd	Qtr	Ytd
	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd				
Humanities & Social Science	-	-	-	-	-	-	3	4	-	-	-	-	3	4	2	8	5	12
Science & Engineering	-	-	-	-	1	1	-	2	-	-	-	-	1	3	26	60	27	63
Medicine & Veterinary Med.	-	-	1	1	-	-	1	1	-	-	-	-	2	2	25	59	27	61
SASG	-	-	-	-	-	-	1	1	-	-	-	-	1	1	0	2	1	3
Corporate Services Group	-	-	-	1	1	2	1	2	-	-	-	-	2	5	41	83	43	88
ISG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	2	1	2
Other Units	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0	0	0
UNIVERSITY	-	-	1	2	2	3	6	10	-	-	-	-	9	15	95	214	104	229

* Units noted below taken from organisational hierarchy report 03/08/11 - <http://www.ed.ac.uk/schools-departments/governance-strategic-planning/organisational-hierarchy/current-org-hierarchy>

SASG: Student and Academic Services Group: Biological Services, Communications and Marketing, Development and Alumni, Governance and Strategic Planning, Student and Academic Services, Student Recruitment and Admissions, Student Services

ISG: Information Services Group: Applications, Digital Curation Centre, EDINA & Data Library, Information Services Corporate, Infrastructure, Library and Collections, User Services Division

CSG: Corporate Services Group: Accommodation Services, Centre for sport and Exercise, Corporate Services Group, Edinburgh Research and Innovation, Edinburgh University Press, Estates and Buildings, Finance, Human Resources, Internal Audit, Procurement Office (inc. Printing Services)

Other: Students Association, Sports Union, Talbot Rice Gallery, Associated Institutions.

C1.2

The University of Edinburgh

The University Court

14 May 2012

Report of the Finance and General Purposes Committee (Report on Other Items)

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

This paper reports on the meeting of the Finance and General Purposes Committee held on 30 April 2012 covering items other than the CMG report. Detailed papers not included in the appendices are available from Dr Novosel.

Action requested

The Court is invited to approve the proposals at items 2 and 5, and to note the remaining items particularly items 3 and 12 with comments as it considers appropriate.

Resource implications

If applicable, as noted in the report.

Risk Assessment

Where applicable, risk is covered in the report.

Equality and Diversity

No implications.

Freedom of Information

Can this paper be included in open business? Yes

Except for items 3 - 12

Its disclosure would substantially prejudice the commercial interests of any person or organisation

Originator of the paper

Dr Katherine Novosel
May 2012

University Court, Meeting on 14 May 2012

**Report of the Finance and General Purposes Committee
30 April 2012
(Report on Other Items)**

1 SUMMARY RESEARCH AND COMMERCIALISATION REPORT Appendix 1

The routine monitoring report on research and commercialisation activity within the University was noted. As previously intimated, it was confirmed that the situation in respect of number and value of research applications had improved since this report was prepared and that the University was in a good position going forward into the REF in respect of the overall picture with increases in the value and number of awards secured.

2 INTRODUCTION OF A FLEXIBLE RETIREMENT OPTION FOR SBS ACTIVE MEMBERS Appendix 2

The Committee fully endorsed the proposal to introduce a flexible retirement option for active SBS members in line with the benefits current available to active USS members and recommended its approval to Court. It was noted that this was likely to be acceptable to the SBS Trustees as long as the introduction of this proposal was on a cost neutral basis.

**EDINBURGH RESEARCH AND INNOVATION
RESEARCH AND COMMERCIALISATION REPORT
6 MONTHS TO 31 JANUARY 2012**

1. RESEARCH APPLICATIONS AND AWARDS

1.1 Introduction

Pleasingly the awards growth observed in our Q1 Report has continued to build, evidence perhaps of a more settled funding environment than was experienced last year. All three Colleges show strong performance, award-wise, underpinned by an increase in application value. There are some signs that internal peer review may be starting to take effect in the College of Science and Engineering with application activity slowing down.

1.2 Applications

1.2.1 Overall picture

At the half year, 1075 applications worth £430m had been submitted across the University, representing a reduction of 11% in number and 5% in value over the same period last year. Note however, that, value-wise, this is an improved position from the -10% reported in our Q1 briefing.

Also, as per our Q1 Report, Humanities and Social Science (CHSS) and Medicine and Veterinary Medicine (CMVM) continue to show growth, albeit not quite so stellar, while CS&E continues to show declines of 31% in number and value.

1.2.2 College picture

By the end of the second quarter, CHSS had submitted 296 applications (c.f. 272 for Q2 2010/11) worth £66.8m (c.f. 2010/11, £42.4M). The year to date application value total equals around 75% of last year's total application figure, auguring well for good awards performance in the first half of 2012/13. With the exception of Economics, where numerically the variances are very small and can easily be recovered during the second quarter of the year, all Schools are reporting application activity (either number, value or both) ahead of Q2 2010/11.

CMVM reports 379 applications worth £173.2m for the second quarter, an increase of 6% in number and 23% in value over last year (cf. 2010/11, 357 applications worth £140.9m). The College's two largest Schools (in terms of grant activity), Clinical Sciences and Community Health, and Molecular and Clinical Medicine have submitted applications totalling £81.7m and £59.8m, up 32% and 53% respectively on the same period last year.

As per our Q1 Report, CS&E continues to show application activity down on the same period last year, although the application value *gap* has reduced from 47% behind (as at October 2011) to 31% behind. So, the College has applied for 397 projects worth £187.6m (cf. 2010/11 575 applications with a value of £270.1m). Without exception all Schools follow this downward trend, the variance for value ranging from -9% for Engineering to -60% for Chemistry. It is not immediately obvious as to why CS&E has seen this drop-off in application activity but we are not aware that there are significantly fewer opportunities to apply for this year than last. Internal peer review procedures have been in place in the College for some time now and it may be that we are starting to see fewer, but hopefully better quality applications being submitted. If the award figures continue to hold their own over the next few quarters that will be proof positive that the College's new quality procedures are working.

EDINBURGH RESEARCH AND INNOVATION RESEARCH AND COMMERCIALISATION REPORT 6 MONTHS TO 31 JANUARY 2012

1.2.3 Funder picture

As anticipated, EU application activity has continued its incessant growth to the extent that it has replaced charities as the second largest funder type. The total application value, up 29% on the first six months of last year, stands at £97.3m for EU Framework applications as compared to £76.8m for UK charities which more or less equates to the same period last year.

Research Council applications continue to be down by around one fifth (£178.1m compared with 2010/11 £220.8m) – a significant gap that needs to be filled by other fEC-paying sponsors if the University's research sustainability is not to be adversely affected. Despite the demand management agenda, we consider that there remains significant scope for growth for Edinburgh from several of the Research Councils.

1.3 Awards

1.3.1 Overall Picture

By the end of the second quarter, the University had secured 451 awards pledging £84.3m, up 24% in number and 18% in value. All three Colleges are now showing strong year on year growth in both number and value which is a good place to be in the year before the REF Census.

1.3.2 College Picture

CHSS continues its upward recovery, reporting 88 awards for the year to date with a value of £6.3m. At the request of the College, in order not to adversely skew the figures and to enable a comparison of 'like with like', we have deducted a large ESRC Doctoral Training Centre grant of £4.2m which featured in our Q1 awards report, hence the apparent reduction in award value. If we had retained that in our Q2 report, the awards for the 6 month period would have been in excess of £10.5m! Even with this large grant removed, however, the total award value for the College remains a comfortable 55% ahead of the same period last year. Particular growth for this first 6 months of the year can be seen in the new Edinburgh College of Art and Moray House, with History, Classics and Archaeology, Law and Literatures, Languages and Cultures all recording award values in excess of this period last year.

CMVM's Q2 performance comprises 190 awards pledging £33.1m, an increase of 41% and 24% respectively over the same period last year. Notably all four Schools record award numbers and values in excess of the same period last year.

Pleasingly CSE's awards picture has improved dramatically since our Q1 report, still 8% ahead in number but moving from -7% to +10% in value, concluding the six months with 169 awards worth £44.5m. Physics has continued its stellar performance recording 25 awards worth £14.8m (cf. 2010/11 14 worth £2.2m). Geosciences too continues its upward trajectory at a more measured pace (up 11% in number and 29% in value), with Biological Sciences also recording award performance ahead of this time last year.

1.3.3 Funder Picture

While year on year growth for applications continues to be very much focussed around EU, pleasingly awards from our largest funder type, Research Councils, still remain strong and ahead of the same period last year, up 40% in number, 36% in value. This increases

**EDINBURGH RESEARCH AND INNOVATION
RESEARCH AND COMMERCIALISATION REPORT
6 MONTHS TO 31 JANUARY 2012**

Edinburgh's Research Councils awards total to £34.8m, continuing evidence perhaps of a return to normality after last year's disappointing performance from this sector. Indeed, Government contracts in general continue to be significantly up on the same period last year, more or less equating to EU awards for the year to date. Significantly more EU awards have been secured this year, however, although the average value is lower, totalling £10.4m (for the Framework awards). (cf. 2010/11 £10.2m). Charity awards, while more in number, are collectively lower in value, some 13% down on the same period last year. Industrial research, while not a large slice of our funding, continues to perform quite well in the face of difficult commercial conditions and the increase in awards from other universities evidences only too well the increasing volume of collaborative, cross-institutional activity, much of this deploying the longer, larger Research Council grants.

2. INVENTION DISCLOSURES

In the 6 months to 31 January 2012, 63 disclosures were made compared to 65 for the same period last year.

3. PATENT FILINGS

In the 6 months to 31 January 2012, 33 patents were filed on technologies compared to 41 for the same period last year.

4. LICENCES

In the 6 months to 31 January 2012, 28 licence deals were signed compared to 23 for the same period last year.

5. COMPANY FORMATION

In the 6 months to 31 January 2012, 8 start-up companies have been created.

6. CONSULTANCY

In the 6 months to 31 January 2012, consultancy income processed through ERI was £2.2m up from £2.0m for the same period last year.

*Hamish MacAndrew
Ian Lamb
3 April 2012*

**EDINBURGH RESEARCH AND INNOVATION
RESEARCH AND COMMERCIALISATION REPORT
6 MONTHS TO 31 JANUARY 2012**

Appendix 1

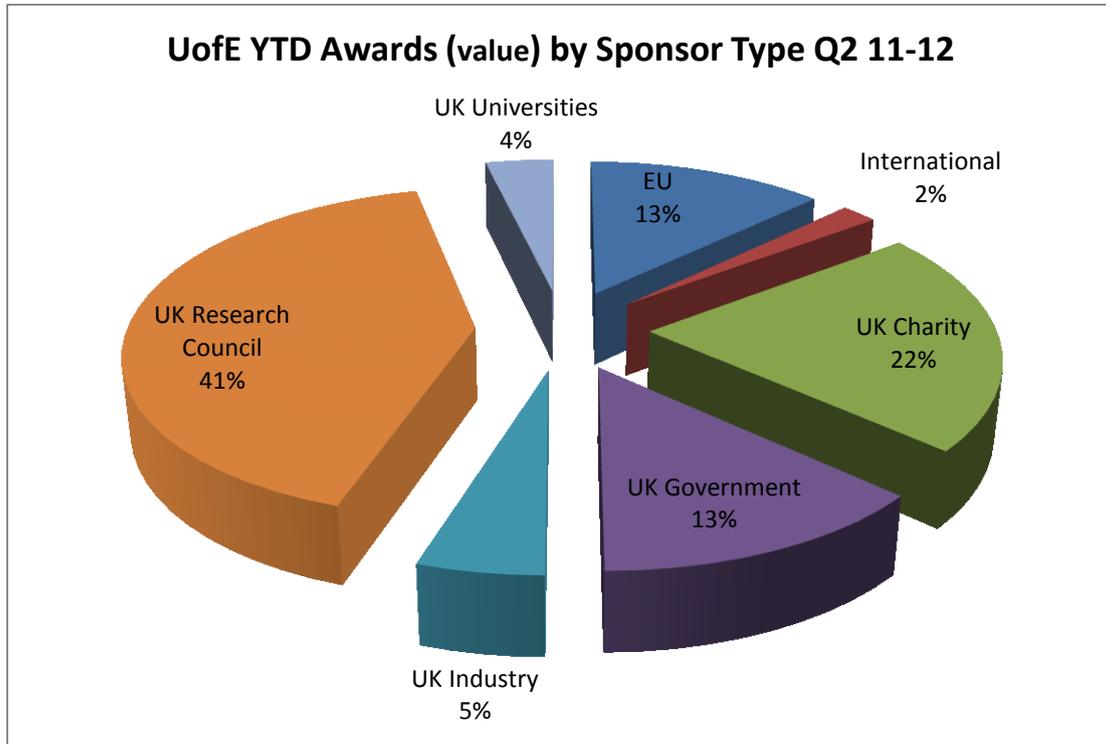
Analysis of Awards by Sponsor Type, comparing Q2 2011/12 with full year 2010/11

Where 'target' is defined as last year's total year awards value for each sector, this table shows the percentage of target achieved for the year to date.

Current Progress: 11-12 YTD vs. 10-11 Full year			
	YTD	10/11	% of Target
EU	£10,868,253	£29,150,126	37%
International	£1,645,385	£4,375,240	38%
UK Charity	£18,401,990	£53,034,481	35%
UK Government	£11,289,647	£15,354,056	74%
UK Industry	£4,142,957	£8,069,887	51%
UK Research Council	£34,891,147	£68,671,053	51%
UK Universities	£3,119,722	£4,697,844	66%

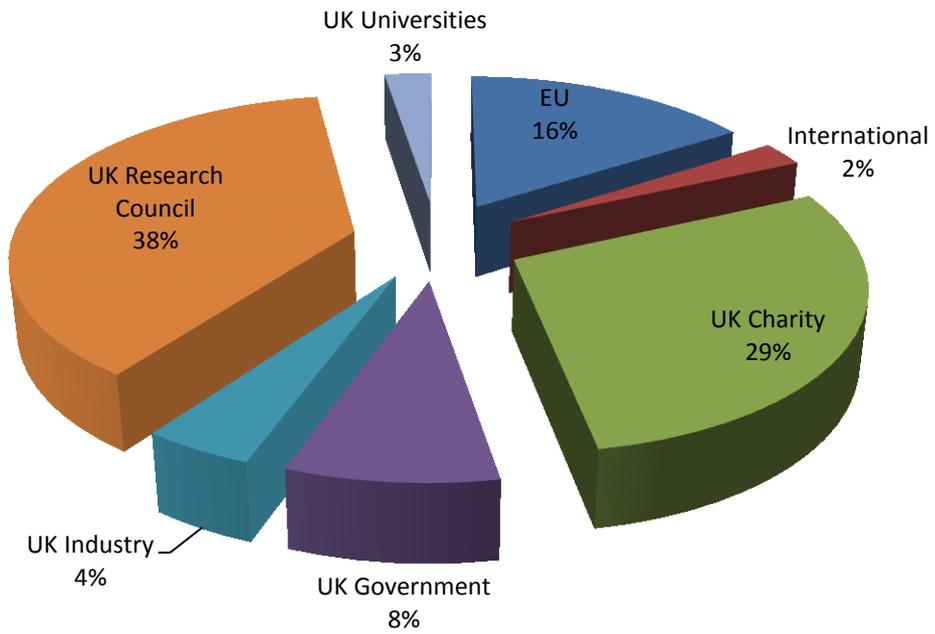
**EDINBURGH RESEARCH AND INNOVATION
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These pie charts show the percentage share for each sponsor type proportional to the whole, comparing the YTD (first chart) to the full year 2010/11.



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UofE Awards (value) by Sponsor Type 10-11



EDINBURGH RESEARCH AND INNOVATION LIMITED
RESEARCH AND COMMERCIALISATION REPORT
FOR THE 6 MONTHS TO 31 JANUARY 2012

TABLE 1
RESEARCH APPLICATIONS, AWARDS AND INCOME BY COLLEGE

RESEARCH ACTIVITY	Current Year		Previous Year			Variance	
	Month	YTD	Month	YTD	Full Year	Month	YTD
All Research Applications - number							
CHSS	31	296	45	272	580	(31%)	9%
CMVM	68	379	98	357	713	(31%)	6%
CS&E	63	397	85	575	848	(26%)	(31%)
Support Services (ISG etc)	1	3	-	10	19	-	(70%)
Total - number	163	1,075	228	1,214	2,160	(29%)	(11%)
All Research Applications - value - 100% PROJECT VALUE							
CHSS	8,237	66,871	9,133	42,407	89,413	(10%)	58%
CMVM	38,647	173,259	33,863	140,905	266,224	14%	23%
CS&E	57,818	187,620	43,920	270,175	379,625	32%	(31%)
Support Services (ISG etc)	12	2,131	-	644	1,284	-	231%
Total - value £'000	104,714	429,881	86,916	454,131	736,546	20%	(5%)
All Research Awards - number							
CHSS	12	88	9	70	184	33%	26%
CMVM	32	190	23	135	328	39%	41%
CS&E	35	169	21	156	389	67%	8%
Support Services (ISG etc)	2	4	-	3	6	-	33%
Total - number	81	451	53	364	907	53%	24%
All Research Awards - value - 100% PROJECT VALUE							
CHSS	555	6,356	341	4,090	17,397	63%	55%
CMVM	6,747	33,180	5,255	26,726	62,409	28%	24%
CS&E	10,156	44,551	3,389	40,320	103,181	200%	10%
Support Services (ISG etc)	148	272	-	238	367	-	14%
Total - value £'000	17,606	84,359	8,985	71,374	183,354	96%	18%
All Research Awards - value - SPONSOR CONTRIBUTION							
CHSS	473	5,720	320	3,709	15,883	48%	54%
CMVM	6,039	29,965	4,980	24,807	57,535	21%	21%
CS&E	8,968	38,885	2,611	36,586	93,105	243%	6%
Support Services (ISG etc)	145	270	-	195	301	-	38%
Total - value £'000	15,625	74,840	7,911	65,297	166,824	98%	15%
Industrial Research Applications - number	7	50	6	53	101	17%	(6%)
Industrial Research Applications - value £'000 (100%)	525	3,652	443	4,675	9,823	19%	(22%)
Industrial Research Awards - number	9	56	3	52	101	200%	8%
Industrial Research Awards - value £'000 (100%)	753	4,983	244	5,088	8,940	209%	(2%)
Research Income £'000							
CHSS	2,322	7,954	1,370	7,551	16,630	69%	5%
CMVM	8,353	40,902	6,489	37,271	78,752	29%	10%
CS&E	5,902	37,501	7,439	38,533	90,355	(21%)	(3%)
Support Services (ISG etc)	35	406	139	858	1,323	(75%)	(53%)
Total - value £'000	16,612	86,763	15,437	84,213	187,059	8%	3%

EDINBURGH RESEARCH AND INNOVATION LIMITED
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TABLE 2
RESEARCH APPLICATIONS AND AWARDS BY FUNDING SOURCE 100% PROJECT VALUE

APPLICATIONS	Current Year				Previous Year						YTD Variance	
	Month		YTD		Month		YTD		Full Year			
	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value
EU - Government	25	41,935	114	97,331	29	13,204	151	75,293	225	123,182	(25%)	29%
EU - Industry	-	-	5	397	1	46	5	314	8	843	0%	26%
EU - Other	2	78	11	1,002	1	54	6	610	13	1,527	83%	64%
Overseas - Charities	-	-	10	885	2	54	10	976	28	3,485	0%	(9%)
Overseas - Government	7	428	15	1,485	1	29	11	1,122	20	1,748	36%	32%
Overseas - Industry	2	89	8	334	-	-	4	278	5	462	100%	20%
Overseas - Other	1	4	5	351	1	112	8	3,227	17	4,690	(38%)	(89%)
Overseas - Universities etc.	-	-	1	271	1	29	7	575	13	781	(86%)	(53%)
UK - Charity	49	18,876	271	76,839	65	19,875	271	81,717	605	147,155	0%	(6%)
UK - Government	13	4,024	134	32,473	27	7,727	149	45,410	257	54,480	(10%)	(28%)
UK - Health Authorities	1	1,754	16	26,361	2	1,233	13	10,637	26	24,690	23%	148%
UK - Industry	5	436	37	2,922	5	397	44	4,083	88	8,518	(16%)	(28%)
UK - Research Council	48	34,009	383	178,178	81	42,318	480	220,879	725	343,889	(20%)	(19%)
UK - Universities etc.	10	3,081	65	11,052	12	1,838	55	9,010	130	21,096	18%	23%
	163	104,714	1,075	429,881	228	86,916	1,214	454,131	2,160	736,546	(11%)	(5%)

AWARDS	Current Year				Previous Year						YTD Variance	
	Month		YTD		Month		YTD		Full Year			
	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value
EU - Government	19	4,443	52	10,426	5	2,942	24	10,252	81	25,934	117%	2%
EU - Industry	2	52	6	378	1	46	4	352	5	695	50%	7%
EU - Other	-	-	1	64	1	52	7	1,712	17	2,483	(86%)	(96%)
Overseas - Charities	1	14	8	380	1	24	5	85	18	1,265	60%	347%
Overseas - Government	2	24	11	491	-	-	2	23	6	248	450%	2035%
Overseas - Industry	2	259	7	462	-	-	1	39	3	83	600%	1085%
Overseas - Other	1	4	4	215	-	-	3	537	11	1,865	33%	(60%)
Overseas - Universities etc.	1	15	4	98	-	-	7	563	14	683	(43%)	(83%)
UK - Charity	20	5,844	121	18,402	18	3,172	114	21,191	268	52,890	6%	(13%)
UK - Government	7	577	46	8,491	13	523	40	3,896	92	11,487	15%	118%
UK - Health Authorities	-	-	6	2,798	-	-	8	515	16	1,362	(25%)	443%
UK - Industry	5	442	43	4,143	2	197	47	4,697	93	8,162	(9%)	(12%)
UK - Research Council	14	5,498	98	34,891	5	1,591	70	25,745	208	71,499	40%	36%
UK - Universities etc.	7	434	44	3,120	7	438	32	1,767	75	4,698	38%	77%
	81	17,606	451	84,359	53	8,985	364	71,374	907	183,354	24%	18%

EDINBURGH RESEARCH AND INNOVATION LIMITED
RESEARCH AND COMMERCIALISATION REPORT
FOR THE 6 MONTHS TO 31 JANUARY 2012

TABLE 3
RESEARCH APPLICATIONS AND AWARDS BY SCHOOL (100% PROJECT VALUE)

APPLICATIONS	Current Year				Previous Year						YTD Variance	
	Month		YTD		Month		YTD		Full Year		Number	Value
	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value £'000		
Arts, Culture and Environment	-	-	-	-	5	225	31	3,083	55	10,164	(100%)	(100%)
Business School	4	491	10	1,231	2	3	8	1,125	16	2,321	25%	9%
Divinity	-	-	16	3,606	-	-	5	707	24	1,607	220%	410%
Economics	-	-	1	21	1	89	4	196	5	266	(75%)	(89%)
Edinburgh College of Art	4	1,244	42	5,499	-	-	9	1,455	28	4,262	-	59%
Health in Social Science	1	1,262	15	2,309	-	-	-	-	-	-	67%	(36%)
History, Classics and Archaeology	3	44	28	2,267	2	262	27	3,549	73	8,130	4%	647%
Law	2	782	19	11,518	3	48	15	1,541	32	3,659	27%	647%
Literatures, Languages and Cultures	1	305	25	3,849	5	272	30	2,026	67	6,086	(17%)	90%
Moray House School of Education	2	9	24	3,213	4	763	22	2,488	54	6,401	9%	29%
Philosophy, Psychology and Language Sciences	7	3,075	51	13,240	11	2,410	46	8,430	86	16,936	11%	57%
Social and Political Science	7	1,025	65	20,118	12	5,061	75	17,807	140	29,581	(13%)	13%
TOTAL CHSS	31	8,237	296	66,871	45	9,133	272	42,407	580	89,413	9%	58%
Biomedical Sciences	10	4,417	42	14,969	15	5,194	53	21,615	99	47,252	(21%)	(31%)
Clinical Sciences and Community Health	26	19,955	166	81,752	49	15,504	168	61,730	320	99,482	(1%)	32%
Molecular and Clinical Medicine	20	10,341	103	59,830	17	7,572	70	39,182	159	84,372	47%	53%
Royal (Dick) School of Veterinary Studies	12	3,934	68	16,708	17	5,593	66	18,378	135	35,118	3%	(9%)
TOTAL CMVM	68	38,647	379	173,259	98	33,863	357	140,905	713	266,224	6%	23%
Biological Sciences	19	18,712	91	60,714	17	10,071	131	76,017	194	109,533	(31%)	(20%)
Chemistry	2	551	31	8,004	6	3,490	54	19,932	80	30,671	(43%)	(60%)
Engineering	13	17,947	67	35,433	19	7,371	80	38,895	126	60,843	(16%)	(9%)
Geosciences	5	1,117	76	22,194	17	4,672	109	25,142	162	33,480	(30%)	(12%)
Informatics	10	3,453	55	21,483	18	5,428	81	50,679	123	68,061	(32%)	(58%)
Mathematics	2	495	20	8,042	5	3,539	30	11,471	43	16,212	(33%)	(30%)
College General	-	-	1	692	-	-	-	-	-	-	-	-
Physics	12	15,543	56	31,058	3	9,349	90	48,039	120	60,825	(38%)	(35%)
TOTAL CSE	63	57,818	397	187,620	85	43,920	575	270,175	848	379,625	(31%)	(31%)
Support Services	1	12	3	2,131	-	-	10	644	19	1,284	(70%)	231%
Grand Total	163	104,714	1,075	429,881	228	86,916	1,214	454,131	2,160	736,546	(11%)	(5%)

AWARDS	Current Year				Previous Year						YTD Variance	
	Month		YTD		Month		YTD		Full Year		Number	Value
	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value £'000	Number	Value £'000		
Arts, Culture and Environment	-	-	-	-	-	-	7	95	16	465	(100%)	(100%)
Business School	-	-	2	16	2	3	7	198	11	235	(71%)	(92%)
Divinity	-	-	1	50	1	155	5	977	13	1,302	(80%)	(95%)
Economics	-	-	-	70	-	-	1	2	1	2	0%	3400%
Edinburgh College of Art	-	-	14	2,601	-	-	-	-	-	-	-	-
Health in Social Science	-	-	1	15	1	4	2	61	5	249	(50%)	(75%)
History, Classics and Archaeology	2	223	13	486	8	133	9	392	23	519	63%	265%
Law	1	5	7	87	1	3	3	34	9	133%	150%	
Literatures, Languages and Cultures	-	-	10	275	-	-	8	216	29	1,871	11%	27%
Moray House School of Education	3	69	10	1,561	1	74	9	332	22	1,340	11%	370%
Philosophy, Psychology and Language Sciences	-	-	10	166	3	102	12	664	31	6,581	(17%)	(75%)
Social and Political Science	6	258	19	1,029	-	-	7	1,378	24	4,441	171%	(25%)
TOTAL CHSS	12	555	88	6,356	9	341	70	4,090	184	17,397	26%	55%
Biomedical Sciences	3	2,096	18	3,402	3	744	13	2,948	43	9,547	38%	15%
Clinical Sciences and Community Health	14	788	81	13,790	11	3,019	66	11,455	150	23,425	23%	20%
Molecular and Clinical Medicine	11	3,632	47	10,801	8	1,476	32	7,582	70	17,444	47%	42%
Royal (Dick) School of Veterinary Studies	4	231	44	5,187	1	16	24	4,741	65	11,993	83%	9%
TOTAL CMVM	32	6,747	190	33,180	23	5,255	135	26,726	328	62,409	41%	24%
Biological Sciences	8	5,479	37	13,304	4	267	33	12,588	79	38,036	12%	6%
Chemistry	3	472	11	1,320	2	15	26	3,795	49	8,256	(58%)	(65%)
Engineering	6	330	26	2,736	2	78	28	9,714	58	14,413	(7%)	(72%)
Geosciences	2	411	39	6,887	8	1,119	35	5,320	94	12,280	11%	29%
Informatics	6	853	28	4,634	2	1,684	15	5,974	46	20,155	87%	(22%)
Mathematics	-	-	2	110	-	-	5	716	13	2,200	(60%)	(85%)
College General	-	-	1	692	-	-	-	-	-	-	-	-
Physics	10	2,611	25	14,868	3	226	14	2,213	50	7,841	79%	572%
TOTAL CSE	35	10,156	169	44,551	21	3,389	156	40,320	389	103,181	8%	10%
Support Services	2	148	4	272	-	-	3	238	6	367	33%	14%
Grand Total	81	17,606	451	84,359	53	8,985	364	71,374	907	183,354	24%	18%

EDINBURGH RESEARCH AND INNOVATION LIMITED
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FOR THE 6 MONTHS TO 31 JANUARY 2012

TABLE 4
COMMERCIALISATION ACTIVITY

	Current Year		Previous Year			Variance	
	Month	YTD	Month	YTD	Full Year	Month	YTD
Disclosure Interviews							
CHSS	-	2	1	1	3	(100%)	100%
CMVM	4	35	1	28	60	300%	25%
CS&E	3	26	13	36	92	(77%)	(28%)
Total - number	7	63	15	65	155	(53%)	(3%)
Patents filed on Technologies - by College							
CHSS	-	-	-	-	-	-	-
CMVM	5	12	1	13	29	400%	(8%)
CS&E	2	21	4	28	50	(50%)	(25%)
Total - number	7	33	5	41	79	40%	(20%)
Patents filed on Technologies - by Type of filing							
Priority Filings	1	10	3	15	26	(67%)	(33%)
PCT Filings	1	6	2	11	22	(50%)	(45%)
Other/National Filings	5	17	-	15	31	-	13%
Total - number	7	33	5	41	79	40%	(20%)
Licences signed (excluding non revenue bearing licences)							
CHSS	-	2	-	-	1	-	-
CMVM	4	15	1	11	22	300%	36%
CS&E	4	11	4	12	42	0%	(8%)
Total - number	8	28	5	23	65	60%	22%
Spin-out companies created							
- Number	-	-	-	4	5	-	(100%)
Start-up companies created							
- Number	2	8	5	14	30	(60%)	(43%)

TABLE 5
CONSULTANCY

	Current Year		Previous Year			Variance	
	Month	YTD	Month	YTD	Full Year	Month	YTD
By Business Type - Invoiced value £'000							
Scotland - Commerce	69	283	47	163	606	47%	74%
Scotland - Government	67	206	46	325	768	46%	(37%)
Rest of UK - Commerce	284	789	19	220	796	1395%	259%
Rest of UK - Government	3	63	83	421	728	(96%)	(85%)
International - Commerce	124	728	214	748	1,764	(42%)	(3%)
International - Government	-	102	(7)	120	281	-	(15%)
Total - value £'000	547	2,171	402	1,997	4,943	36%	9%
By College - Invoiced value £'000							
CHSS	61	167	39	304	654	56%	(45%)
CMVM	204	1,063	230	889	2,116	(11%)	20%
CS&E	282	932	129	796	2,070	119%	17%
Support Services (CSG, ISG etc)	-	9	4	8	103	(100%)	13%
Total - value £'000	547	2,171	402	1,997	4,943	36%	9%

**EDINBURGH RESEARCH AND INNOVATION LIMITED
RESEARCH AND COMMERCIALISATION REPORT
FOR THE 6 MONTHS TO 31 JANUARY 2012**

**TABLE 6
CONSULTANCY INCOME BY SCHOOL**

	CURRENT YEAR		PREVIOUS YEAR			YTD Variance %
	Month	YTD	Month	YTD	Full Year	
	Value £	Value £	Value £	Value £	Value £	
Arts, Culture and Environment	3,350	6,700	-	-	7,875	-
Business School	29,000	39,850	3,687	42,341	114,366	(6%)
Divinity	-	1,300	2,150	6,975	14,125	(81%)
Economics	-	7,250	-	-	-	-
Health in Social Science	12,000	14,233	-	8,402	39,680	69%
History, Classics And Archaeology	-	300	11,130	11,460	11,460	(97%)
Law	-	6,956	-	20,051	51,189	(65%)
Literatures, Languages and Cultures	-	3,830	-	-	-	-
Moray House School of Education	8,500	42,057	(510)	23,543	114,698	79%
Philosophy, Psychology and Language Sciences	568	4,354	-	360	12,905	1110%
Social and Political Science	7,905	40,273	22,450	153,958	251,124	(74%)
College Central	-	-	-	36,879	36,879	(100%)
TOTAL CHSS	61,324	167,103	38,908	303,968	654,300	(45%)
Biomedical Sciences	33,995	180,596	78,109	411,832	851,312	(56%)
Clinical Sciences and Community Health	47,144	179,028	54,058	211,766	260,022	(15%)
Molecular and Clinical Medicine	116,089	680,268	98,059	231,417	943,094	194%
Royal (Dick) School of Veterinary Studies	6,440	20,063	-	19,600	47,482	2%
College Central	-	3,400	-	14,000	14,000	(76%)
TOTAL CMVM	203,669	1,063,355	230,226	888,616	2,115,911	20%
Biological Sciences	47,258	169,951	7,063	104,506	268,532	63%
Chemistry	1,450	35,010	20,961	71,362	105,016	(51%)
Engineering	21,918	99,344	41,081	226,175	586,070	(56%)
Geosciences	30,445	262,105	11,481	179,658	451,229	46%
Informatics	41,649	214,365	47,726	193,558	596,215	11%
Mathematics	-	-	-	7,910	7,910	(100%)
Physics	139,670	150,790	1,000	12,932	56,130	1066%
College Central	-	-	-	-	-	-
TOTAL CSE	282,389	931,565	129,312	796,100	2,071,103	17%
Support Services	-	8,853	4,320	7,630	102,565	16%
Grand Total	547,381	2,170,876	402,766	1,996,314	4,943,879	9%

**EDINBURGH RESEARCH AND INNOVATION LIMITED
RESEARCH AND COMMERCIALISATION REPORT
FOR THE 6 MONTHS TO 31 JANUARY 2012**

**TABLE 7
DISCLOSURE INTERVIEWS BY SCHOOL**

	CURRENT YEAR		PREVIOUS YEAR			YTD Variance %
	Month	YTD	Month	YTD	Full Year	
	No	No	No	No	No	
Arts, Culture and Environment					1	-
Business School					-	-
Divinity					-	-
Economics					-	-
Edinburgh College of Art					-	-
Health in Social Science		1			-	-
History, Classics And Archaeology					-	-
Law					-	-
Literatures, Languages and Cultures					-	-
Moray House School of Education			1	1	2	(100%)
Philosophy, Psychology and Language Sciences		1			-	-
Social and Political Science					-	-
College Central					-	-
TOTAL CHSS		2	1	1	3	100%
Biomedical Sciences	2	8		1	9	700%
Clinical Sciences and Community Health	1	14		8	22	75%
Molecular and Clinical Medicine		1		3	5	(67%)
Royal (Dick) School of Veterinary Studies		-		1	1	(100%)
R(D)VS - Roslin Institute	1	12	1	13	23	(8%)
College Central		-		2	-	(100%)
TOTAL CMVM	4	35	1	28	60	25%
Biological Sciences	2	10	1	8	21	25%
Chemistry		2	2	6	17	(67%)
Engineering		7	3	10	21	(30%)
Geosciences		1	2	4	7	(75%)
Informatics	1	6	5	7	14	(14%)
Mathematics		-		-	-	-
Physics		-		1	12	(100%)
College Central		-		-	-	-
TOTAL CSE	3	26	13	36	92	(28%)
Support Services		-		-	-	-
Grand Total	7	63	15	65	155	(3%)
		-		-	-	-

**EDINBURGH RESEARCH AND INNOVATION LIMITED
RESEARCH AND COMMERCIALISATION REPORT
FOR THE 6 MONTHS TO 31 JANUARY 2012**

**TABLE 9
LICENCES SIGNED BY SCHOOL (excluding non revenue bearing licences)**

	CURRENT YEAR		PREVIOUS YEAR			YTD Variance %
	Month	YTD	Month	YTD	Full Year	
	No	No	No	No	No	
Arts, Culture and Environment	-	-	-	-	-	-
Business School	-	-	-	-	-	-
Divinity	-	-	-	-	-	-
Economics	-	-	-	-	-	-
Edinburgh College of Art	-	-	-	-	-	-
Health in Social Science	-	1	-	-	-	-
History, Classics And Archaeology	-	-	-	-	-	-
Law	-	-	-	-	-	-
Literatures, Languages and Cultures	-	-	-	-	-	-
Moray House School of Education	-	1	-	-	1	-
Philosophy, Psychology and Language Sciences	-	-	-	-	-	-
Social and Political Science	-	-	-	-	-	-
TOTAL CHSS	-	2	-	-	1	-
Biomedical Sciences	-	1	-	2	3	(50%)
Clinical Sciences and Community Health	-	1	-	2	3	(50%)
Molecular and Clinical Medicine	1	7	1	3	8	133%
Royal (Dick) School of Veterinary Studies	-	1	-	-	1	-
R(D)VS - Roslin Institute	3	5	-	4	7	25%
TOTAL CMVM	4	15	1	11	22	36%
Biological Sciences	-	2	2	3	10	(33%)
Chemistry	1	1	1	3	8	(67%)
Engineering	3	6	-	2	6	200%
Geosciences	-	-	-	1	2	(100%)
Informatics	-	2	-	2	7	0%
Mathematics	-	-	-	-	-	-
Physics	-	-	1	1	9	(100%)
TOTAL CSE	4	11	4	12	42	(8%)
Support Services	-	-	-	-	-	-
Grand Total	8	28	5	23	65	22%
	-	-	-	-	-	-

Flexible Retirement for SBS active members

Background and context

Why?

- USS recently adopted flexible retirement for its members.
- Will offer more flexibility to staff nearing retirement.

High Level Principles

- Allows members to draw a proportion of pension and reduce working hours
- Ease members into retirement.
- Will need the University's consent in each case.
- Makes use of existing actuarial factors.
- Broadly cost neutral to the Scheme and no changes to funding calculations.

How would it work?

- Available to a member from age 55 onwards, with University agreement.
- Member opts to reduce working hours by at least 20% and receives instead a proportion of pension earned to date.
- Selects a minimum of 20%; a maximum of 80% of pension benefit.
- Pension will be appropriately reduced for early retirement.
- Will continue to be a member of the Scheme, to earn (proportionately reduced) benefits in future.
- Working hours reduction and proportion of pension need not be the same.
- There would be a maximum of two flex retirements, each accompanied by a reduction in working hours.
- Third retirement is final.
- Final salary benefits not yet taken will be based on full time equivalent salary at future retirement.
- CARE benefits will be earned based on actual salary and accumulate as normal.

Potential issues to consider

- Scheme would need to be on a cost neutral basis. Scheme actuary involvement required.
- Large scale take up of flexible retirement option may lead to lower staff turnover, however the benefits would be on a cost neutral basis therefore there is no anticipation of high levels of take up.

Cost and Complexity

- More detailed work is required by University, and scheme lawyer/actuary/admin.
- Detailed decisions to be taken in relation to benefit options (e.g. equal portion or FS/CARE benefit to be flexed; how to treat AVCs & transfers-in)
- Communications material to be produced.

- Admin systems to be considered (although it is expected that a simple cost-effective spreadsheet tool will be developed in the short term whilst determining how popular the flex scheme will actually be.

Decisions for Committee

- To support the introduction of a flexible retirement scheme for SBS members which offers similar options to those available to USS active members.
- To recommend this proposal to Court.

Ann Banks
Pensions Manager
April 2012

The University of Edinburgh

The University Court

14 May 2012

Draft Strategic Plan 2012-2016

C2

Brief description of the paper, including a statement of relevance to the University's strategic plans and priorities

The paper provides Court with a first full draft of the University's new Strategic Plan covering the period 2012-2016.

Action requested

For discussion.

Resource implications

Does the paper have resource implications? One of the purposes of the University's Strategic Plan is to inform the allocation of resources.

Risk Assessment

Monitoring of progress against the University's Strategic Plan targets forms a key element of the University's approach to risk assessment.

Equality and Diversity

The current Strategic Plan's 'Promoting equality, diversity, sustainability and social diversity' strategic theme details equality and diversity implications. The new plan will take this forward.

Freedom of information

Can this paper be included in open business? No, the paper should remain closed until the Strategic Plan 2012-16 has been published.

Originator of the paper

Rona Smith, Senior Strategic Planner
Alexis Cornish, Director of Planning and Deputy Secretary

14 May 2012

Report from Estates Committee [EC] Meeting held 22 February 2012Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

The paper reports on key discussions and recommendations made at the meeting of EC, held 22 February 2012.

The issues in this report relate to the Strategic Plan enabler '*Quality Infrastructure*' in terms of achievement of core strategic goals contained in the University's Strategic plan 2008-2012.

In pursuing **quality infrastructure** we need to provide an estate which is capable of supporting world class academic activity in order to meet our business needs. The strategy for achieving this is set out in the Estate Strategy 2010-20 and our target is to implement this over the period of the plan.

Court is reminded to note that copies of the EC papers and the minutes of the meeting are available to Court members on request from Angela Lewthwaite (Tel: 651 4384, email: angela.lewthwaite@ed.ac.uk) or online via the EC web-site at <http://www.ec.estates.ed.ac.uk/index.cfm>

Action requested

Court is invited to note that CMG and FGPC noted and endorsed the EC report at their respective meetings on 7 March and 30 April 2012.

Court is invited to note and endorse the recommendations contained in the paper and further homologate the decision taken by the Principal and the Chair of FGPC to accept the main contract tender for the redevelopment of 50 George Square [Item 2 refers] in the sum of £12,105,645.80 (ex VAT) from Balfour Beatty Construction Scottish and Southern Limited.

Resource implications

Does the paper have resource implications? Yes, detailed throughout the paper.

Risk Assessment

Does the paper include a risk analysis? No. It should be noted that EC papers contain, where applicable, separate risk assessments.

General:

Legislation Non-Compliance/Business Continuity – mitigated by regular assessment and update of priorities, risk register and implementation of annual major replacements/compliance programme

Capital Commitments – mitigated by tracking via the Capital Projections Plan and regular updating in consultation with Finance and reporting to EC, CMG and FGPC, through to Court.

Project Management – mitigated by on going monitoring of Design Team, Contractor, Risk Register and meetings of Project Boards who in turn report significant programme/cost issues to EC etc.

Equality and Diversity

Does the paper have equality and diversity implications? No

None of the proposals in this paper raise issues beyond those that are routinely handled in all Estates Developments. It should be noted that EC papers contain, where applicable, separate Estates & Development assessments.

Freedom of information

Can this paper be included in open business? The paper is **closed**.
Its disclosure would substantially prejudice the commercial interests of any person or organisation

All EC papers contain FOI information including reasons for closing papers.

Any other information

The Senior Vice-Principal Planning, Resources and Research Policy will present the paper.

Originator of the paper

Paul Cruickshank - Estates Programme Administrator
Angela Lewthwaite - Secretary to EC
7 May 2012

14 May 2012

Report of the Nominations Committee

The Nominations Committee at its meeting on 2 April 2012 considered a number of matters and wishes to make recommendations for approval and comment to Court as detailed below:

Membership of Court and Committees

Court is invited to note that in making these recommendations, the Committee took account of a number of factors including: the experience and skills of individuals and the requirements of Court and the Committees, where appropriate seeking advice from officers within the University with detailed understanding of these requirements; succession planning issues particularly Convenerships of Committees; and the current commitments of members.

Court

Ms Anne Richards' current term of office to be extended by one year until 31 July 2014.

Investment Committee

The current terms of office of Ms Maxine Cuffe, Mr Bill Hughes and Mr Alan McFarlane to be extended by three years to 31 December 2015.

Library Committee

Mr George MacKenzie's current term of office to be extended by one year until 31 July 2013.

Curators of Patronage

Vice-Principal Professor Mary Bownes to be appointed a Curator of Patronage with effect from the start of the 2012/2013 academic year until 31 July 2015.

Remuneration of SBS Trustees and Court members

The Committee had been asked to consider whether it was appropriate for SBS Trustees given their work load and responsibilities and members of Court to be remunerated. The Committee was of the view that trusteeship of SBS and membership of Court should be considered as public service and be undertaken on a voluntary basis.

Dr Katherine Novosel
May 2012

The University of Edinburgh

University Court

14 May 2012

Audit Committee Report

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

Attached is the draft Minute of the Audit Committee meeting held on 1 March 2012.

Action requested

The Court is invited to note the contents of the draft Minute.

Resource implications

No, unless otherwise stated in the report.

Risk assessment

The Internal Audit Reports are prepared using a risk based approach.

Equality and diversity issues

There are none.

Freedom of Information

Can the paper be included in open business? Yes.

Originator of the paper

Dr Katherine Novosel
May 2012

**Minute of the Meeting of the Audit Committee
held at 5.00 pm on 1 March 2012
in the Lord Provost Elder Room, Old College**

Present: Ms A Richards (Convener)
Mr A Johnston
Mr M Sinclair

In attendance: Mr J Gorringe, Director of Finance
Dr K Waldron, University Secretary
Ms L Welch, Assistant Director of Finance
Mr H McKay, Chief Internal Auditor
Mr M Rowley, KPMG, External Auditor Director
Mr S Reid, KPMG, Director
Mr P Gough, Internal Audit
Dr K Novosel, Head of Court Services

Apologies: Mr P Budd
Mrs E Noad
Mr A Trotter
Mr N Paul, Director of Corporate Services

1 MINUTE OF THE MEETING HELD ON 22 NOVEMBER 2011 Paper A

The Minute of the meeting held on 22 November 2011 was approved as a correct record.

2 MINUTE OF MEETING OF SUB-GROUP HELD ON 23 JANUARY 2012 Paper B

The Minute of the meeting of the Sub-Group of the Audit Committee held on 23 January 2012 was noted and approved as a correct record including the report from this Sub-Group which was considered by the Sub-Group of Court on 26 January 2012.

3 MATTERS ARISING

3.1 Internal Audit - ECA

The Convener confirmed that following the last meeting of the Audit Committee she had spoken to the Internal Auditor (Edinburgh College of Art) who had confirmed that there were no matters which he wished to raise in respect of the Edinburgh College of Art or the ECA, Andrew Grant Scholarship Fund Accounts. This had been reported to the Court meeting on 12 December 2011 prior to these Accounts being approved.

3.2 US GAAP Accounts Paper C

The Committee welcomed confirmation that the Accounts prepared in accordance with US GAAP had been submitted to the United States Department of Education by the 31 January 2012 deadline. It was noted that a similar process would require to be taken forward for the 2011/2012 Accounts and it was agreed that an indicative date for a Sub-Group of the Audit Committee to consider the draft audited US GAAP Accounts should be included in the Audit Committee schedule for 2012/2013.

3.3 Highlights Memorandum – circulation to Court

At its last meeting, Court had considered and approved the Reports and Financial Statements for the year ended 31 July 2011 and as part of the discussion on the Accounts it had asked if further consideration could be given to circulating the External Audit's Highlight Memorandum to the Court meeting at which the Accounts were to be approved. Court had previously raised this issue and at that time it had been considered appropriate for the Audit Committee to debate the Highlights Memorandum in detail and report thereon to Court.

The Audit Committee agreed that there was merit in the full Court having sight of the External Audit's Highlights Memorandum as well as Court continuing to benefit from the debate of this document by the Audit Committee. This would be taken forward in respect of the 2011/2012 Accounts.

FOR DISCUSSION

4 FINANCE FOLLOW UP OF EXTERNAL AUDIT HIGHLIGHTS MEMORANDA 2010/2011 Paper D

The Committee noted the report and was satisfied with the actions being taken to address the recommendations contained within the 2010/2011 External Audit's Action Plan as set out in its Highlights Memorandum for the University of Edinburgh. External Audit confirmed that it was satisfied with the responses. It was noted that the completion date for item 9 had now been extended to April 2012 and that there had been no separate Action Plan associated with the Edinburgh College of Art's Highlights Memorandum as a result of the merger: any matters arising would be taken forward by this University.

5 ECA AND ANDREW GRANT SCHOLARSHIP FUND – PROGRESS UPDATE Paper E

The actions being taken to split the endowment funds currently pooled within the Andrew Grant Scholarship Fund were noted and endorsed by the Committee. External Audit would be reviewing these proposals in March 2012 and any recommendations would be implemented. The Committee further noted the work underway to merge the Edinburgh College of Art Accounts and those of the Human Genetics Unit with the University following the mergers.

6 INSURANCE UPDATE Paper F

The Committee noted the paper which dealt with three matters. The information on the current insurance covers was very informative. It was confirmed that Directors and Officers liability cover currently included external members of Court Committees who were not members of Court. It was further confirmed and that the University had separate arrangements for terrorism and activists cover through Universities Mutual Association (Terrorism) UMALT and also separate specialist insurance for the University's collections. As part of taking forward the process to go out for tender for insurance covers, due for renewal by July 2013 the level and scope of current arrangements would be reviewed. The Committee also noted the circumstances surrounding an uninsured liability as set out in the paper, endorsed the proposed approach and was assured of the actions now in place in respect of retention of documents and maintenance of a detailed insurance register.

7 **EXTERNAL AND INTERNAL AUDIT PERFORMANCE METHODOLOGY** **Paper G**

The Committee was content that a similar approach should be taken forward in respect of the 2011/2012 performance of external and internal audit services as in previous years and approved the methodology as set out in the paper.

INTERNAL AUDIT

8 **INTERNAL AUDIT REPORTS** **Paper H**

The Audit Committee considered the reports on five Internal Audit assignments completed since its last meeting.

UKBA Legislation - Staff

The Committee noted the report which confirmed the processes in place to check the status of an individual prior to commencement of employment and which recommended some improvements to monitoring procedures thereafter which were being actively addressed and resolved. The Committee was satisfied with the actions being taken in respect of the recommendations.

Shared Academic Timetabling Project

The Committee noted the weaknesses identified in not fully taking forward the Toolkit approach to project management. Given previous experiences in relation to IT projects the Committee expressed some concern and asked to be provided with an update report at its next meeting. The Committee was however assured by the actions being taken in respect of the recommendations and the monitoring processes in place.

Eligibility for Research Council Studentships

The report confirmed that the checking and monitoring procedures were overall operating effectively and the Committee was satisfied with the actions being taken in respect of the recommendations.

Financial control processes for Estates and Buildings payments

It was noted that Estates and Buildings operated its own system (EBIS) which processed a large volume and value of transactions each year. The report made recommendations principally in respect of authorisation limits and the Committee was satisfied with the actions being taken in respect of these recommendations.

Moray House School of Education

A restructuring exercise had recently been completed and a new Head of School appointed. The audit primarily focused on the effectiveness of communication mechanisms and the Committee was satisfied with the actions being taken to address the recommendations.

9 **INTERNAL AUDIT FOLLOW UP REVIEWS** **Paper I**

The Committee noted the satisfactory position and further noted and discussed the process undertaken by Internal Audit in respect of follow up reviews. It was agreed that it would be helpful if the Committee could be provided with further data for its next meeting so that it could better understand the position in respect of all outstanding recommendations from previous audit assignments.

10 **INTERNAL AUDIT PROGRESS REPORT** **Paper J**

It was noted that the 2010/2011 Audit Plan was nearing completion with two further

assignments now completed since this report had been prepared for this Committee meeting. The 2011/2012 plan was 45% advanced after 28 weeks and it was noted that the contingency allowance within this plan had now all been deployed. The Committee was content with these satisfactory positions.

FOR INFORMATION/FORMAL APPROVAL

11 DATES OF COMMITTEE MEETINGS 2012/2013

Paper K

The Committee noted the schedule of dates for meetings in 2012/2013 and asked that the meeting on 23 November 2012 commence at 2.00pm rather than the normal time of 5.00pm.

12 REPORT OF THE REVIEW OF HIGHER EDUCATION GOVERNANCE IN SCOTLAND

It was noted that the panel set up by the Scottish Ministers to undertake a review of governance arrangements within the Scottish higher education sector had published its report in February 2012. The University was considering its position and a Sub-Group of Court had been convened to take this forward. Copies of the Report would be sent to non-Court External members of the Committee. The Committee noted the position.

13 DATE OF NEXT MEETING

The next meeting of the Audit Committee will be held on Thursday, 31 May 2012 at 5.00 pm in the Lord Provost Elder Room, Old College.

The University of Edinburgh

The University Court

14 May 2012

C6

Knowledge Strategy Committee Report to the University Court

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

Report from the Knowledge Strategy Committee on business conducted during the period May 2011 to May 2012.

Action requested

For Information

Resource implications

Does the paper have resource implications? No

Risk assessment

Does the paper include a risk assessment? No

Equality and diversity

Does the paper have equality and diversity implications? No

Freedom of information

Can this paper be included in open business? Yes

Originator of the paper

Jeff Haywood, Vice Principal Knowledge Management, CIO and University Librarian
Jo Craiglee, Head of Knowledge Management & IS Planning

May 2012

Knowledge Strategy Committee

Report to the University Court

This paper presents a summary of the major items concerning Knowledge Strategy Committee over the past 12 months.

Committee papers are available online at:

<http://www.committee.kmstrategy.ed.ac.uk/index.cfm>

Knowledge Strategy Committee (KSC) has oversight of the University's knowledge management activities, in particular those areas concerned with Library, Information Technology, e-Learning, Management Information and e-Administration (hereafter described as the University's 'Information Space').

Effectiveness of KSC as a Committee

Members were asked to consider a number of questions concerning the effectiveness of the Committee (Appendix 1). Members were supportive of the modus operandi of the Committee, combining formal committee meetings with topic specific away days. It was felt that this combination was very effective in ensuring that the committee focused on the "big picture" items and engaged with a good cross section of the University in the deliberations. In addition, members suggested a number of changes which would be beneficial to the Committee.

Members reinforced the view that the Chair should be a member of the senior management team, ideally a Vice Principal. They agreed that this premise should be reviewed every three years to enable a change of Chair, should that be desired.

It was also considered that the Committee would benefit from the presence of a member with a finance focus. It was agreed to invite the Director of Finance to attend, or nominate a representative.

Research Infrastructure

Research Data Storage & Management – From summer 2012, it is proposed that all academic and research staff and PGR students will have 0.5Tb of storage provided to them as part of the baseline service. There will be a RDM service that includes templates for the development of research data plans; an advisory service covering compliance and IPR; integration with the PURE service; and a full training programme for PGR and ECRs in research data management planning.

Library Collections Review – During this period, Senior Vice Principal Brown carried out a review of the library collections. The final report is with the Review Group and will be submitted to KSC on 08 June 2012.

elearning

E-learning Committee – it was agreed that e-Learning Committee should become an integral part of the Learning & Teaching Committee with an independent task group to look at specific issues. To this end, the responsibility for the committee's operation was transferred from Knowledge Strategy Committee to Learning & Teaching Committee.

Infrastructure

A strategic review of the **University's telephone provision** has been completed and a paper submitted to Estates Committee. It has been recommended that the University seek a replacement telephony and unified communications solution during 2012.

Projects

Shared Academic Timetabling Project – This project is on schedule. The rollout of Web Room Booking and Web Timetables systems has been well received by Schools, with only a few minor issues encountered.

Distance Education Initiative - The Initiative has funded 11 College projects to date. HSS and SCE have been slower to respond and to assist these two in particular, a second funding option has been developed to make finance available in one funding round to recruit or release appropriate staff who will investigate options and then develop a full proposal to the DEI in a later round.

Business Intelligence/Management Information – At the KSC Away Day on Business Intelligence and Management Information (BI/MI), it was noted that there was an urgent need in Schools and Colleges for better and wider access to core data about their business areas. IS has been tasked with leading an exploration of the issue and generating a solution focused on the University's BI/MI capabilities and capacity. The first phase of this exploration, a needs analysis, is expected in the autumn.

Jeff Haywood
Vice Principal of Knowledge Management, CIO and University Librarian

Jo Craiglee
Head of Knowledge Management and IS Planning

07-May-2012

Knowledge Strategy Committee Effectiveness Review 2012

During 2012, members of the Knowledge Strategy Committee were asked to assess how effective the Committee was at carrying out its role. The questions asked were as follows:

Membership of Committee – is the membership appropriate? Are there any areas covered by KSC which would benefit from additional input through, e.g. ad-hoc members or expansion of the existing membership.

Chairing of meetings – any comments

Does the Committee fulfil its remit as set out in its approved Terms of Reference?

Frequency of meetings – are the number of meetings sufficient for the Committee to successfully execute its business

Away Days – are the Away Days an appropriate use of Committee time? Do they provide Members with relevant information that helps to inform decision making?

Agendas, minutes, papers – are the agendas and papers appropriate for the Committee's business, enabling the right issues to be addressed? Do the minutes provide a comprehensible record of the outcomes of the meeting?

The University of Edinburgh

The University Court

14 May 2012

Governance Review – Report of Court Sub-Group

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

The attached paper sets out the observations of the Court Sub-Group tasked with considering in detail the Review of Higher Education Governance in Scotland which was discussed at the last meeting of Court.

Action requested

Court is invited to consider and comment on the paper and agree an appropriate way forward.

Resource implications

None directly.

Risk Assessment

There are a number of risks which the University will require to consider.

Equality and Diversity

None directly although the some of the recommendations within the Governance Review Report do have equality and diversity implications if implemented.

Freedom of information

Can this paper be included in open business? Yes

Any other information

Professor Monro will present the paper.

Originator of the paper

Dr Katherine Novosel
May 2012

Court Sub-Group

Review of Higher Education Governance in Scotland

Background

The Report of the Review of Higher Education Governance in Scotland submitted to the Scottish Ministers on 16 January 2012 and published on 1 February 2012 sets out a number of recommendations and suggestions grouped round the headings of:

- Role and Structure of HE Governance
- Appointment and Remuneration of Principals
- Role, Composition and Appointment of Governing Bodies
- Role, Composition and Appointment of Academic Boards (Senates)
- Role of Stakeholders
- Other issues (Whistleblowing, Code of Good Governance etc)

The Cabinet Secretary has indicated that the recommendations will be taken forward in discussion and consultation although the exact nature of this process is unclear. Court at its meeting on 20 February agreed to establish a Sub-Group to consider the Report in detail. The membership of the Sub-Group was as follows:

Professor S Monro (Convener)
Professor A Smyth
Dr C Masters
Professor J Ansell
Mr M McPherson
University Secretary

The Sub-Group met on two occasions and has prepared this document for further consideration by Court.

Introduction

The Report of the Review of Higher Education Governance in Scotland presents a number of challenges to the University and this document has been drafted to provide information to Court on these challenges rather than as a response to the Report. In taking forward discussions the Sub-Group principally considered the recommendations and suggestions within the context of the impact on this University and the constraints of the current legislative framework within which this University operates. However the Sub-Group also recognised general principles and themes:

- The Scottish Higher Education sector is very diverse and one size does not therefore fit all. However there are standards of good practice which should be applied across the sector and the preparation of a voluntary Code of Good Governance, setting out these standards may be an appropriate way forward with institutions invited to comply or explain the reason/s for non-compliance. However it should be recognised that considerable progress has already been made in terms of improving governance within the Scottish Higher Education sector with both the Scottish Funding Council and the Committee of University Chairs having issued guidance which the majority of institutions already follow.
- Effective and appropriate engagement with stakeholders at all levels across institutions is vital to good governance: students, staff, alumni, local and international communities are all

stakeholders. Institutions need to continue to be responsive to their stakeholders and have transparent governance processes.

- Institutions should continue to identify opportunities to improve governance arrangements and undertake systematic effectiveness reviews on a regular basis: an evidence based approach should be adopted.
- All members of an institution's governing body, regardless of the route of election or appointment, are of equal status and as members of the governing body must act in the best interests of the institution. They should not be considered or act as representatives for any one group and they are accountable for all decisions made by the governing body.

Court recently undertook a comprehensive review of its effectiveness and a number of the areas emerging during this review have also been highlighted in the Governance Report; this has been of assistance to the Sub-Group in its deliberations. The Sub-Group did not look to defend current University practice. Rather, consideration was given to the merit of each recommendation and suggestion and as previously intimated its impact, if implemented, on the University.

Comments on the Report's Recommendations and Suggestions

For ease of reference, the recommendations are grouped according to the main headings and numbered as in the Report with the recommendation provided in full in italics and the comment on each recommendation provided below.

Role and Structure of HE Governance

Role of Privy Council (2.2)

The panel recommends that the existing jurisdiction of the Privy Council in relation to universities and higher education institutions be transferred to a committee comprising the First Minister of Scotland, the Lord Advocate and the Lord President of the Court of Session, subject to parliamentary scrutiny.

The benefits of this approach are unclear and it is difficult to comment fully upon this recommendation without clarification on the overall revised legal framework being considered. It would however be helpful if the current Ordinance approval process was improved but without losing the advantages of the existing checks and balances.

A New Statute (2.3)

The panel recommends that the Scottish Parliament enact a statute for Scotland's higher education sector setting out the key principles of governance and management and serving as the legal basis for the continued establishment of all recognised higher education institutions.

There was some concern about the practicalities of taking this forward given the complexities of the current legal framework and the diversity of the sector. It would be helpful to have an understanding of the thinking behind this proposal and what a new statute would achieve. A voluntary Code of Good Governance may be more appropriate, setting out the key principles of good governance and allowing greater flexibility to encompass the diversity within the sector.

Designation of University (2.3)

The panel recommends that, under the new statute, the designation 'university' should be reserved to independent public bodies accredited in Scotland under legislation for these purposes.

While supportive of the designation 'University' being reserved for independent bodies, the Sub-Group expressed some concern about the use of term 'public bodies' and suggested that perhaps the definition should also be more specific in referring to those institutions undertaking teaching and research.

Academic Freedom (2.4)

The panel recommends that a definition of academic freedom be incorporated in the statute governing higher education, based on the definition contained in Ireland's Universities Act 1997, and applying to 'relevant persons' as under the existing 2005 Act.

While fully supportive of the ethos of academic freedom it was suggested that it would be helpful to look wider than Ireland's Universities Act 1997 if a redefinition is required, to ensure that an international perspective is considered and taken into account. It was further suggested that the views of Senate be sought on this particular issue.

Statement on protection of academic freedom (2.4)

The panel recommends that Scottish universities and higher education institutions should adopt a similar approach and that each institution should adopt through appropriate internal processes, and present to the SFC, a statement on its implementation of the statutory protection of academic freedom.

There was full support for this proposal and the University has already taken this forward in the recent Ordinance on staff policies implemented with effect from 9 November 2010 which replaces the repealed 'Commissioners' Ordinance'. It was further suggested that the views of Senate be sought on this particular issue.

Ordinance No. 208: Employment of Academic Staff states: 'Any regulations and resolutions made by the University Court in relation to the discipline, redundancy, grievance, dismissal, other removal from office of academic staff and associated appeals procedures, shall be made after appropriate consultation with recognised trades unions and shall give effect to the guiding principle that academic staff employed by the University Court shall have freedom within the law to hold and express opinion, to question and test established ideas and received wisdom and to present controversial or unpopular points of view without placing in jeopardy the appointments they hold or any entitlements or privileges they enjoy.'

Role of Governance – four objectives (2.5)

Governing bodies should be required to demonstrate that their deliberations and decisions appropriately observe these four objectives, and they should regularly review their own performance against these and report on the outcome.

The University would have no difficulty in supporting and demonstrating that it observes the four principles as set out in the Report; these four objectives/principles (itemised on page 11 of this paper) could be included in a Code of Good Governance. The suggestions on collaborative arrangements in teaching and research are also supported but should be widened to UK and international arrangements and not restricted to within Scotland. The University already has in place a number of partnership arrangements with international institutions. The size of the Scottish sector also lends itself to taking advantage of collaboration between institutions to widen the range of specialist subject areas which can be offered by any individual institution.

Advisory Forum (2.8)

The panel recommends the establishment of a Higher Education Forum, convened by the Scottish Funding Council and chaired by the Cabinet Secretary for Education and Lifelong Learning, which would meet on fixed dates at least once a year.

It would be helpful to have a clearer understanding of the intended remit and powers of this Forum. There is already an effective Scottish Funding Council which operates as a non-departmental public body (NDPB) taking direction from the Scottish Government and discussing issues with the sector. The nature of the intended relationship between this Forum and the SFC is unclear. It is also unclear whether the intention of this recommendation is to amend the current remit of the SFC. The University wishes to continue to improve its engagement with its stakeholders – nationally and internationally – and to have active and effective dialogue with Scottish Ministers/Scottish Government; it is unclear how this would be taken forward by such a Forum. This proposal appears to create another layer of bureaucracy with overt political input.

Relationship with Further Education (2.9)

The panel recommends that all Scottish universities not only include responsibilities to their region, alongside their national and international objectives, in their strategic plans, but that they also seek ways to engage proactively, for the benefit of students and the Scottish education system as a whole, with further education institutions and any new governance structures that may be put in place.

It is unclear how this is a matter which sits comfortably within a governance review report. The objectives could perhaps be better taken forward within conditions set out in the SFC grant letter or perhaps a minimal set of expectations could be included within a Code of Good Governance. The University is happy to explore further articulation with the further education sector at regional and national levels.

Appointment and Remuneration of Principals

Appointment and role of Principal (3.1)

The panel recommends that the heads of Scottish higher education institutions should be described as the 'chief officer', and that the job title should continue to be 'Principal'.

Confirmation of the Principal as the University's Chief Officer would create no difficulties; this is already recognised within the University as is the linked role of Accountable Officer.

Further statement on appointment and role of Principal (3.1)

While we think it should not be beyond the realms of possibility to devise an electoral system that would overcome that perceived weakness, we have insufficient evidence to support moving to an elective system in Scotland at this stage. However, we consider there is a case for widening participation in the appointment process and that core to this approach should be the reform of the way in which appointment panels are set up and operate.

The arrangements for taking forward the appointment of a Principal should be transparent; follow recruitment good practice particularly including post and person specifications; and appropriate stakeholders should have input into the process. Within this University there is a statutory role for our Curators of Patronage.

Appraisal of Principal (3.1)

We also recommend that the appraisal of Principals should involve external governing body members, staff and students.

Consideration is currently being given to a 360 degree review process and therefore there is support for canvassing the views of appropriate Court and senior officers on the performance of the Principal. However, it is considered that the formal appraisal discussion would be more effective if taken forward on a one-to-one basis with the Vice-Convenor of Court and be based around performance against agreed objectives and forward plans.

Remuneration of Principals and Senior Management (3.2)

The panel recommends that further percentage increases beyond those awarded to staff in general should not take place until existing processes have been reviewed and, if appropriate, amended.

The panel recommends – in the light of the wider public debate about executive pay and bonuses – that universities ensure that any payments that may be perceived as bonuses are either abolished or at least transparently awarded and brought into line with the scale of ‘contribution payments’ available to on-scale staff.

The panel further recommends that remuneration committees should include staff and student members. The work of the committee should be transparent, and in particular, the basis upon which pay is calculated should be published. While the Framework Agreement, determining pay scales for university staff up to the grade of professor, is a UK matter, we recommend that the Scottish Government investigates whether it might be extended north of the border to include all staff including Principals. We also recommend there should be a standard format for reporting senior officer pay, and the SFC should publish these figures annually.

We also recommend that the SFC should investigate how the principles of the Hutton Report are being or should be applied to universities in Scotland.

While it was not appropriate for any bonus/performance system merely to reward achievement of tasks within the post specification of an individual, if the approach is appropriately managed, a transparent reward scheme, open to all members of staff to recognise exceptional work, may be acceptable.

In regard to salary placement, Universities operate in a competitive international environment and perhaps the best way to take forward this issue is to look at a benchmarking exercise – within an international context.

While Remuneration Committees consider a range of sensitive matters it is important to ensure that processes are transparent and robust. In regards to membership of the Committee there is some concern over possible conflicts of interest and vested interests which could perhaps best be resolved by only lay independent members of Court being members of Remuneration Committees or where University staff are members for them not to be involved in discussion of their own salaries.

Role, Composition and appointment of Governing Bodies

Meetings of governing bodies (4.0)

The panel recommends that meetings of governing bodies should normally be held in public unless the matters under consideration are deemed to be of a confidential or commercially sensitive nature; these exceptional matters should be established through clear guidelines.

There is no evidence that public meetings improve governance indeed there is an argument that public meetings reduce the effectiveness of governing bodies, stifling open debate and deterring able individuals from coming forward for election/appointment onto governing bodies. There are other more productive ways in which stakeholders can become engaged and informed of Court business; access to papers could be improved and papers published more expediently. There is merit, however, in considering an annual open meeting which would provide an appropriate forum for engagement with students, staff, alumni and the general public around discussion on the University's Annual Report and Annual Accounts. The Principal currently delivers an annual report to one of the statutory meetings of the General Council and a similar approach could be adopted.

Chairs of governing bodies (4.1)

The panel (by a majority) recommends that the chair of the governing body should be elected, thus reflecting the democratic ideal of Scottish higher education.

Currently a Rector nominated and elected by staff and students chairs meetings of Court. A Vice-Convener of Court is elected from among and by all members of Court in accordance with an agreed process and it is this elected Vice-Convener who acts *de facto* as the 'Chairperson of the University' and who ensures good governance arrangements and liaises closely with the Principal in taking forward strategic matters. The University would be content for this current approach to continue and therefore has concerns with the recommendations as set out in the Report and would wish further clarification.

Given the requirements in terms of knowledge and experience, there is a general view that the function of chairperson of the University should be carried out by an individual elected from among and by members of Court and not following a complex selection and election process. While the University would be content for the current approach as described above to continue, the benefits of bringing the chairing of meetings and the chairperson functions within the remit of one individual are also recognised. The view would then be that this individual should be elected from among and by all members of Court including the Rector; the Rector continuing to be elected as presently by staff and students.

Chair of governing bodies should be remunerated (4.1)

The panel recommends (by a majority) that the chair should receive some form of reasonable remuneration.

Remuneration of Chair/Chairperson or any other member of a governing body would change the nature of the governing body. Membership of Court is seen as a public service and therefore undertaken on a voluntary basis.

Appointment of External (Co-opted) Members (4.2)

The panel recommends that positions on governing bodies for lay or external members should be advertised externally and all appointments should be handled by the nominations committee of the governing body. Each governing body should be so constituted that the lay or external members have a majority of the total membership.

The University introduced a robust transparent appointment process following the last effectiveness review of Court. Co-opted members of Court and external members of Court Committees are appointed through an open advertisement and interview process based on a skills matrix approach managed by the Nominations Committee. The majority of Governing Body members should be lay or independent members.

Stakeholder representation on governing bodies (4.2)

The panel recommends that there should be a minimum of two students on the governing body, nominated by the students' association/union, one of whom should be the President of the Students' Association and at least one of whom should be a woman. There should be at least two directly elected staff members. In addition, there should be one member nominated by academic and related unions and one by administrative, technical or support staff unions. The existing system of academic board representatives (called 'Senate Assessors' in some universities) should also be continued. Governing bodies should also have up to two alumni representatives.

The panel however recommends that the existing practice in some universities of having 'Chancellor's assessors' should be discontinued.

The University is fully supportive of two members nominated from the Students' Association being members of Court, this being the current position. However the requirement for one of these nominations to be female (or indeed both to be female) contradicts equality and diversity good practice which the University strongly promotes and therefore it would not be supportive of this part of the recommendation.

The University is fully supportive of having at least two directly elected staff members on Court. There are currently four Senate Assessors elected from among and by members of Senate and one elected non-teaching staff member.

All members of Court regardless of their route to membership of Court be it via nomination, election or appointment processes require to exercise their responsibilities on Court in the interests of the University as a whole rather than as a representative of any constituency or other interest group. As Trustees of a charity they further require to comply with Charities and Trustee Investment Act (Scotland) 2005. The nomination of union representatives to Court does not fit well with this ethos and there are more constructive and appropriate methods by which recognised unions can engage with the University. It should further be noted that there is nothing preventing members of staff who are members of unions being elected as a Senate Assessor or as the non-teaching staff Court member.

There is a view that, for a governing body to be effective, it should consist of no more than 25 members with the majority being lay members. Any additional staff members would have to be balanced by additional lay/independent members thus increasing the size of the governing body.

It would be helpful to understand the reason for the proposal that the position of Chancellor's Assessor, as opposed to any other, be discontinued. The Chancellor is not a member of the governing body and the Chancellor's Assessor is therefore able to provide a valuable link between the Chancellor and the governing body.

Gender balance and diversity (4.2)

The panel therefore recommends that each governing body should be required to ensure (over a specified transition period) that at least 40 per cent of the membership is female. Each governing body should also ensure that the membership reflects the principles of equality and diversity more generally, reflecting the diversity of the wider society.

The panel recommends that governing bodies should be required to draw up and make public a skills and values matrix for the membership of the governing body, which would inform the recruitment of independent members of the governing body. The membership of the governing body should be regularly evaluated against this matrix.

Expenses available to those who sit on the governing body should include any wages lost as a result of attending meetings.

As previously stated, the University is fully supportive of actively promoting equality and diversity good practice in all aspects of the University including membership of Court. Further, the University would wish to promote a skills based approach to those individuals elected, nominated or appointed to Court. This recommendation contradicts good practice, is discriminatory and in practical terms would be impossible to ensure without breaking equality and diversity legislation. Also it focuses solely on gender in respect of targets and not on wider equality and diversity issues which the University would wish to promote.

The issue of developing a skills and values matrix for membership of Court was debated at the last Court effectiveness review and actions have been taken to promote a skills matrix approach to those nominated, elected and appointed to Court. The University also has in place as a result of the last Court effectiveness review a formal process of performance, development and review of all Court members and is therefore supportive of both these recommendations.

The University wishes appropriately to reimburse members for attendance at Court, Court Committees and other events (such as training sessions or representing the University) for costs such as travel and accommodation as well as the cost, if any, of an actual event. However it is difficult to envisage how this could be extended to compensation for lost wages: we are aware of no instances where Court members have had their salaries reduced and compensation for potential loss of earnings for those who are self-employed to some extent is more akin to remuneration than compensation for lost wages.

Senior officers' attendance at Court (4.2)

The panel recommends that senior managers other than the Principal should not be governing body members and should not be in attendance at governing body meetings, except for specific agenda items at which their individual participation is considered necessary, and for those agenda items only.

The University is supportive of only the Principal being an *ex officio* member of Court as is current practice. It is difficult to ascertain how to take this recommendation forward without a clear understanding of the definition of 'senior managers', given the Report's recommendation that staff should be members of Court and indeed this University currently has Senate Assessors and a Non-teaching staff Assessor.

Our last Court effectiveness review considered in detail attendance of senior officers at Court meetings. The view then and now is that in order for Court to have a full understanding of issues and to be able to question those presenting proposals, appropriate senior officers of the University need to be present. It was further agreed that the number should be limited to those requiring to be present based on the agenda for any particular meeting; in practice this has resulted in a core of senior staff always being in attendance and others being invited as required. In addition lay Court members in particular value the opportunity offered by Court meetings to build relationships with senior officers. The presence of senior officers also provides for transparency and accountability in regards to the operation of Court. This recommendation also appears to conflict with the previous recommendation of the Report to hold meetings of governing bodies in public.

The Committee structure (4.3)

Although not included as a recommendation as such in the Report, it was noted that there was a suggestion to create a joint Committee between Court and Senate to co-ordinate academic planning and resourcing issues. The University recognises the importance of academic planning and resources and this is fully taken forward as part of the annual planning round within an appropriate Committee framework. Staff are able to input into the shaping of departmental and College/Support Group annual plans and the role of this proposed Committee is currently fulfilled by the University's Central

Management Group; the benefit of a further Committee layer is unclear. The University also has Committees looking at research and ethical investment as suggested in this section of the report.

Training (4.4)

The panel recommends that all universities should be required to ensure that governors – including external governors, staff governors and student governors – are fully briefed and trained, and that their knowledge is refreshed regularly in appropriate programmes. Each governing body should be required to report annually on the details of training made available to and availed of by governors.

The University is fully supportive of this recommendation having introduced an annual induction event and mentoring scheme as well as offering Court members (and as appropriate external members of Court Committees) the opportunity to attend external training events principally those organised by the Leadership Foundation for Higher Education. It would be desirable to look at additional tailor-made training events for the Scottish sector. Members have also found the preparation of a Court Members Handbook (updated at least annually and as required twice a year) and the two half-day Court seminars organised each academic year helpful; members also have the opportunity to request meetings with any member of the University. Although no report is currently made to Court on training, the introduction of an annual report would be advantageous.

Role, Composition and appointment of academic Boards (Senates)

Academic Boards (Senate) – final arbiter on academic matters (5.1)

The panel recommends that, in line with existing legislation applying to the ancient universities, the academic board should be the final arbiter on academic matters.

This is the current case and the University is fully support of this continuing.

Membership of Senate (5.1)

The panel recommends that, apart from the Principal and the heads of School (or equivalent) who should attend ex officio, all other members should be elected by the constituency that they represent, and elected members should form a majority of the total membership. In establishing the membership of the academic board, due regard should be given to the principles of equality, and the need for the body to be representative. This includes a requirement to ensure that there is significant (rather than token) student representation. Overall, academic boards should not normally have more than 120 members.

Restricting membership of Senate seems to conflict with the general ethos of the Report to promote transparency and accountability. Within this University a number of posts or offices automatically confer membership of Senate including: Principal, Vice and Assistant Principals, Heads of Colleges and Schools, and all professors. There are also elected representatives of readers, senior lectures, lectures, demonstrators, and research staff and student associate members. While this membership may seem large, following a review of the effectiveness of Senate the University has introduced a number of innovations to enable full engagement with Senate members including conducting business electronically and a structure where Senate Committees have been delegated authority to take forward a range of academic matters. The three actual meetings of Senate held annually allow for robust discussion on pertinent issues with participation from a wide academic body: these events are videoed so that those members of Senate unable to attend are kept fully informed. Senate will be discussing this recommendation and some members have already expressed concerns and opposition to the proposals within the Report.

Role of Stakeholders

The University places great importance on dialogue with all its stakeholders. Interactions with stakeholders: formal, informal and ad hoc, take place throughout the University at various levels within departments, Schools, Colleges and Support Groups and the University continually endeavours to identify means of improving effective and meaningful engagement.

Other issues (Whistleblowing, Code of Good Governance etc)

Whistleblowing (7.1)

The panel recommends that all universities maintain a whistleblowing policy, and this should be under the overall control of the governing body. Such a policy must include a clear process a person, whether a member of the university or not, wishing to make a complaint can access, and it should be proactively publicised.

The University does have such a policy and a designated Court member with this responsibility. Improvements could however be made to publicising the policy and the name of the Court member.

Evidence Base (7.2)

The panel recommends that the Government instruct the Scottish Funding Council to establish in an appropriate academic setting a Scottish Centre for Higher Education Research, which should be available as a resource for the entire higher education sector and for government.

The University has queried this part of the Report with the Scottish Government. We have robust information on the wide range of research which has been and is being undertaken within the University on higher education at all levels including teaching, learning and the student experience, curricular design, widening access and articulation issues, and educational policies. Other Universities within Scotland are also taking forward research and therefore it is unclear of the benefit of introducing a new Centre for higher education research.

Avoiding Bureaucratisation of higher Education (7.3)

The panel recommends that the Scottish Funding Council should undertake a review of the bureaucratic and administrative demands currently made of higher education institutions from all government and public agency sources, with a view to rationalising these and thereby promoting more transparent and efficient regulation and governance.

The University is fully supportive of rationalising the bureaucratic and administrative demands placed upon the higher education sector by outside bodies.

Code of Good Practice (7.4)

The panel therefore recommends that the Scottish Funding Council should commission the drafting of a Code of Good Governance for higher education institutions.

Given the diversity of the Scottish Higher Education sector there is strong support for a Scottish focussed Code of Good Governance building on the CUC Code. Indeed, this as perhaps a more practical approach to that of a new statute as the Code could be drafted and brought into place in a relatively short timeframe.

Summary

Implementation of some of the recommendations and suggestions above are considered helpful in improving the current governance arrangements and the University may therefore wish to take these forward. However to implement fully some of the other recommendations and suggestions amendments would be required to the current framework within which this University operates, either through new Ordinances or revisions to other statutes. A number of recommendations are also specific to the interests of Senate. Court is advised to seek its views and obtain information from Senate on these specific recommendations.

Court may wish to consider implementation of the following to improve good governance:

1. Confirm its support for the four principles of university governance as set out in the report:
 - effective stewardship of the university to secure its sustainability over the medium and long term;
 - safeguarding the mission of the university and the services it provides for the public benefit;
 - securing the proper and effective use of public and other funds; and
 - ensuring stakeholder participation and accounting to the wider society for institutional performance
2. Principal to be confirmed as University's Chief Officer (as well as Accountable Officer).
3. Introduction of an annual public meeting.
4. Confirmation of the University's strong support and active promotion of equality and diversity principles in all aspects of the University's activities.
5. Annual Report to Court on the training undertaken by Court members.
6. Improve the publicity on the current 'Whistleblowing Policy' and promote the name of the designated member of Court.

The University of Edinburgh

University Court

14 May 2012

Merger with Edinburgh College of Art

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

This paper updates Court on SFC's review of progress on the merger and plans for a Court working group to conduct its own review of progress. It also seeks Court's endorsement for arrangements relating to the Andrew Grant Bequest and other ECA endowments

Action requested

As detailed in the paper.

Resource implications

Does the paper have resource implications? No

Risk assessment

Does the paper include a risk assessment? No

Equality and diversity

There are no equality and diversity implications.

Freedom of information

Can this paper be included in open business? No

Originator of the paper

Jennifer Roskilly, Financial Accountant
Elizabeth Welch, Assistant Director of Finance
Francine Shields, Head of Administration, ECA
Tom Ward, ECA Merger Project Manager

The University of Edinburgh

The University Court

14 May 2012

Draft Resolutions

The Court is invited to approve the following draft Resolutions and to refer them to the General Council and to the Senatus Academicus for observations:

- Draft Resolution No. 16/2012: Degree of Master of Surgery (Urology)
- Draft Resolution No. 17/2012: Degree of Master of Surgery (Trauma and Orthopaedics)
- Draft Resolution No. 18/2012: Master of Veterinary Sciences
- Draft Resolution No. 19/2012: Postgraduate Studies and Research
- Draft Resolution No. 20/2012: Undergraduate Degree Programme Regulations
- Draft Resolution No. 21/2012: Postgraduate Degree Programme Regulations
- Draft Resolution No. 22/2012: Higher Degree Regulations

Court is further asked to note that changes are anticipated in respect of draft Resolutions 20/2012, 21/2012 and 22/2012.

Dr Katherine Novosel
May 2012

UNIVERSITY OF EDINBURGH

Draft Resolution of the University Court No. 16/2012

Degree of Master of Surgery (Urology)

At Edinburgh, Xxx-xx day of Xxx, Two thousand and twelve.

WHEREAS the University Court deems it expedient to institute a postgraduate degree of Master of Surgery (Urology):

THEREFORE the University Court, on the recommendation of the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraph 2 of Part II of Schedule 2 to that Act, hereby resolves:

1. The University of Edinburgh may confer the degree of Master of Surgery (Urology) (ChM (Urology)) and those engaged in postgraduate studies by coursework in the University of Edinburgh shall include candidates for the degree of Master of Surgery (Urology).
2. The Senatus Academicus has the power to make Regulations under this Resolution governing the studies undertaken for the degree of Master of Surgery and in particular to register candidates for the degree and ensure their satisfactory supervision and to discontinue registration of unsatisfactory candidates.
3. The degree of Master of Surgery (Urology) shall not be conferred honoris causa.
4. All candidates for the degree of Master of Surgery (Urology) must be registered postgraduate students of the University of Edinburgh. The Regulations made by the Senatus governing registered postgraduate students apply to all candidates.
5. A candidate who has satisfied the conditions prescribed by or under this Resolution shall be entitled to receive the degree of Master of Surgery (Urology).
6. This Resolution shall come into force with effect from the 1 August Two thousand and twelve.

For and on behalf of the University Court

K A WALDRON

University Secretary

UNIVERSITY OF EDINBURGH

Draft Resolution of the University Court No. 17/2012

Degree of Master of Surgery (Trauma and Orthopaedics)

At Edinburgh, Xxx-xx day of Xxx, Two thousand and twelve.

WHEREAS the University Court deems it expedient to institute a postgraduate degree of Master of Surgery (Trauma and Orthopaedics):

THEREFORE the University Court, on the recommendation of the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraph 2 of Part II of Schedule 2 to that Act, hereby resolves:

1. The University of Edinburgh may confer the degree of Master of Surgery (Trauma and Orthopaedics) (ChM (Trauma and Orthopaedics)) and those engaged in postgraduate studies by coursework in the University of Edinburgh shall include candidates for the degree of Master of Surgery (Trauma and Orthopaedics).
2. The Senatus Academicus has the power to make Regulations under this Resolution governing the studies undertaken for the degree of Master of Surgery and in particular to register candidates for the degree and ensure their satisfactory supervision and to discontinue registration of unsatisfactory candidates.
3. The degree of Master of Surgery (Trauma and Orthopaedics) shall not be conferred honoris causa.
4. All candidates for the degree of Master of Surgery (Trauma and Orthopaedics) must be registered postgraduate students of the University of Edinburgh. The Regulations made by the Senatus governing registered postgraduate students apply to all candidates.
5. A candidate who has satisfied the conditions prescribed by or under this Resolution shall be entitled to receive the degree of Master of Surgery (Trauma and Orthopaedics).
6. This Resolution shall come into force with effect from the 1 August Two thousand and twelve.

For and on behalf of the University Court

K A WALDRON

University Secretary

UNIVERSITY OF EDINBURGH

Draft Resolution of the University Court No. 18/2012

Master of Veterinary Sciences

At Edinburgh, the XXX day of XXX, Two thousand and twelve.

WHEREAS the University Court deems it expedient to institute Master of Veterinary Sciences by coursework (MVetSci) and Master of Veterinary Sciences by Research (MVetSci by Research):

THEREFORE the University Court, on the recommendation of the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraph 2 of Part II of Schedule 2 to the Act, hereby resolves:

1. The University of Edinburgh may confer the degrees of Master of Veterinary Sciences (MVetSci) and Master of Veterinary Sciences by Research (MVetSci by Research) and those engaged in postgraduate studies in the University of Edinburgh shall include registered candidates for the degrees of Master of Veterinary Sciences by coursework (MVetSci) and Master of Veterinary Sciences by Research (MVetSci by Research).
2. The Senatus Academicus has the power to make Regulations under this Resolution governing the studies undertaken for the degrees of Master of Veterinary Sciences by coursework and Master of Veterinary Sciences by Research, and in particular to register all candidates for the degrees and ensure their satisfactory supervision and to discontinue registration of unsatisfactory candidates.
3. The degrees of Master of Veterinary Sciences by coursework and Master of Veterinary Sciences by Research may not be conferred honoris causa.
4. All candidates for the degrees of Master of Veterinary Sciences by coursework and Master of Veterinary Sciences by Research must be registered postgraduate students of the University of Edinburgh. The Regulations made by the Senatus governing registered postgraduate students apply to all candidates.
5. A candidate who has satisfied the conditions prescribed by or under this Resolution shall be entitled to receive the degree of Master of Veterinary Sciences by coursework or Master of Veterinary Sciences by Research.
6. On the date this Resolution comes into force, Resolution No. 44/2006 is revoked.
7. This Resolution shall come into force with effect from the 1 August Two thousand and twelve.

For and on behalf of the University Court

K A WALDRON

University Secretary

UNIVERSITY OF EDINBURGH

Draft Resolution of the University Court No. 19/2012

Postgraduate Studies and Research

At Edinburgh, the Xxx day of Xxx, Two thousand and twelve.

WHEREAS the University Court deems it expedient to amend Resolution No. 27/2000: Postgraduate Studies and Research:

THEREFORE the University Court, on the recommendation of the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraph 2 of Part II of Schedule 2 to that Act, hereby resolves that Resolution No. 27/2000 be amended as follows:

1. In section 1(d) delete 'in System Level Integration'
2. This Resolution shall come into force with effect from 1 August 2012.

For and on behalf of the University Court

K A WALDRON

University Secretary

UNIVERSITY OF EDINBURGH

Draft Resolution of the University Court No. 20/2012

Undergraduate Degree Programme Regulations

At Edinburgh, the XXX day of XXX, Two thousand and twelve.

WHEREAS the University Court deems it desirable to produce one comprehensive set of Undergraduate Degree Regulations, including Assessment Regulations (2012/2013);

AND WHEREAS the University Court considers it expedient to promulgate this Resolution to set out these Regulations in full to give effect to the essential elements contained within these Regulations including Assessment Regulations (2012/2013):

THEREFORE the University Court, on the recommendation of the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraphs 2 and 8 of Part II of Schedule 2 to that Act, hereby resolves:

1. The Undergraduate Degree Regulations are hereby set out:

A General Undergraduate Degree Regulations

COMPLIANCE

1. Every undergraduate student must comply with these regulations. In exceptional circumstances a concession to allow relaxation of a specific regulation may be granted by the appropriate Head of College. Where the Head of College does not have authority to award a particular concession then the Curriculum and Student Progression Committee may award the concession.
2. Where the Head of College has the authority to grant permissions and concessions, this authority may be delegated to appropriate nominees in the College or Schools. Students must consult their Personal Tutor as to the appropriate point of contact, and must-not approach the Head of College direct.
3. Students must comply with any requirements specific to their degree programme as set out in the Degree Programme Tables, the relevant College Regulations and the University's Taught Assessment Regulations for the current academic session:
www.ed.ac.uk/schools-departments/academic-services/staff/assessment/assessment-regulations
4. The relevant College's Fitness to Practise Committee must be satisfied at all times that in respect of health, conduct and any other matters which the Committee may reasonably deem relevant, whether such matters relate to the student's University programme or are unrelated to it, the student will not constitute a risk to the public, vulnerable children or adults or to patients and is a suitable person to become a registered member of the relevant professional body. Students are subject to the Fitness to Practise regulations both while matriculated and while on an interruption of study. Any student who fails to satisfy the relevant College's Fitness to Practise Committee, irrespective of his/her performance in assessment, will be reported to the Head of College who has power to

recommend exclusion from further studies and assessments or Professional Examinations, or to recommend the award of the degree be withheld. An appeal against this decision may be submitted to the University's Fitness to Practise Appeal Committee:

http://www.docs.sasg.ed.ac.uk/AcademicServices/Guidance/Fitness_to_Practise.pdf

5. Students must comply with the University's Student Disclosure Assessment process to ensure that students do not pose a risk to those with whom they interact during their students, in particular, vulnerable groups.

[http://www.ed.ac.uk/polopoly_fs/1.7201!/fileManager/Code%2Bof%2BPractice%2Bfor%2BStudent%2BCriminal%2BConvictions%2Band%2BDisclosure%2BAssessment%2B2011%2B\(3\).pdf](http://www.ed.ac.uk/polopoly_fs/1.7201!/fileManager/Code%2Bof%2BPractice%2Bfor%2BStudent%2BCriminal%2BConvictions%2Band%2BDisclosure%2BAssessment%2B2011%2B(3).pdf)

6. The University awards the following types of undergraduate degrees, diplomas and certificates, with the credit points required as listed below. The University's undergraduate awards and degree programmes are consistent with the Scottish Credit and Qualifications Framework (SCQF, <http://www.scqf.org.uk/>) unless an exemption has been approved by the Curriculum and Student Progression Committee. The credit levels required for each programme are specified within the appropriate Degree Programme Table.

I	Undergraduate Certificate of Higher Education	120 credits
li	Undergraduate Diploma of Higher Education	240 credits
A.	Single Honours (in a named subject/discipline)	480 credits
B.	Single Honours (with a subsidiary subject)	480 credits
C.	Combined Honours (in two disciplines)	480 credits
D.	Group Honours (more than two disciplines)	480 credits
E.	Non-Honours Degrees	360 credits
F.	General and Ordinary	360 credits
G.	Intercalated Honours Degrees	See appropriate DPT
H.	Integrated Masters with Honours (in named subject/discipline)	600 credits
	Integrated Masters (with a subsidiary subject)	600 credits
	Integrated Masters (with combined honours in two disciplines)	600 credits
	Integrated Masters with Honours in Fine Art	600 credits
I.	MBChB (5 year programme)	640 credits
	MBChB (6 year programme)	720 credits
J.	BVM&S Graduate Entry Programme	530 credits
	BMV&S 5 Year Programme	600 credits

7. Every student must comply with the detailed requirements of the curriculum for the degree as set out in the appropriate Degree Programme Table, the courses of study, the order in which courses are attended and the assessment for the programme, which have been approved by the Senatus and published in the University Degree Regulations and Programmes of Study.

8. When selecting courses, students must comply with the pre-requisite, co-requisite and prohibited combination requirements shown in the Degree Programme Table, unless a concession is approved by the relevant Head of College.

9. No student will be admitted to a degree programme or a course that is part of their degree programme more than two weeks after the start of the semester in which the course is taught without the permission of the Head of College.

MODE OF STUDY

10. Programmes are offered on a full-time or part-time basis. A student's mode of study is defined when they are admitted to the degree programme.
11. Only in exceptional circumstances, and with the permission of the Head of College, is a student allowed to change mode of study.

STUDY PERIOD

12. A student must complete the requirements of the degree programme within the maximum period of study specified in the Degree Programme Table, unless given a concession with the approval of the Head of College.
13. The maximum period for completion of an Ordinary or General degree programme is 8 years. The maximum period for completion of an Honours degree programme is 10 years.
14. With the annual permission of the Head of College, a student may take longer than the study period specified in the Degree Programme Table to undertake an Ordinary, General or Honours degree programme, provided that a minimum of 40 credit points are undertaken in each year of study.
15. Certain elements of a degree programme may require full-time attendance. Students given permission to undertake study over an extended period must comply with any requirements-specified for a particular degree programme.
16. For the award of a University of Edinburgh degree a student must study University of Edinburgh courses for a minimum period of two years (240 credits) or the pro-rata equivalent in the case of part-time study. This regulation does not apply to intercalating medicine and veterinary medicine students.
17. A student may apply for an interruption of study and it may be authorised by the Head of College if there is good reason for approving the interruption. The student must provide evidence to support their application. Any one period of authorised interruption of study will not exceed one academic year, and the total period of authorised interruption of study, which may be granted throughout the programme of study, will not exceed three academic years.
18. Study undertaken at another institution during a period of authorised interruption of study will not be credited to a student's programme of study at the University of Edinburgh.
19. Students registered for the MBChB or BVM&S may elect to take an intercalated Honours year, or undertake a PhD or other research programme during their period of enrolment. This is not categorised as interruption of study.

RECOGNITION OF PRIOR LEARNING (RPL)

20. The Head of College has the power to recognise up to 240 credits of prior learning and on this basis to admit a student to the second or later years of a programme of study. Before approval is granted the College must be satisfied that the learning to be recognised provides an adequate basis for the programme or courses as set out in the appropriate Degree Programme Table. See also, the University's Admissions Policy: http://www.ed.ac.uk/polopoly_fs/1.50158!/fileManager/University%20of%20Edinburgh%20Admissions%20Policy%202010.pdf

21. University of Edinburgh courses which have a substantial curriculum overlap with any of the courses that contributed to a student's admission on the basis of RPL will not count towards the student's degree programme.

22. Credit points awarded for entry with RPL will not contribute to a student's progression within their University of Edinburgh study.

ATTENDANCE AND PARTICIPATION

23. Students must attend and participate as required in all aspects of their programme of study. This includes being available for assessment, meeting Personal Tutors and examination. The Degree Programme Table sets out programme requirements for on-campus study, placements and distance education.

24. During a period of study, including authorised interruptions of study and leave of absence, it is a student's responsibility to provide a current postal contact address and to ensure that any legal requirements, including those imposed by his or her funding or grant authority, are met. Current students must check their MyEd and University email account regularly for communications from the University.

25. Students require the permission of the relevant Head of College to attend another academic institution on a recognised exchange scheme or other approved programme of study or to undertake an approved placement. This is categorised as a leave of absence.

PROGRESSION AND PERMISSIBLE CREDIT LOADS

26. To gain a specific degree award, students must achieve the SCQF credit point and level requirements of the particular programme, as set out in the appropriate Degree Programme Table.

27. Full-time undergraduate study comprises 120 credit points in each year of study. Part-time study is defined on a pro-rata basis in the relevant Degree Programme Table.

28. To continue without the need for an extension to the total period of study, a full-time student must attain a minimum of:

- 80 credit points by the end of Year 1;
- 200 credit points by the end of Year 2;
- 360 credit points by the end of Year 3;
- 480 credit points by the end of Year 4;
- 600 credit points by the end of Year 5 for Integrated Masters.

To continue without the need for an extension to the total period of study, a part-time student must attain the pro-rata equivalent to the above. In addition, full-time and part-time students must meet any other requirements set out in their Degree Programme Table and their programme handbook.

29. Where the required credit points have not been attained by the relevant stage, the student will be reported to the Head of College and may be required to take resit exams or additional courses to make good the deficit.

30. Students who do not attain sufficient credits within the specified period may be excluded for unsatisfactory academic progress. The College will follow the procedure for Withdrawal and Exclusion from Studies:

http://www.docs.sasg.ed.ac.uk/AcademicServices/Guidance/Withdrawal_Exclusion_from_Study.pdf

31. A student who has previously submitted work for one course at the University must not submit the same work to attempt to achieve academic credit through another course.
32. In pre-Honours years, a student may be allowed to take SCQF level 7 and 8 courses additional to the normal 120 credits, subject to the approval of the student's Personal Tutor.
33. Exceptionally, students in their honours years, with College approval, may take a small amount of additional SCQF level 7 or 8 credit and, more rarely, up to 10 credits at levels 9-11 in the Honours years.
34. Students can only be registered for one full-time award at the University of Edinburgh.

TRANSFER TO DIFFERENT DEGREE PROGRAMME

35. A student may be allowed to transfer to a different degree programme from another within the University by permission of the Head of the receiving College.
36. Unless granted a concession by the Head of the receiving College, students must comply with the pre-requisite and co-requisite requirements of the new programme shown in the Degree Programme Table.

AWARDS AND QUALIFICATIONS

37. Students for the **Undergraduate Certificate of Higher Education** must have attained a minimum of 120 credit points gained from passes in courses of this University which count towards graduation.
38. Students for the **Undergraduate Diploma of Higher Education** must have attained a minimum of 240 credit points. At least 120 credit points must be gained from passes in courses of this University counting towards graduation and at least 90 of the 120 credit points gained from courses passed at this University must be in courses at level 8 or above.
39. The attainment requirements for students for **General and Ordinary** degrees are specified in the relevant College regulations below (Sections B and D).
40. The attainment requirements for students for **MBChB and BVM&S** degrees are specified in the College of Medicine and Veterinary Medicine regulations below (Section C).
41. The award of **Honours** is based on the student's performance in assessment in the Honours year(s). For information on the award of Honours see the Taught Assessment Regulations for the current academic session: www.ed.ac.uk/schools-departments/academic-services/staff/assessment/assessment-regulations
42. A student who satisfies the examiners in the **Final Honours** assessment shall be awarded Honours in one of following classifications: First Class, Second Class Division I, Second Class Division II and Third Class.
43. A student who has been assessed, classed or failed for Honours may not present him or herself for **re-assessment** in the same programme, or assessment in a closely related programme. The Head of College determines whether a programme is closely related.

44. During a single period of continuous registration, a student may be awarded only the qualification with the **highest status** for which he or she has attained the required credits.

45. A candidate who already holds a **General Or Ordinary degree** may be permitted by the appropriate Head of College to apply for the degree with Honours, provided that not more than five years have elapsed between his or her first graduation and acceptance as a candidate for the subsequent degree with Honours. Such a candidate will normally be required to achieve a further 240 credit points, or credit points as deemed appropriate by the Head of the receiving College, at the levels stipulated in the appropriate Degree Programme Table.

46. In exceptional circumstances, notwithstanding any existing Resolutions to the contrary, the University may confer all existing Honours degrees with **unclassified Honours** if insufficient information is available to the relevant Board of Examiners to classify those degrees. Where a Board of Examiners has insufficient information to enable an unclassified Honours degree to be conferred on a candidate for Honours, a General or Ordinary degree may be awarded to that candidate where he or she is qualified for such a degree under the existing Regulations. Conferment of an unclassified Honours degree or General or Ordinary degree in these cases is an interim measure: final awards will be confirmed when sufficient information is available to the relevant Board of Examiners.

47. Senatus may authorise the conferment of **posthumous degrees, diplomas and certificates** if proposed by the College and approved by the Curriculum and Student Progression Committee (CSPC). A posthumous award is conferred only where the student was qualified to receive the award at the time of death.

48. In exceptional circumstances Senatus may authorise the conferment of **aegrotat degrees**, which are unclassified. Each such conferment requires a proposal from the College concerned and approved by the Curriculum and Student Progression Committee. An *aegrotat* degree is conferred only where the student was nearly qualified to receive the degree and was unable to complete it due to circumstances beyond his or her control. Before any proposal is referred to Senatus, the College must check that the student is willing to receive the degree *aegrotat*.

B College of Humanities and Social Sciences Undergraduate Degree Regulations

49. These degree programme requirements relate to undergraduate programmes in the College of Humanities and Social Science. They are additional to, and should be read in conjunction with, the General Undergraduate Degree Regulations above, which apply to **all** undergraduate programmes, unless otherwise stated.

50. The College Fitness to Practise policy is available at: <http://www.ed.ac.uk/schools-departments/humanities-soc-sci/undergraduate-academic-admin/student-conduct/fitness-to-practise>

General Degrees

51. For the award of the degree of BA (Humanities and Social Science) students must obtain 360 credit points. The 360 credit points must include at least:

- 240 credit points at SCQF level 8 or above.
- 140 credit points in a major subject of study (80 credit points at SCQF level 7 or 8, and 60 at SCQF level 9 or 10), taking courses in this subject each year.
- 200 credit points in College of Humanities and Social Science courses, or under Geography in Schedule N.
- 40 credit points from each of two other subjects of study as listed in Schedules A-Q and W. The subject areas must be chosen from two different Schedules.

Merit and Distinction

52. General and Ordinary degrees may be awarded with Merit or Distinction.
- For Merit a student must achieve grade B or above at first attempt, in courses totalling 180 credit points, of which at least 40 credits points must be at level 9 or 10, and at least 80 of the remaining credit points must be at level 8 or higher.
 - For Distinction, a student must achieve grade A at first attempt, in courses totalling at least 160 credit points, of which at least 40 credit points must be at level 9 or 10, and at least 80 of the remaining credit points must be at level 8 or higher.
53. The LLB Ordinary, Graduate Entry degree may be awarded with Merit or Distinction.
- For Merit a student must achieve grade B or above at first attempt, in courses totalling 120 credit points.
 - For Distinction, a student must achieve grade A at first attempt, in courses totalling at least 100 credit points.

Distinction in Oral Language

54. Students of the MA with Honours which includes an Honours oral examination in any one of the following languages will be awarded a Distinction in Oral Language if their performance at the oral examination is of first class standard: Arabic, Chinese, Danish, French, German, Italian, Japanese, Norwegian, Persian, Portuguese, Russian, Spanish and Swedish.

Degree of Bachelor of Medical Sciences and Bachelor of Science (Veterinary Sciences)

55. The degree programme requirements of the Bachelor of Medical Sciences and Bachelor of Science (Veterinary Sciences) are in the College Undergraduate Degree Regulations of the College of Medicine and Veterinary Medicine (Section C).

C College of Medicine and Veterinary Medicine Undergraduate Degree Regulations

56. These degree programme requirements relate to undergraduate programmes in the College of Medicine and Veterinary Medicine. They are additional to, and should be read in conjunction with, the General Undergraduate Degree Regulations above, which apply to **all** undergraduate programmes, unless otherwise stated.

MBChB

COMPLIANCE

57. Students should refer to the appropriate Year Study Guides on the Edinburgh Electronic Medical Curriculum (EEMeC) on <https://www.eemec.med.ed.ac.uk> for detailed curriculum and assessment information.

58. Students entering the first year MBChB programme are subject to a check, carried out by Disclosure Scotland, under the Protection of Vulnerable Groups legislation. Admission to the medical profession is excepted from the provisions of Section 4 (2) of the Rehabilitation of Offenders Act 1974 by virtue of the Rehabilitation of Offenders Act 1974 (Exceptions) (Amendments) Order 1986. Students on the MBChB programme are therefore not entitled to withhold information about any conviction on the grounds that it is, for other purposes, spent under the Act. Subject to the provisions of the Rehabilitation of Offenders Act 1974, failure to disclose a relevant conviction may result in the withdrawal of an offer of admission or exclusion from a programme of studies.

59. Students are subject to blood borne virus checks as they are admitted to the MBChB programme. Failure to comply with this regulation may result in exclusion from a programme of studies.

60. The College Fitness to Practise policy is available at <http://docstore.mvm.ed.ac.uk/Committees/Fitness-to-Practise.pdf>

ATTENDANCE AND PARTICIPATION

61. Students in Years 3, 4 & 5 are required to undertake placements in hospitals outside Edinburgh

62. Students enrolled for Years 3, 4 and 5 of the degrees of MBChB during the Academic Year 2012-2013 are required to attend for compulsory periods throughout the year. Students should consult the Edinburgh Electronic Medical Curriculum (EEMeC) on <http://www.eemec.med.ed.ac.uk> and relevant year study guides for detailed attendance dates and timetable information.

63. In special circumstances students may be permitted to interrupt studies or repeat a year of study because of ill-health, service or sporting commitments, or an episode of academic failure. Only in highly exceptional circumstances will students be permitted more than two such years of interrupted progress, whether taken consecutively or at intervals throughout the programme. Exceptions are very unlikely to be considered in the case of prolonged or repeated academic failure. Approved study for an intercalated degree does not constitute interrupted progress.

PROGRESSION

64. A student who fails the Professional Examination in Year 4 may be required by the Boards of Examiners to use part or all of the free elective period to undertake one or more guided electives before being permitted to re-sit.

65. A student whose progress in Year 5 is unsatisfactory may be required to undertake a period of remedial study before being permitted to re-sit.

66. No student may proceed to the next year of study for the MBChB programme until he/she has passed all components of the previous year of the programme

AWARDS

Passes with Distinction

67. Students who have attained a sufficiently high standard in any of the Professional Examinations will be recorded as having passed that examination 'with distinction'.

Honours at graduation

68. Students who have displayed special merit in the Professional Examinations over the whole degree programme will be awarded MBChB with Honours at the time of graduation.

BVM&S

COMPLIANCE

69. Students should refer to the appropriate Course Books on the Edinburgh Electronic Veterinary Curriculum (EEVeC) on <https://www.eemec.med.ed.ac.uk> for detailed curriculum and assessment information.

ATTENDANCE AND PARTICIPATION

70. In special circumstances students may be permitted to interrupt studies or repeat a year of study because of ill-health, service or sporting commitments, or an episode of academic failure. Only in highly exceptional circumstances will students be permitted more than two such years of interrupted progress, whether taken consecutively or at intervals throughout the programme. Exceptions are very unlikely to be considered in the case of prolonged or repeated academic failure. Approved study for an intercalated degree does not constitute interrupted progress.

PROGRESSION

71. Students for the Final Professional Examination must produce satisfactory evidence that they have, subsequent to commencing studies in the Third Year of the degree curriculum, received extra-mural clinical instruction for a total period of not less than 26 weeks, in accordance with arrangements approved by the College of Medicine & Veterinary Medicine. Students shall be required to produce records of extra-mural clinical teaching received, which have been attested by the extra mural teacher or teachers concerned.

72. Before proceeding to the Third Year of the curriculum for the degree a student

must normally present satisfactory evidence of having had at least 12 weeks extra-mural experience of livestock husbandry, in accordance with arrangements approved by the College of Medicine & Veterinary Medicine. This should normally be obtained during vacations subsequent to the commencement of the First Year of study, and be completed prior to sitting the Second Professional Examination.

73. Where a student fails to meet this requirement by the end of the session in which they pass the Second Professional Examination, the College of Medicine & Veterinary Medicine will normally recommend to Senatus that they be excluded from further attendance at courses of instruction and examinations in the College of Medicine & Veterinary Medicine.

74. No student may proceed to the next year of study for the BVM&S programme until he/she has passed all components of the previous year of the programme.

AWARDS

Passes with Distinction

75. Students who have attained a sufficiently high standard in any of the Professional Examinations will be recorded as having passed that examination 'with distinction'.

Distinction at graduation

76. Students who have displayed special merit in the Professional Examinations over the **whole degree programme** will be awarded BVM&S with Distinction at the time of graduation.

Bachelor of Medical Sciences

Honours degree

77. Every student admitted as a student for the degree must also be a student for the degree of MBChB. A student for a comparable degree in another University or institution of University standing may be admitted as a student for the degree of Bachelor of Medical Sciences with Honours, subject to the approval of the College of Medicine and Veterinary Medicine.

78. In addition, every student must pursue studies for at least one academic year in the University of Edinburgh in one of the Honours Degree Programmes available at <http://www.ed.ac.uk/schools-departments/medicine-vet-medicine/undergraduate/medicine/mbchb/intercalated-honours>

79. The Bachelor of Medical Sciences is intercalated after Year 2 of the MBChB programme.

80. Limitation on Courses Taken in Honours Years: Students in all Honours years may take Honours curriculum courses to a maximum value of 120 credit points, all of which count in the final Honours assessment.

Ordinary Degree

81. No student shall be admitted as a student to the degree, except on transfer from candidature for the degrees of MBChB. Students are eligible to be considered for a BMedSci

(Ordinary) degree if they have successfully achieved 240 credits from the First and Second Professional Examinations and , have attained at least 80 of the available 120 credits in the Third Year MBChB assessments. The Ordinary Degree of Bachelor of Medical Sciences may not be conferred on any student who already holds or is eligible to receive the Degree of Bachelor of Medical Sciences with Honours.

82. The compliance, attendance and participation, and progression requirements for the degrees of MBChB apply (paragraphs 57-66).

BSc in Veterinary Science

Honours Degree

83. Every student admitted as a student for the degree must also be a student for the degree of BVM&S, or have obtained the BVM&S degree not more than five years before the date of admission as a student for the Honours Degree. A student for a comparable degree in another University or institution of University standing may be admitted as a student for the degree of B Sc (Veterinary Science), subject to the approval of the College of Medicine & Veterinary Medicine.

84. Every student for the degree must normally attend in the University of Edinburgh during not less than two academic years the courses of instruction in the classes of the first two years of the curriculum for the BVM&S degree and pass the assessments prescribed for these courses.

85. In addition every student must pursue studies for at least one year in the University of Edinburgh in one of Honours Degree Programmes available at:
<https://www.eevec.vet.ed.ac.uk/secure/page.asp?ID=in0000id>

86. The year of study in the Honours Degree Programme may be intercalated not earlier than the end of the second year of study, provided that a student has successfully completed the appropriate assessments and satisfied such conditions as the Head of the School concerned may require, subject to the approval of the College of Medicine & Veterinary Medicine.

87. Students in all Honours years may take Honours curriculum courses to a maximum value of 120 credit points, all of which count in the final Honours assessment. Students may attend additional Honours courses on a class-only basis (i.e. not for credit), with the agreement of the Course Organiser and the approval of the Director of Studies.

Ordinary Degree

88. No student shall be admitted as a student for the degree, except on transfer from candidature for the degrees of BVM&S 5 year programme or BVM&S 4 year Graduate Entry Programme. Students on the 5 year programme are eligible to be considered for the ordinary degree if they have successfully completed 240 credits from the First and Second Professional Examinations and, have shown sufficient attainment in the Third Year BVM&S assessments. Students on the graduate entry programme are awarded 120 credits of recognised prior learning. The Ordinary Degree of BSc (Veterinary Science) may not be conferred on any student who already holds, or is eligible to receive, the Degree of BSc (Veterinary Science) with Honours.

BSc in Oral Health Sciences

COMPLIANCE

89. Students should refer to the appropriate Year Study Guide for detailed curriculum and assessment information.

90. Admission to the profession is excepted from the provisions of Section 4 (2) of the Rehabilitation of Offenders Act 1974 by virtue of the Rehabilitation of Offenders Act 1974 (Exceptions) (Amendments) Order 1986. Students on the BSc in Oral Health Sciences programme are therefore not entitled to withhold information about a previous conviction on the grounds that it is, for other purposes, spent under the Act. Subject to the provisions of the Rehabilitation of Offenders Act 1974, failure to disclose a relevant conviction may result in the withdrawal of an offer of admission or exclusion from a programme of studies.

91. Students are subject to a Hepatitis B, Hepatitis C and HIV status check prior to entering the BSc in Oral Health Sciences. Failure to comply with this regulation or a positive result will lead to admission being refused or to exclusion from studies.

92. The College Fitness to Practise policy is available at <http://docstore.mvm.ed.ac.uk/Committees/Fitness-to-Practise.pdf>

ATTENDANCE AND PARTICIPATION

93. Except in exceptional circumstances, the maximum period of enrolment on the BSc in Oral Health Sciences may not exceed five years, including any period of leave of absence.

PROGRESSION

94. A student whose progress in any year is unsatisfactory may be required to undertake a period of remedial study before being permitted to re-sit.

95. No student may proceed to the next year of study for the BSc programme in Oral Health Sciences until he/she has passed all components of the previous year of the programme.

Bachelor of Science in Medical Sciences

Honours Degree

96. **Limitation on Courses Taken in Honours Years:** Students in all Honours years may take Honours curriculum courses to a maximum value of 120 credit points, all of which count in the final Honours assessment. Students may attend additional Honours courses on a class-only basis (i.e. not for credit), with the agreement of the Programme Organiser and the approval of the Director of Studies.

(i) Where a student takes level 9 courses in year 2, such courses should be regarded as part of the non-Honours curriculum and, if failed, may be repeated as a resit in Junior Honours. These courses will not be included in the degree classification.

(ii) Students intending to graduate with an Ordinary degree may resit a failed level 9 course for the purposes of gaining the required number of credits, as specified in the Undergraduate Assessment Regulations.

(iii) Students in Junior Honours are permitted also to take up to 40 credit points of level 7/8 courses, which do not count towards the Honours assessment, as specified in the Undergraduate Assessment Regulations.

(iv) Students in Junior Honours must take 60 credit points of level 9/10 courses in semester 1 and 60 credit points of level 9/10 courses in semester 2.

Bachelor of Science General Degree

97. To qualify for the award of the degree of BSc (General) students must have obtained 360 credit points from passes (or recognition of prior learning), normally at the rate of 120 credit points per year: 240 credit points in courses listed in Medicine and Veterinary Medicine Schedule T, Science and Engineering Schedules K-Q and from subject areas Language Sciences and Psychology in Schedule I; 200 credit points at SCQF level 8, 9 or 10; 80 credit points at SCQF level 8, 9, 10 in courses listed in Medicine and Veterinary Medicine Schedule T, Science and Engineering Schedules K-Q and from subject areas Language Sciences and Psychology in Schedule I; 60 credit points at SCQF level 9 or 10.

Bachelor of Science Ordinary Degree in Medical Sciences

98. To qualify for the award of the degree of BSc Ordinary Degree in Medical Science students must have obtained 360 credit points from passes (or recognition of prior learning). The overall curriculum must include at least: 240 credit points in courses listed in Medicine and Veterinary Medicine Schedule T, Science and Engineering Schedules K-Q and from subject areas Language Sciences and Psychology in Schedule I; 200 credit points at SCQF level 8, 9 or 10; 80 credit points at SCQF level 9 or 10 in the Schedule T. The courses at SCQF level 9 or 10 are the compulsory or optional courses as indicated in 'Normal Year Taken' (NYT) 3 and 4 of the Honours Degree Programme Table, subject to further restrictions and recommendations that may appear in the School Programme Guide.

Study at Another Institution

99. A student for an Honours degree is not allowed to substitute study at another institution for the final year of his/her Honours programme.

BSc in Biological Sciences

100. The Degree Programme Requirements of the BSc in Biological Sciences can be found in the College Undergraduate Degree Regulations of the College of Science and Engineering.

D College of Science and Engineering Undergraduate Degree Regulations

101. These degree programme requirements relate to undergraduate programmes in the College of Science and Engineering. They are additional to, and should be read in conjunction with, the General Undergraduate Degree Regulations above, which apply to **all** undergraduate programmes, unless otherwise stated.

Qualifications

Bachelor of Science General Degree*

102. For the award of the degree of BSc (General) students must have obtained 360 credit points including at least:

- (i) 180 credit points in courses listed in the School collections of Schools in the College of Science and Engineering.
- (ii) 200 credit points at SCQF levels 8, 9 or 10;
- (iii) 60 credit points at SCQF level 9 or 10;
- (iv) 30 credit points at SCQF level 9 or 10 in courses listed in the School collections of Schools in the College of Science and Engineering.

*The Bachelor of Science: General Degree will not be available to students entering the University from 2012/13 onwards

Bachelor of Science Ordinary Degree in a Designated Discipline or Combined Disciplines

103. To qualify for the award of the BSc Ordinary Degree in a Designated Discipline or Combined Disciplines students must have obtained 360 credit points (or accreditation of prior learning, acceptable under General Undergraduate Regulation 11). The overall curriculum (including any concessions) must have met the requirement for entry to Senior Honours in that Discipline or Combined Disciplines as indicated in years 3 and 4 of the Honours Degree Programme Table, subject to further restrictions and recommendations that may appear in the appropriate School Programme Guide (excluding the requirement for the Honours courses to have been passed at the first sitting, and excluding any elevated hurdles or prerequisites for Honours.)

For those programmes where there is a Schedule of level 9 courses specifically for Ordinary Degrees then the level 9 course may be substituted for the related level 10 course in the DPT for the purpose of eligibility for the Ordinary Degree in a Designated Discipline.

104. The BSc Ordinary Degree is awarded in designated disciplines corresponding to every BSc, BEng, MA, or Integrated Masters (e.g. MPhys, MInf) Honours degree and with the same titles, with the exception that the titles of the following Ordinary degrees in the designated disciplines are changed as indicated:

- (i) subject specialisations for the BSc Biological Sciences, where the Designated Discipline will be Biological Sciences, i.e. without the subject specialisation, except for the subject specialisation of Psychology, where the Designated Discipline will be Biological Sciences (Psychology);
- (ii) subject specialisations within the School of Chemistry, where the Designated Discipline will be either Chemical Sciences or Chemical Sciences with Industrial Experience. The latter may be awarded to students who successfully complete the industrial experience component of the corresponding MChem programme;
- (iii) subject specialisations within the discipline of Ecological Science, where the Designated Discipline will be Ecological Science, i.e. without the subject specialisation; which do not refer to subject specialisations.

105. In the case of Combined Degree programmes, the Examiners will recommend the award of the BSc Ordinary Degree in single (see requirement 4 above) or combined disciplines in order to best reflect the achievements of the individual student.

Degree of Bachelor of Medical Sciences

106. The Degree Programme Requirements of the Bachelor of Medical Sciences and Bachelor of Science (Veterinary Sciences) are in the College Undergraduate Regulations of the College of Medicine and Veterinary Medicine.

Study at Another Institution

107. A student for an Honours degree is not allowed to substitute study at another institution for the final year of his/her Honours programme.

Limitation on Courses Taken in Honours Years

108. Students in all Honours years must take Honours curriculum courses to a maximum value of 120 credit points, all of which count in the final Honours assessment.

109. Students may attend additional Honours courses on a class-only basis (i.e. not for credit), with the agreement of the Course Organiser and the approval of the Director of Studies.

- (i) For those minority of programmes where students can take level 9 courses in year 2, such courses should be regarded as part of the non-Honours curriculum and, if failed, may be repeated as a resit in Junior Honours. These courses will not be included in the degree classification.
- (ii) Students intending to graduate with an Ordinary degree may resit a failed level 9 course for the purposes of gaining the required number of credits, as specified in the Undergraduate Assessment Regulations.
- (iii) Students in Junior Honours are permitted also to take up to 40 credit points of level 7/8 courses, which do not count towards the Honours assessment, as specified in the Undergraduate Assessment Regulations.

Professional requirements

110. This section applies to professionally accredited programmes within the School of Engineering.

111. An Honours student who is eligible for progression or for the award of an Honours degree by the University regulations but who fails an Honours course, for which a pass is required for reasons associated with breadth of professional knowledge and/or the stipulation(s) of one or more of the Professional Accreditation bodies, will be required to "resit for professional purposes" the examination and/or resubmit the course work in the August diet following. However, the first (fail) mark will be recorded for the Honours degree classification.

112. Should the resit or resubmission still fail to achieve a pass, the student will not be eligible to progress or graduate with Honours. In such cases, the student will be required to interrupt for a year and take a further “resit for professional purposes”. A final year student requiring “resit(s) for professional purposes” will be ineligible for the degree of Bachelor of Engineering with Honours / Master of Engineering with Honours until such time as the necessary passes at “resit for professional purposes” are achieved, but may be eligible for the award of the degree of Bachelor of Science (Ordinary) in a Designated Discipline. The maximum number of attempts will be the same as the number normally allowed by undergraduate assessment regulations.

113. It will be for each Discipline within the School of Engineering to identify “courses for which a pass is required...”. This may be done on the basis of individual courses, and/or on the basis of an aggregate. The requirements for each Discipline will be stated in the Degree Programme Handbook.

2. These Regulations, including Assessment Regulations (2012/2013), shall apply to degrees as set out in appendix 1 of this Resolution.

3. This Resolution shall supersede those parts of all previous Resolutions and Ordinances dealing with undergraduate regulations and assessment regulations for degrees set out in appendix 1 and specifically revokes Resolution 18/2011.

4. This Resolution shall come into force with effect from the commencement of the 2012/2013 academic session on 1 August 2012.

For and on behalf of the University Court

K A WALDRON

University Secretary

Appendix 1 to Resolution No. 20/2012

Degrees covered by these Regulations

College of Humanities and Social Science

General Degree of Master of Arts
General Degree of Master of Arts with Honours
Degrees of Master of Arts with Honours
Bachelor of Arts in Humanities and Social Science
Bachelor of Music
Bachelor of Music with Honours
Bachelor of Music Technology
Bachelor of Music Technology Honours
Bachelor of Arts (Health Studies)
Bachelor of Arts (Health Studies) with Honours
Bachelor of Nursing with Honours
Bachelor of Science (Social Work)
Bachelor of Science (Social Work) with Honours
Bachelor of Arts
Bachelor of Arts with Honours
Bachelor of Architecture
Bachelor of Architecture with Honours
Master of Arts (Architecture) with Honours
Master of Arts (Architecture in Creative and Cultural Environments) with Honours
Bachelor of Divinity
Bachelor of Divinity (Honours)
Bachelor of Arts (Divinity)
Master of Arts (Divinity) with Honours
Bachelor of Arts Religious Studies
Master of Arts Religious Studies with Honours
Bachelor of Arts (Community Education)
Bachelor of Arts (Community Education) with Honours
Bachelor of Arts (Education Studies)
Bachelor of Arts (Childhood Practice)
Bachelor of Education (Design and Technology) with Honours
Bachelor of Education (Physical Education) with Honours
Bachelor of Education (Primary Education) with Honours
Bachelor of Science (Applied Sport Science)
Bachelor of Science (Applied Sport Science) with Honours
Bachelor of Science (Environmental Archaeology) with Honours
Bachelor of Science (Sport and Recreation Management)
Bachelor of Science (Sport and Recreation Management) with Honours
Bachelor of Science (Psychology) with Honours
Bachelor of Laws
Bachelor of Laws with Honours
Bachelor of Medical Sciences with Honours

College of Science and Engineering

Bachelor of Science: General Degree, Ordinary degree in a designated discipline and Honours degree
Bachelor of Engineering with Honours
Degrees of Master of Arts with Honours

Master of Chemistry with Honours
Master of Chemical Physics with Honours
Master of Earth Science with Honours
Master of Engineering with Honours
Master of Mathematics with Honours
Master of Physics with Honours
Master of Informatics with Honours
Bachelor of Medical Sciences with Honours

College of Medicine and Veterinary Medicine

Bachelor of Medicine and Bachelor of Surgery
Bachelor of Veterinary Medicine and Surgery
Bachelor of Science (Medical Sciences)
Bachelor of Science (Medical Sciences) with Honours
Bachelor of Science (Biomedical Sciences)
Bachelor of Science (Biomedical Sciences) with Honours
Bachelor of Science (Oral Health Sciences)
Bachelor of Science (Oral Health Sciences) with Honours
Bachelor of Science (Veterinary Science)
Bachelor of Science (Veterinary Science) with Honours
Bachelor of Medical Sciences
Bachelor of Medical Sciences with Honours

UNIVERSITY OF EDINBURGH

Draft Resolution of the University Court No. 21/2012

Postgraduate Degree Programme Regulations

At Edinburgh, the XXX day of XXX, Two thousand and twelve.

WHEREAS the University Court deems it desirable to produce one comprehensive set of Postgraduate Degree Regulations, including Assessment Regulations (2012/2013);

AND WHEREAS the University Court considers it expedient to promulgate this Resolution to set out these Regulations in full to give effect to the essential elements contained within these Regulations including Assessment Regulations (2012/2013):

THEREFORE the University Court, on the recommendation of the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraphs 2 and 8 of Part II of Schedule 2 to that Act, hereby resolves:

1. The Postgraduate Degree Regulations are hereby set out:

Introduction

Regulation Applicability and Hierarchy

1. Regulations for postgraduate awards at the University of Edinburgh apply to all categories of postgraduate study in the University of Edinburgh. The degree programme regulations define the types of award, their key characteristics, and their grounds for award. There are two sets of assessment regulations which apply to postgraduate awards:

- The Postgraduate Research Assessment Regulations, and
- The Taught Assessment Regulations

www.ed.ac.uk/schools-departments/academic-services/policies-regulations/regulations/assessment

Postgraduate students and university staff must comply with the degree regulations and assessment regulations.

Codes of Practice

2. The degree regulations are supported by the following Codes of Practice:

- Code of Practice for Supervisors and Research Students
- Code of Practice for Taught Postgraduate Programmes

<http://www.ed.ac.uk/schools-departments/academic-services/policies-regulations/codes>

These Codes of Practice, although not regulatory, provide essential information for staff and students. In the case of any appeal, a student will be deemed to have read the regulations and the relevant Code of Practice.

SCQF Consistency

3. The University's postgraduate awards and degree programmes are consistent with the Scottish Credit and Qualifications Framework (SCQF, <http://www.scqf.org.uk/>) unless an exemption has been approved by the Curriculum and Student Progression Committee or the award is not included in the SCQF.

Authority Delegated to Colleges

4. In exceptional circumstances a concession to allow relaxation of a specific regulation may be granted by the appropriate Head of College. Where the Head of College does not have authority to award a particular concession then the Curriculum and Student Progression Committee may award the concession. Where the Head of College has the authority to grant permissions and concessions, this authority may be delegated to appropriate nominees in the College or Schools. Students must consult their Schools as to the appropriate point of contact, and must not approach the Head of College direct.

A General Postgraduate Degree Regulations

Late Admission

5. No student will be admitted to a postgraduate degree, diploma or certificate programme or a course that is part of their programme more than two weeks after their given start date without the permission of the Head of College.

Part-time Study

6. Some postgraduate degree programmes may be pursued by part-time study on either a continuous or intermittent basis. Requirements for progression through individual programmes of study are shown in the relevant Degree Programme Table and/or programme handbook. For students registered for part-time study, the College will impose such conditions as it requires ensuring adequate academic contact between the student and the appropriate School within the University.

Registration for University Staff

7. Members of the University staff may only be registered for part-time study.

Conflicting Studies

8. Students at this University must not undertake any concurrent credit bearing studies in this (or in any other) institution other than the one for which they are registered in this University. This regulation may be waived for students studying to obtain a qualification with College permission.

Applicants Awaiting Results

9. Applicants for postgraduate study may be studying at this or another institution just prior to the start of their postgraduate studies. Such applicants must have finished these studies before the start of the programme to which they have an offer.

If successful completion of this prior study is a requirement of admission, applicants are expected to provide evidence of achievement before the start of the programme. Applicants may be admitted pending formal confirmation of success, but if they do not provide appropriate evidence by the end of Week 4 of Semester 1 they will be formally excluded from their studies at the University of Edinburgh.

Credit Award

10. A student who has previously submitted a body of work for one course at the University must not submit the same work to attempt to achieve academic credit through another course.

Similarly, a student cannot achieve an award comprising academic credit that contributed (or will contribute) to another University award.

Transfer to another Programme

11. College may permit programme transfer in appropriate circumstances. When such permission is granted, the student shall, in addition to satisfying the requirements for the degree to which transfer is made, pursue such further courses of study as the College may require.

Residence Regulations and Leave of Absence

12. Students must attend and participate as required in all aspects of their programme of study. This includes being available for assessment, meeting programme directors and supervisors face-to-face, and examination.

Leave of Absence

13. Leave of absence to undertake study away from Edinburgh requires College approval after consideration of an application by the student's supervisor or programme director. The College will define how all absences will be recorded.

Interruptions of Study

14. A student may apply for an Interruption of Study, and it may be authorised by the Head of College if there is a good reason. The student must provide evidence to support their application. Any one period of authorised interruption of study will not exceed one year and the total period of authorised interruption of study will not exceed 100% of the prescribed period of study.

Extensions of Study

15. A student must complete the requirements of the degree programme within the maximum period of study. In exceptional circumstances, a student may apply through the supervisor and school postgraduate director to the college for an extension and it may be authorised by the Head of College if there is good reason. The student must provide evidence to support their application. The College may extend a student's maximum period of registration by up to two years. Extensions beyond this time are not permitted.

Withdrawal and Exclusion

16. Any student may withdraw permanently from his/her programme of study at any point in the year. Students may be excluded for unsatisfactory academic progress. The College will follow the procedure for Withdrawal and Exclusion from Studies:

http://www.docs.sasg.ed.ac.uk/AcademicServices/Guidance/Withdrawal_Exclusion_from_Study.pdf

Request for Reinstatement

17. A student who has been excluded in this way may ask the College to reinstate his/her registration at a later date to permit examination of a completed thesis. The College will decide whether or not a student should be reinstated, and factors such as the passage of time and its implications for the topic of study will be taken into account. The student must provide good reason for the previous failure to complete. If, exceptionally, reinstatement is approved, the student's thesis will be examined in accordance with the PGR Assessment Regulations, subject to payment of a reinstatement and examination fee.

ADDITIONAL REGULATIONS FOR DOCTORAL AND MPhil DEGREES BY RESEARCH

18. Supervision

i. Each student will work under the guidance of at least two supervisors appointed by the College. There are two types of supervisory arrangement: Principal Supervisor plus Assistant Supervisor (or supervisors if more than one), and Principal Supervisor plus Co-Supervisor(s). The former option is the usual arrangement, but the latter option may be chosen when it is clear that the student's work involves interdisciplinary research.

ii. At least one supervisor (the Principal Supervisor) must be appointed prior to matriculation, and the other should be appointed within two months of the programme start date.

iii. The Principal Supervisor is responsible to the School's Postgraduate Director for the duties set out in the Code of Practice for Supervisors and Research Students, and must be:

(a) a salaried member of the academic staff of the University,

(b) a member of staff employed by the University, not being one of the academic staff, who has appropriate expertise in research or

(c) an honorary member of staff

The nomination of individuals in categories (b) or (c) to act as Principal Supervisor for a stated period must be specifically approved by the College. In appropriate cases the other supervisor may not need to be a member of the staff of the University, provided s/he assumes his/her supervisory duties in accordance with university regulations and requirements.

iv. Supervisors must maintain regular contact with their students who, in turn, have a responsibility to make themselves available at times agreed with their supervisors.

v. In certain circumstances when the student is working full time in an Associated Institution the principal supervisor may, if the College Committee with responsibility for postgraduate research matters approves, be a full-time employee of the Associated Institution. In such a case the assistant supervisor(s) must be a University employee. A principal supervisor who is a member of an Associated Institution has exactly the same responsibilities as one working within the university.

vi. Students, including those on leave of absence, must maintain frequent contact with their supervisor as and when required and at least twice in each three month period.

The Prescribed Period of Study

19. The University defines the prescribed period of study for each authorised programme.

- The period of study prescribed for **full-time** PhD students is 36 months (MPhil 24 months) unless the CSPC Committee has approved a different prescribed period of study for the programme.
- The period of study prescribed for **part-time** PhD students is 72 months (MPhil 48 months)) unless the CSPC Committee has approved a different prescribed period of study for the programme.
- **Members of the University staff and students holding a PhD research appointment** under the auspices of the University may be registered for a minimum period of 36 months part-time (MPhil 24 months part time) . Members of staff of Associated Institutions who can devote the whole of their period of study to research and who have regular and adequate involvement in the work of the University School may also be registered for a minimum period of 36 months part-time (MPhil 24 months part time).

20. In the case of a specific recommendation in the first-year progression report , or subsequently, the College may reduce the prescribed period by up to 36 months for part-time PhD students (24 months for part-time MPhil). For full-time students the College may reduce the prescribed period by up to three

months (two months for MPhil). Reductions to the prescribed period are not available to those members of staff who are registered for the minimum period of 36 months (24 months part-time MPhil).

Transfers from Another Institution

21. The research studies of students who apply to transfer from another institution in order to study for the doctoral or MPhil degree of the University of Edinburgh may be counted towards the prescribed period of study for the degree. In such cases the prescribed period of study at the University of Edinburgh must be at least 12 months.

Collaborative Degrees

22. The University of Edinburgh and one or more partner universities can collaboratively offer a research degree programme. This can be awarded jointly. The University maintains a repository of approved collaborative degrees.

GROUNDINGS FOR THE AWARD OF DOCTORAL AND MPHIL RESEARCH DEGREES

PhD by Research, and Taught Professional Doctorates

23. Demonstration by Thesis and Oral Exam

The student must have demonstrated by the presentation of a thesis and/or portfolio and by performance at an oral examination that the student is capable of pursuing original research making a significant contribution to knowledge or understanding in the field of study, relating particular research projects to the general body of knowledge in the field, and presenting the results of the research in a critical and scholarly way.

Thesis Length – Word Count

24. The thesis must not exceed a maximum word count. There is no minimum word count.
- the PhD thesis must not exceed 100,000 words in CHSS and CMVM.
 - the PhD thesis must not exceed 70,000 words in SCE.
 - the thesis for the PhD in Fine Art or the PhD in Design must not exceed 50,000 words.

The word count of the thesis includes the main text, preface material, footnotes and references but does not include material in the appendices or bibliography.

In exceptional circumstances, on the recommendation of the supervisor, permission may be granted by the College to exceed the stated length on the ground that such extension is required for adequate treatment of the thesis topic.

25. Taught professional doctorates will have additional entrance, curriculum and examination requirements. Information is provided in relevant Degree Programme Tables and programme handbooks. Students will be required to successfully complete the taught component, submit the thesis and/or portfolio and fulfil any placement requirements.

26. MPhil by Research

- i. The student must have demonstrated by the presentation of a thesis and/or portfolio and by performance at an oral examination (unless, due to exceptional circumstances, this is waived) that the student is capable of pursuing original research making a significant contribution to knowledge or understanding in the field of study, relating particular research projects to the general body of knowledge in the field, and presenting the results of the research in a critical and scholarly way.

- ii. The thesis must not exceed a maximum word count. There is no minimum word count.
- iii. The thesis must not exceed 60,000 words in CHSS and CMVM. The thesis must not exceed 50,000 words in SCE. The thesis for the MPhil in Fine Art must not exceed 30,000 words.
- iv. In exceptional circumstances, on the recommendation of the supervisor, permission may be granted by the College to exceed the stated length on the ground that such extension is required for adequate treatment of the thesis topic.

27. PhD (by Research Publications)

- i. The portfolio submitted for the PhD by Research Publications must demonstrate a substantial and coherent body of work which would have taken the equivalent of three years of full-time study to accomplish. The portfolio must demonstrate original research and make a significant contribution to knowledge or understanding in the field of study, and is presented in a critical and scholarly way.
- ii. The following may, with the approval of the relevant College, be allowed to apply for the award of the degree of PhD (by Research Publications): Graduates of the University of Edinburgh, staff of the University of Edinburgh (in post at the time of application), or members of staff of one of the University's Associated Institutions.
- iii. Applicants must be either graduates of the University of Edinburgh of at least five years' standing; or members of staff of the University of Edinburgh or of an Associated Institution of not less than three years' standing. Permission to register will not be granted to applicants who are in a position to submit for the PhD by dissertation or who already possess a PhD. Applicants must have been active postgraduate researchers in their field of expertise for a minimum of five years, and they must not submit material published more than ten years prior to the date of registration.

Application

28. Applicants must apply to the relevant College for approval of their candidature. Applicants are required to submit their published work, together with a 500-word abstract and a general critical review of all their submitted work. If College approves registration, it will appoint an adviser to guide the student on the selection, coherence and quality of the portfolio of research work, the abstract and critical review.

Submission

29. The portfolio of published work must consist of either one or two books or at least six refereed journal articles or research papers, which are already in the public domain. The total submission, including the critical review should not exceed 100,000 words.
- The critical review must summarise the aims, objectives, methodology, results and conclusions covered by the work submitted in the portfolio. It must also indicate how the publications form a coherent body of work, what contribution the student has made to this work, and how the work contributes significantly to the expansion of knowledge. The critical review must be at least 10,000 words, but not more than 25,000 words in length.
 - Students must either be the sole author of the portfolio of published work or must be able to demonstrate in the critical review of the submitted work that they have made a major contribution to all of the work that has been produced by more than one author.

GROUNDS FOR THE AWARD OF POSTGRADUATE TAUGHT DEGREES AND MASTERS BY RESEARCH, POSTGRADUATE DIPLOMAS AND POSTGRADUATE CERTIFICATES

30. These regulations may be supplemented by certain programme-specific regulations for degrees offered in collaboration with other institutions.

Period of Study

31. The period of study is defined in the Degree Programme Table. This period may not be reduced, and may be extended only in exceptional circumstances.

Consecutive Registration

32. At the time of application, Masters by Research students may be selected to be registered for consecutive Masters by Research, followed by PhD study within the same School. For some degree programmes, students may be permitted by the College to enter the second year of the PhD programme on successful completion of the Masters by Research.

Assessment

33. Students must comply with any assessment requirements specific to their degree programme and the University's taught or research (as appropriate) assessment regulations for the current academic session: <http://www.ed.ac.uk/schools-departments/academic-services/staff/assessment/assessment-regulations>

Masters by Research degrees only

33. In addition to any requirements as detailed in the relevant Degree Programme Table, the student must have demonstrated by the presentation of a dissertation and/or portfolio that they are capable of pursuing research, or a critical survey of knowledge in the field of study, or both combined with a satisfactory plan for a more advanced research project. The research must demonstrate competence, knowledge and be presented in a critical and scholarly way. The assessed work, including the dissertation must not exceed 30,000 words. The dissertation must be at least 60 credits out of the total 180 SCQF level 11 credits required for the award of the degree.

Recognition of Prior Learning (RPL)

34. The College has power to recognise a student's prior learning. Before approval is granted the College must be satisfied that the learning to be recognised provides an adequate basis for the programme or courses as set out in the appropriate Degree Programme Table. The maximum number of credits that the Colleges will grant RPL is:

- College of Humanities and Social Science: one-third of the total credits for the award, i.e. 20 credits for a certificate; 40 credits for a diploma; and 60 credits for a master's;
- College of Medicine and Veterinary Medicine: a maximum of 60 credits; and
- College of Science and Engineering: a maximum of 40 credits for a master's; no RPL credit are awarded for certificate or diplomas.

Application for Associated Postgraduate Diploma or Masters

35. A candidate who already holds a postgraduate certificate or diploma from the University of Edinburgh may be permitted by the appropriate Head of College to apply for the associated postgraduate diploma or masters degree, provided that not more than five years have elapsed between his or her first graduation and acceptance as a candidate for the subsequent award. Such a candidate will be required to achieve further credit points, as deemed appropriate by the Head of College.

POSTHUMOUS AWARDS

36. Senatus may authorise the conferment of posthumous degrees, diplomas and certificates if proposed by the College and approved by the Curriculum and Student Progression Committee (CSPC). A posthumous award is conferred only where the student was qualified to receive the award at the time of death.

AEGROTAT DEGREES

37. In exceptional circumstances Senatus may authorise the conferment of *aegrotat* degrees to taught postgraduate students. Each such conferment requires a proposal from the relevant College and the Curriculum and Student Progression Committee. An *aegrotat* degree is conferred only where the student was nearly qualified to receive the degree and was unable to complete it due to circumstances beyond his or her control. Before any proposal is referred to Senatus, the College must check that the student is willing to receive the degree *aegrotat*.

B College of Humanities and Social Science Postgraduate Degree Regulations

38. Doctor of Clinical Psychology (DClinPsychol)

- i. **Grounds for Award.** Awarded on successful completion of supervised clinical practice, written examination, assessed essay and research portfolio, including thesis, small-scale research projects and experimental case reports.
- ii. **Mode of Study and Prescribed Period of Study.** The programme can be taken on a full-time or mixed full-time/part-time basis, but the first year is taken on a full-time basis only. The prescribed period of study is 36 months full-time, or between 48 and 60 months on a mixed full-time/part-time basis.
- iii. **Thesis Length.** The thesis must not exceed 30,000 words unless, in exceptional cases, the college has given permission for a longer thesis.

39. Doctor of Psychotherapy (DPsychotherapy)

- i. **Mode of Study and Prescribed Period of Study.** The period of study will be 36 months full-time or 72 months part-time.
- ii. **Placement.** Students will undertake a practice placement, consisting of 300 hours of supervised counselling practice and 60 hours of counselling supervision.
- iii. **Thesis Length** The thesis will be between 35,000 and 45,000 words in length unless in exceptional cases the college has given permission for a longer thesis.
- iv. **Resits.** A student who fails the practice placement may, on the recommendation of the Board of Examiners, be offered a second opportunity to undertake the placement if in the opinion of the Board the failure was attributable to illness, hardship or other relevant circumstances beyond the student's control. A repeat placement is to be completed within a further 24 months.
- v. **Recognition of Prior Learning (RPL).** In the case of formal, certificated study, up to 60 credits of prior learning at SCQF level 11 may be recognised. In the case of non-certificated study, up to 20 credits of prior learning may be recognised.

40. Doctor of Education (EdD)

- i. **Grounds for Award.** The degree of EdD may be awarded on the basis of successful completion of assessed essays, a research project and a thesis.
- ii. **Prescribed Period of Study.** The prescribed period of study is 60 months part-time, but this may be increased to a maximum of 72 months.
- iii. **Thesis Length.** The thesis length should be no more than 75,000 words.

41. PhD in Composition in Music

Grounds for Award. The student must compose to a high creative level as demonstrated both by the student presenting a portfolio of compositions as well as attendance at an oral examination. The portfolio of compositions must comprise original work which:

- i. is suitable for professional performance and worthy of publication;
- ii. shows competence in the ancillary technical skills appropriate to the chosen style;
- iii. contains material which presents a body of work such as could reasonably be achieved on the basis of three years postgraduate study;
- iv. is presentationally satisfactory & intelligible to any musician who might have to use it.

The portfolio of compositions should include at least one major and extended work, except where a shorter submission may be accepted in the case of electronic compositions. If a substantial part of the portfolio was completed before registration for the degree, the student should indicate this and identify the part of the portfolio so completed.

42. Master of Social Work/Diploma in Social Work (MSW/DipSW)

- i. **Grounds for Award.** Students will undertake two practice placements
- ii. **Prescribed Period of Study.** The period of study will be 21 months full-time.
- iii. **Re-Sit Options.** A student who fails a unit of academic assessment other than the dissertation on the first occasion may be allowed one further attempt to complete the assessment requirements. A student who fails a practice placement may, on the recommendation of the Board of Examiners, be offered a second opportunity to undertake the placement.

43. Master of Chinese Studies (MCS)

- i. **Grounds for Award.** Students will be assessed by essays, examinations, a placement report and a dissertation. An oral examination will be required in the Chinese language and may be required for other courses. Provided that the dissertation reaches a postgraduate diploma standard, it may be revised in order to reach the masters level within a further maximum period of three months. Students must work in the University of Edinburgh and in a Chinese institution approved by the Programme Director.
- ii. **Prescribed Period of Study.** The period of study will be between 24 and 36 months, full-time.

44. Master of Teaching

- i. **Mode of Study and Prescribed Period of Study.** The period of study is between 36 and 60 months part time.

- ii. **Recognition of Prior Learning.** The total number of exemptions which may be granted for any student is 90 credits.
- iii. **Grounds for Award.** Students will be assessed directly or synoptically on each course taken. In accordance with the national guidelines, courses are assessed on a pass/fail basis. Students who fail a course will be permitted a further attempt to pass the assessment of that course within three months of the result being made known to the student.

45. Diploma in Educational Leadership and Management/Scottish Qualification for Headship Programme

- i. **Grounds for Award.** Students will be assessed on each course through coursework (assignments, portfolios, reports and oral assessments) and through school visits by SQH field assessors in the case of course 5. In accordance with the national agreement all courses are assessed only on a pass/fail basis. Students who fail a course will be permitted one further attempt to pass the assessment of that course within six weeks of the result being made known to the student.
- ii. **Mode of Study and Prescribed Period of Study.** The programme is available by part-time study only, and the period of study is between 27 and 60 months.

46. Master of Counselling/Diploma in Counselling (MCouns/DipCouns)

- i. **Grounds for Award.** Students will undertake a practice placement, consisting of at least 150 hours of supervised counselling practice and 30 hours of counselling supervision.
- ii. **Mode of Study and Prescribed Period of Study.** The period of study will be 24 months full time or 48 months part-time. Each student must complete the requirements of the degree before the expiry of a further 12 months.
- iii. **Re-Sits.** Students who fail a unit of academic assessment other than the dissertation on the first occasion may be allowed one further attempt to complete the assessment. A student who fails the practice placement may, on the recommendation of the Board of Examiners, be offered a second opportunity to undertake the placement. A repeat placement must be completed within a further 24 months.

47. MSc/Dip in Arab World Studies

- i. **Collaboration.** The 2-year programme is collaborative, between the universities of Edinburgh, Durham and Manchester, and is funded through the ESRC. The first year of study for all students is taken at Edinburgh. An intensive course is taken in an Arab country during the summer, followed by year two at the primary institution.
- ii. **Progression.** Progression from Year 1 to Year 2 will be decided by the University of Edinburgh's Board of Examiners, after completion of the taught element, and before the students commence their summer placement in an Arab country. Decisions on progression to Year 2 will be conditional on each student's satisfactory completion of the period of residence abroad.

48. **MSc in Architectural Facilities Management (Distance Learning)**
- i. **Mode of Study and Prescribed Period of Study.** The programme is collaborative with Heriot Watt, delivered by distance learning over a period of 48 to 84 months. Each institution will provide 60 credits of teaching material in addition to a dissertation of 60 credits.
49. **Postgraduate Certificate in Democracy and Public Policy (Edinburgh Hansard Research Scholars Programme)**
- i. **Mode of Study and Prescribed Period of Study.** The period of study is 13 weeks full time.
 - ii. **Assessment Type.** Students will be assessed on each unit through coursework, examination and a research project linked to a placement. All units are assessed only on a pass/fail basis. Students who fail a unit will be permitted one further attempt to pass the assessment of that unit within six weeks of the result being made known to the student.
50. **MSc in Architectural Project Management**
- i. **Mode of Study and Prescribed Period of Study.** The programme is delivered by distance learning over a period of 48 to 84 months. Each institution will provide 60 credits of teaching material in addition to a dissertation of 60 credits.
51. **MSc in Advanced Sustainable Design (mixed mode)**
- i. **Mode of Study and Prescribed Period of Study.** The programme is delivered on campus and by distance learning over a period of 24 months (mixed mode).
52. **PhD in Creative Music Practice**
- i. **Grounds for Award.** The degree is assessed on a single output that consists of two components:
 - a. A text of not more than 50,000 words;
 - b. A portfolio, performance(s), recording(s), and/or other musical output containing original or interpreted pre-existing works such as composition, installation, sound design, interactive music software etc. Such work would be supported by documentation of the process (e.g. video, photographs, recordings, sketches, studies, web pages) by which it was made.
53. **Master of Architecture**
- i. **Grounds for Award.** The programme will be delivered by a series of advanced level design exercises and projects, engaging with structural, environmental, cultural, theoretical and aesthetic questions. Students must pass the Academic Portfolio for exemption from ARB/RIBA Part 2.
54. **Master of Public Policy (MPP/DipPP), PG Dip and PG Cert of Public Policy**
- i. **Prescribed Period of Study - Masters.** The period of study is 15 months.

- ii. **Prescribed Period of Study – PG Dip and PG Cert.** Students on the PG Certificate in Public Policy may complete this full-time over 4 months, or part-time over a two year period. On successful completion of the PG Certificate, students may transfer to the PG Diploma in Public Policy (within a three year time period). Students on the PG Diploma in Public Policy may complete this full-time over 9 months, or part-time over a four year period. On successful completion of the PG Diploma, students may transfer to the Master Public Policy programme (within a three year time period).
- iii. **Grounds for Award.** Students will complete a compulsory programme of courses in the first and second semesters, comprising eight 15-credit courses, and a three-month placement in a policy organisation on which the Capstone Project/dissertation will be based. Students who decide not to complete the Capstone Project may, at the discretion of the College, be awarded a Postgraduate Diploma in Public Policy.
- iv. **Resits.** Students who fail a unit of academic assessment other than the Capstone Project on the first occasion may be allowed one further attempt to complete the assessment.
- v. **Placement.** A student who fails the placement component of the Capstone Project may, on the recommendation of the Board of Examiners, be offered a second opportunity to undertake the placement. A repeat placement must be completed within a further 12 months.

55. **Diploma in Professional Legal Practice**

- i. **Grounds for Award.** Students must pass all of the core courses and three elective courses to be awarded the Diploma in Professional Legal Practice. Attaining a mark of 50% or more in the assignments, participation and attendance gives exemption from sitting the examination in Company and Commercial, Financial Services and Related Skills and Professional Responsibility.
- ii. **Assessment Type.** Students will be assessed in writing in each course of the curriculum. Students may only present themselves for examination in a course if they have been certified as having given regular attendance and having successfully completed the requisite work of the class in that course. Students may be permitted a single re-sit examination for each course of the curriculum in which they have failed. .

C College of Medicine and Veterinary Medicine Postgraduate Degree Regulations

Professional Masters

Master of Clinical Dentistry (MClin Dent) (Orthodontics/ Paediatric Dentistry/ Prosthodontics/ Oral Surgery)

56. Students will pursue an integrated programme of teaching and taught clinical practice. Work for an independent research dissertation will commence during the first year and will be spread over the duration of the programme. Students may be given the opportunity of one resit attempt for the theoretical and practical components. Students who, after resit examinations, have an aggregate mark of less than 40% for the first year will be excluded. The independent research component will be assessed by examination of the written dissertation and subsequent oral examination. The opportunity to resit does not apply to the dissertation.

Masters in Surgical Sciences (MSc)

57. Students may be given the opportunity of one resit attempt for their final written examinations at the end of their year 2, if they have failed their first attempt. If they pass the resit they will be awarded the Postgraduate Diploma; they will not progress into Year 3 (Masters Year).

Master of Surgery (ChM)(General Surgery/Trauma and Orthopaedics/Urology)

58. The ChM is a two year SCQF level 12 programme worth 120 credits.

Masters in Transfusion, Transplantation and Tissue Banking (MSc)

59. Students may be given the opportunity of one resit attempt for their final written examinations at the end of their year 2, if they have failed their first attempt.

Professional Higher Degrees

60. Doctor of Medicine (MD)

60.1 An applicant for the degree of Doctor of Medicine (MD) must hold a qualification which is registrable with the General Medical Council and must have been engaged since graduation for at least one year either in scientific work bearing directly on the applicant's profession, or in the practice of Medicine or Surgery, and will perform their work in the South East of Scotland, either employed as a member of staff of the University of Edinburgh; or as an NHS employee or as a research worker employed or self-financed or grant-funded, in the University of Edinburgh or an Associated Institution or an NHS establishment.

60.2 The grounds for the award of the degree of MD are:

(a) A student must have demonstrated by the presentation of a thesis and by performance in an oral examination (unless this is exceptionally waived by the College) that the student is capable of pursuing original research in the field of study, relating particular researches to the general body of knowledge in the field, and presenting the results of the researches in a critical and scholarly way.

(b) the thesis must deal with one or more of the subjects of study in the curriculum for the degrees of MB ChB of the University or with subjects arising directly from contemporary medical practice. It must be an original work making a significant contribution to knowledge in or understanding of the field of study; contain material worthy of publication; show a comprehensive knowledge and a critical appreciation of the field of study and related literature; show that the student's observations have been carefully made; show the exercise of independent critical judgment with regard to both the student's work and that of other scholars in the same general field; contain material which presents a unified body of work; be satisfactory in its literary and general presentation, give full and adequate references and have a coherent structure understandable to a scholar in the same general field with regard to intentions, background, methods and conclusions. A concise and informative summary should be included with the thesis.

60.3 (a) To apply an applicant must complete an application form and submit it to the College. This will include:

(i) a suggested topic and description of the work on which the thesis will be based.

(ii) agreement to undertake the responsibilities of primary and secondary supervisor from at least two supervisors who can demonstrate that they have received up-to-date training in postgraduate student supervision and who are either members of the academic staff of the University or honorary members of staff or employees of the University who have appropriate expertise in research. The supervisors also undertake that they will accommodate the candidate and the project within their research facilities, and obtain permission from line managers as required. Supervisors will be located in the University of

Edinburgh or in NHS facilities within the supervision of the NHS Education for Scotland South East Scotland postgraduate deanery.

(iii) details of any bench fees to be charged to the student or their financial sponsor in support of the research.

(iv) references and certificates as detailed in the postgraduate studies application form.

(b) The College Postgraduate Researcher Experience Committee must approve the application before the applicant can be registered as a student. This process should be completed within one month. The applicant will then matriculate and pay tuition fees on commencement of the research project.

60.4 Registration may be full-time or part-time.

(a) Full-time registration will apply to students who will spend >80% full-time equivalent devoted to research related to the MD project. They may be either not in employment for >20% full-time equivalent, or employed in a post in which at least 80% full time equivalent time is available for research related to their MD project rather than for clinical training or practice or other duties. Full time students have a prescribed period of two years in which they will conduct the research (during which annual tuition fees and bench fees, if applicable, are due), with up to two years to write up the thesis thereafter (during which matriculation fees only are due). Thesis submission is permitted at two years at the earliest and within four years.

(b) Part-time registration will apply to students who are in employment unrelated to their MD project for >20% full-time equivalent, or who elect not to devote as much as 80% of their time to the MD research project. Students may opt to study either at 40% full-time equivalent, for which they will have a prescribed period of research of four years, or at 60% equivalent, for which the prescribed period is 3 years. In either case, annual tuition fees will be charge at a rate reduced pro rata from the full-time fees, and students will have two years to write up the thesis at the end of the prescribed period. Thesis submission is permitted at the end of the prescribed period of study at the earliest.

60.5 Progress will be monitored as follows:

(a) Students must be in regular contact with their supervisors. They should meet at least once a month to review progress, for example in research group meetings.

(b) A thesis panel will be convened comprising of the supervisors and at least one other member of academic staff with expertise in the research field but who is not involved directly with the research. The panel will be convened by the primary supervisor and meet within 3 months of the start of the project and at the end of the first year. It may meet during subsequent years if appropriate. On each occasion, a student will submit a written report of progress in the form of a scientific paper containing a future plan, and will give a 10 minute oral presentation. They will have the opportunity for private discussion with the non-supervising panel member. The panel will review not only progress in the research project, but also training received in transferable research skills. Students are expected to attend research training available as appropriate through the Transkills programme of the University, the education programme of the Wellcome Trust Clinical Research Facility and other training opportunities. They should also document their participation in internal and external research seminars and meetings.

(c) A progress report will be prepared annually and submitted through the relevant local route to the College Postgraduate Researcher Experience Committee. Where significant difficulties are identified, the committee may consider alterations to the student's registration.

60.6 A student who is registered for a MD may apply to the College Postgraduate Researcher Experience Committee for conversion to an alternative degree, including abbreviating the prescribed period to 1 year full time equivalent in order to complete a MSc by Research, completing a 2 year full time equivalent prescribed period to complete a MPhil, or extending the prescribed to 3 years full time equivalent in order to complete a PhD. Conversion can only be considered prospectively, in advance of

completing the necessary prescribed period of research, and will incur tuition fees applicable for the new degree and any bench fees as agreed with the supervisors.

60.7 A student must submit a thesis specially written for the degree concerned and must not have submitted it in candidature for any other degree, postgraduate diploma or professional qualification. Material to be included in a thesis may be published before the thesis is submitted. The thesis must record the fact of such publication. The thesis must conform to the Postgraduate Research Degree Assessment Regulations.

60.8 A student must incorporate in the thesis a signed declaration:

(a) that the thesis has been composed by the student, and

(b) either that the work is the student's own or, if the student has been a member of a research group, that the student has made a substantial contribution to the work, such contribution being clearly indicated in the declaration, and

(d) that the student has not submitted the thesis in candidature for any other degree, postgraduate diploma or professional qualification

60.9 Two copies of the thesis, three if you are/have been a member of staff, must be submitted to the College Postgraduate Researcher Experience Committee for examination. Copies of the thesis shall remain the property of the University.

60.10 While author's copyright subsists in the thesis and in the abstract of the thesis, each student will be asked to grant the University the right to publish the abstract of the thesis approved and/or to authorise its publication for any scholarly purpose with proper acknowledgement of authorship.

60.11 The College will appoint one internal examiner, who shall not have been the student's supervisor, and one external examiner of the thesis. External examiners shall be a recognised authority in the subject matter of the thesis and their appointment shall be subject to the approval of the College. In special circumstances more than one internal or external examiner may be appointed.

60.12 The examiners report to the College. They may judge a thesis satisfactory subject to specified modifications. In such circumstances the student will be permitted to graduate only after the College has received a statement, signed by the internal examiner, that the modifications have been made.

60.13 If in the opinion of the examiners the thesis is not of sufficient merit to justify the award of the degree, the student may be given the opportunity to resubmit the thesis provided that effect is given to any recommendation the examiners and the College may make regarding further studies or the format or content of the thesis.

60.14 If the thesis is deemed to be sufficiently worthy the College may award the Degree of MD be conferred either with Distinction or with Distinction and the award of a Gold Medal.

(a) The award of Distinction indicates that the thesis contains original research which is of international significance in the field of study. This will usually be confirmed by publication in the leading international journals in the candidate's discipline. In addition, the presentation of the thesis and its defence at the oral examination will be of high quality, at least in the top decile of those submitting MD theses.

(b) The award of a Gold Medal indicates that the thesis contains original research which is of ground breaking significance in the field of study. This will usually be confirmed by publication in leading generalist international journals. In addition, the presentation of the thesis and its defence at the oral examination will be of high quality, at least in the top decile of those submitting MD theses.

61. Doctor of Dental Surgery (DDS)

61.1 A applicant for the degree of Doctor of Dental Surgery (DDS) must:

- (i) be a graduate in Dental Surgery (BDS) of the University of Edinburgh and must have been engaged since graduation for at least one year either in scientific work bearing directly on the student's profession, or in the practice of Dentistry, or
- (ii) hold a qualification which is registrable with the General Dental Council and must have been engaged since graduation for at least one year either in scientific work bearing directly on the student's profession, or in the practice of Dentistry, and will perform their work in the South East of Scotland, either employed as a member of staff of the University of Edinburgh; or as an NHS employee or as a research worker employed or self-financed or grant-funded, in the University of Edinburgh, or an Associated Institution or an NHS establishment.

61.2 A thesis for the degree of DDS must deal with one or more subjects of study in the curriculum for the degree of BDS of the University or with subjects arising directly from contemporary dental practice.

61.3 The grounds for the award of the DDS are:

(a) the student must have demonstrated by the presentation of a thesis and by performance in an oral examination (unless this is exceptionally waived by the College) that the student is capable of pursuing original research in the field of study, relating particular researches to the general body of knowledge in the field, and presenting the results of the researches in a critical and scholarly way. An oral examination will be mandatory for students who do not hold the degree BDS, as appropriate, of the University of Edinburgh.

(b) the thesis must be an original work making a significant contribution to knowledge in or understanding of the field of study; contain material worthy of publication; show a comprehensive knowledge and a critical appreciation of the field of study and related literature; show that the student's observations have been carefully made; show the exercise of independent critical judgment with regard to both the student's work and that of other scholars in the same general field; contain material which presents a unified body of work; be satisfactory in its literary and general presentation, give full and adequate references and have a coherent structure understandable to a scholar in the same general field with regard to intentions, background, methods and conclusions. A concise and informative summary should be included with the thesis.

61.4 (a) An intending student shall submit to the College a suggested topic and description of the work on which the thesis will be based. Students who do not hold the degree BDS, from the University of Edinburgh should submit applications through their identified adviser(s), and receive the approval of the head of an appropriate University School. The College Postgraduate Researcher Experience Committee must approve the thesis proposal before the application is accepted. Where the research proposal involves work on human subjects or animals, proof must be submitted that study protocols have been approved by the appropriate ethical committee or regulatory body. Additionally, in the case of studies involving laboratory animals, the student should confirm that animal care, welfare and procedures will be conducted under an appropriate Home Office Licence. Students will become matriculated students. A fee to cover registration and matriculation is payable when the suggested topic and description of the work have been accepted by the College. A registration fee is paid upon initial registration, an annual advisory fee is paid at the beginning of each year of study (Including the first year) and an examination fee is paid at the time of thesis submission. An annual progress report will be submitted jointly by the adviser, the student and the Head of School or his/her nominee. After formal acceptance of the suggested topic and description normally a period of at least 18 months must elapse before a student may submit a thesis for the degree concerned. It is expected that the thesis would normally be submitted within a period of five years following registration. Late submissions will require approval from the College Postgraduate Researcher Experience Committee.

(b) A period of two years must have elapsed since obtaining the primary degrees or registrable qualifications referred to in clause 61.1 above before an intending student may submit a suggested topic and description.

61.5 When the College accepts a student, an adviser, who will be a member of the academic staff of the University or an honorary member of staff, will normally be appointed from whom the prospective student should seek advice. Students performing work outwith the University would normally have project approval from and an additional adviser in the host institute. These appointments will be subject to ratification by the College Postgraduate Researcher Experience Committee.

61.6 A student must submit a thesis specially written for the degree concerned and must not have submitted it in candidature for any other degree, postgraduate diploma or professional qualification. Material to be included in a thesis may be published before the thesis is submitted. The thesis must record the fact of such publication. The thesis must conform to the Postgraduate Research Degree Assessment Regulations.

61.7 A student must incorporate in the thesis a signed declaration:

(a) that the thesis has been composed by the student, and

(b) either that the work is the student's own or, if the student has been a member of a research group, that the student has made a substantial contribution to the work, such contribution being clearly indicated in the declaration, and

(c) that a student who does not hold the degree of BDS, as appropriate, from the University of Edinburgh has undertaken a substantial proportion of the work (greater than 75%) contributing to the thesis while in post in South-East Scotland *, and

(d) that the student has not submitted the thesis in candidature for any other degree, postgraduate diploma or professional qualification

61.8 Two copies of the thesis, three if you are/have been a member of staff, must be submitted to the College Postgraduate Researcher Experience Committee for examination. Copies of the thesis shall remain the property of the University.

61.9 While author's copyright subsists in the thesis and in the abstract of the thesis, each student will be asked to grant the University the right to publish the abstract of the thesis approved and/or to authorise its publication for any scholarly purpose with proper acknowledgement of authorship.

61.10 The College shall appoint one internal examiner, who shall not have been the student's adviser, and one external examiner of the thesis. External examiners shall be of recognised eminence in the subject matter of the thesis and their appointment shall be subject to the approval of the College. In special circumstances more than one internal or external examiner may be appointed.

61.11 The examiners report to the College They may judge a thesis satisfactory subject to specified modifications. In such circumstances the student will be permitted to graduate only after the College has received a statement, signed by the internal examiner, that the modifications have been made.

61.12 If in the opinion of the examiners the thesis is not of sufficient merit to justify the award of the degree, the student may be given the opportunity to resubmit the thesis provided that effect is given to any recommendation the examiners and the College may make regarding further studies or the format or content of the thesis.

61.13 If the thesis is deemed to be sufficiently worthy the College may award the Degree of DDS be conferred either with Distinction or with Distinction and the award of a Gold Medal.

** For this purpose, South-East Scotland is the areas covered by the Borders, Fife and Lothian Health Boards.*

62 Doctor of Veterinary Medicine and Surgery (DVM&S)

62.1 An applicant for the degree of Doctor of Veterinary Medicine and Surgery (DVM&S) must normally be:

(a) a graduate of the University of Edinburgh of at least two years' standing, or a graduate of another approved University of at least three years' standing who has served as a member of staff (ordinary or honorary) of the University of Edinburgh for a continuous period of not less than two years, and

(b) registered to practise Veterinary Medicine within the United Kingdom, and

(c) have been engaged since graduation for at least one year either in scientific work bearing directly upon the student's profession or in the practice of Veterinary Medicine and Surgery.

62.2 A thesis for the degree of DVM&S must deal with one or more of the subjects of study in the curriculum for the degree of BVM&S of the University or with subjects arising directly from contemporary veterinary practice.

62.3 The grounds for the award of the degree of DVM&S are:

(a) the student must have demonstrated by the presentation of a thesis and by performance in an oral examination (unless this is exceptionally waived by College) that the student is capable of pursuing original research in the field of study relating particular researches to the general body of knowledge in the field, and presenting the results of the researches in a critical and scholarly way.

(b) the thesis must be an original work making a significant contribution to knowledge in or understanding of the field of study; contain material worthy of publication; show a comprehensive knowledge and a critical appreciation of the field of study and related literature; show that the student's observations have been carefully made; show the exercise of independent critical judgement with regard to both the student's work and that of other scholars in the same general field; contain material which presents a unified body of work; be satisfactory in its literary and general presentation, give full and adequate references and have a coherent structure understandable to a scholar in the same general field with regard to intentions, background, methods and conclusions.

62.4 An intending student shall submit to the College a suggested topic and description of the work on which the thesis will be based. A registration fee is paid upon initial registration, an annual advisory fee is paid at the beginning of each year of study (including the first year) and an examination fee is paid at the time of thesis submission. The student must also matriculate. After formal acceptance of the suggested topic and description, a period of normally at least 18 months must elapse before the thesis is submitted.

62.5 When the College accepts a student, an adviser, who will be a member of the academic staff or an honorary member of staff, will normally be appointed from whom the prospective student should seek advice.

62.6 A student must submit a thesis specially written for the degree and must not have submitted it in candidature for any other degree, postgraduate diploma or professional qualification. Material to be included in a thesis may be published before the thesis is submitted. The thesis must record the fact of such publication or take the form of bound publications with appropriate introduction and discussion. The thesis must conform to the Postgraduate Research Degree Assessment Regulations.

62.7 A student must incorporate in the thesis a signed declaration:

(a) that the thesis has been composed by the student and

(b) either that the work is the student's own or, if the student has been a member of a research group, that the student has made a substantial contribution to the work, such contribution being clearly indicated in the declaration, and

(c) that the student has not submitted the thesis in candidature for any other degree, postgraduate diploma or professional qualification.

62.8 Two copies of the thesis, three if you are/have been a member of staff, must be submitted to the College Postgraduate Researcher Experience Committee for examination. Copies of the thesis shall remain the property of the University.

While author's copyright subsists in the thesis and in the abstract of the thesis, each student will be asked to grant the University the right to publish the abstract of the thesis approved and/or to authorise its publication for any scholarly purpose with proper acknowledgement of authorship.

62.9 The College shall appoint one internal examiner, who shall not have been the student's adviser, and one external examiner of the thesis. External examiners shall be of recognised eminence in the subject matter of the thesis and their appointment shall be subject to the approval of the University Court. In special circumstances more than one internal or external examiner may be appointed.

62.10 The examiners report to the College. They may judge a thesis satisfactory subject to specified modifications. In such circumstances the student will be permitted to graduate only after the College has received a statement, signed by the internal examiner, that the modifications have been made.

62.11 If in the opinion of the examiners the thesis is not of sufficient merit to justify the award of the degree, the student may be given the opportunity to resubmit the thesis provided that effect is given to any recommendation the examiners and the College may make regarding further studies or the format or content of the thesis.

D College of Science and Engineering Postgraduate Degree Regulations

Doctor of Engineering (EngD)

63. The EngD is a four-year doctoral level research and training programme worth 720 credits which leads to the award of an EngD degree. The EngD degree is equivalent in academic standing to a conventional PhD but is achieved through research which is much more industrially focused and which is designed to produce graduates who have a sound understanding of the business implications of industrial research activity.

Doctor of Engineering (EngD) in System Level Integration

64. The Doctor of Engineering (EngD) in System Level Integration is offered jointly by the University of Edinburgh, the University of Glasgow, Heriot-Watt University and the University of Strathclyde, and the awards are made jointly in the names of all four universities. The University of Glasgow is currently the Administering University and programme regulations will be found under the regulations of that University: <http://www.gla.ac.uk/>

Doctor of Engineering (EngD) in Offshore Renewable Engineering

65. The Doctor of Engineering (EngD) in Offshore Renewable Engineering is offered jointly by the University of Edinburgh, the University of Strathclyde and the University of Exeter and the awards are made jointly in the names of all three universities. The University of Edinburgh is currently the Administering University and programme regulations and further information about the programme is available on the website of the Industrial Doctoral centre for Offshore Renewable Energy (IDCORE)

<http://www.idcore.ac.uk/>

2. These Regulations, including Assessment Regulation (2012/2013), shall apply to degrees as set out in appendix 1 of this Resolution.

3. This Resolution shall supersede those parts of all previous Resolutions and Ordinances dealing with postgraduate regulations for degrees set out in appendix 1 and specifically revokes Resolution 17/2011.

4. This Resolution shall come into force with effect from the commencement of the 2012/2013 academic session on 1 August 2012.

For and on behalf of the University Court

K A WALDRON

University Secretary

Degrees covered by these Regulations

Research Degrees

Doctor of Philosophy (PhD)
Master of Philosophy (MPhil)
MSc by Research (MScR)
Master of Research (MRes)

College of Humanities and Social Science

Master of Letters (MLitt)
Doctor of Education (EdD)
Master of Theology by Research (MTh by Research)
Master of Laws by Research (LLM by Research)

College of Medicine and Veterinary Medicine

Master of Medical Sciences by Research (MMedSci by Research)
Master of Veterinary Sciences by Research (MVetSci by Research)

College of Science and Engineering

Doctor of Engineering (EngD)

Higher Professional Degrees

College of Medicine and Veterinary Medicine

Doctor of Medicine (MD)
Doctor of Dental Surgery (DDS)
Doctor of Veterinary Medicine and Surgery (DVM&S)

Postgraduate degrees (by coursework)

Master of Science (MSc)

College of Humanities and Social Science

Doctor of Clinical Psychology (DClinPsychol)
Doctor of Psychotherapy and Counselling (DPsychotherapy)
Master of Architecture (MArch)
Master of Art (eca) MA (eca)
Master of Fine Art (MFA)
Masters in Architecture (MArch)
Master of Architecture (Studies) (MArch (Studies))
Master of Landscape Architecture (MLA)
Master of Architecture (Design) (MArch (Design))
Master of Architecture (Digital Media) (MArch (Digital Media))
Master of Architecture (Digital Media Studies) (MArch (Digital Media Studies))
Master of Business Administration (MBA)
Master of Counselling (MCouns)
Master of Chinese Studies (MCS)
Master of Education (MEd)
Master of Laws (LLM)
Master of Music (MMus)
Master of Public Policy (MPP)
Master of Social Work (MSW)
Master of Teaching (MTeach)

Master of Theology (MTh)

College of Medicine and Veterinary Medicine

Master of Clinical Dentistry (MClinDent)

Master of Public Health (MPH)

Master of Surgery (General Surgery) (ChM (General Surgery))

Master of Surgery (Trauma and Orthopaedics) (ChM (Trauma and Orthopaedics))

Master of Surgery (Urology) (ChM (Urology))

Master of Veterinary Sciences (MVetSci)

UNIVERSITY OF EDINBURGH

Draft Resolution of the University Court No. 22/2012

Higher Degree Regulations

At Edinburgh, the XXX day of XXX, Two thousand and twelve.

WHEREAS the University Court deems it desirable to produce one comprehensive set of Higher Degree Regulations (2012/2013);

AND WHEREAS the University Court considers it expedient to promulgate this Resolution to set out these Regulations in full to give effect to the essential elements contained within these Regulations:

THEREFORE the University Court, on the recommendation of the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraphs 2 and 8 of Part II of Schedule 2 to that Act, hereby resolves:

1. The Higher Degree Regulations are hereby set out:

General Regulations DD, DLitt, LLD, DSc, DMus

1 Criteria

Higher degrees are awarded to eligible candidates who demonstrate through the submission of published work that they have made a significant and original contribution to knowledge over a sustained period. Work submitted for a higher degree is expected to be of international calibre. For the degree of DMus, published or unpublished compositions may be submitted.

2 Eligibility

The following are eligible to apply for candidature for a higher degree:

(a) graduates of The University of Edinburgh of not less than seven years standing; candidates for the degree of DMus must hold an MMus awarded by the University of Edinburgh

(b) graduates of other universities of not less than seven years standing who are members of staff of the University of Edinburgh of not less than four years standing; candidates for the DMus must hold an MMus.

In addition to an undergraduate degree, candidates should normally hold a PhD from the University of Edinburgh or another university.

3 Application process

Application for a higher degree is a two stage process. Firstly, candidates must apply to the Higher Degrees Committee of the relevant College for approval of their candidature.

The application form for approval of candidature, together with guidance on the form of submission, may be obtained from the Secretary to the relevant College Higher Degrees Committee. The application fee must be submitted with the completed application form.

If candidature is approved, the candidate will be invited to move to the second stage of the application process and lodge a submission (three copies) for examination. There is no oral examination for a higher degree.

4 Form of submission

Candidates must submit published work in support of their candidature. Since the contents of a submission are liable to vary considerably depending on the academic discipline, the format of submissions is not prescribed at University level and candidates should seek guidance from the relevant College. Books should be submitted as published. Submissions comprising published papers and similar items should, as far as is practicable in the circumstances, be bound together in a manner that conforms to the Regulatory Standards for the Format and Binding of Theses and Portfolios of Musical Compositions (see the Research Degree Assessment Regulations). If binding is not practicable or if books are being submitted, submitted works should be contained in a box file or similar conforming to these standards. The submission must be accompanied by (a) a typed list of its contents, (b) the declaration required in Regulation 1.4 and (c) six copies of an abstract (see the Research Degree Assessment Regulations). The list of contents, declaration and text of the abstract must be incorporated at the beginning of each copy of a bound or boxed submission. Candidates for the DMus may submit work as musicologists or composers.

5 Certification

All works submitted must be accompanied by a statement, signed by the candidate:

- giving full details of any other degree or postgraduate diploma for which the works, in whole or in part, have been submitted to the University of Edinburgh or elsewhere. Work submitted for another degree will not, in itself, contribute to the award but may be submitted if subsequent work has developed from it and its inclusion assists the examiners in their overall assessment.
- certifying, for each piece of work submitted, either that the work is the candidate's own or, if he/she has been a member of a research group, the precise contribution made by the candidate to each of the works in terms of initiating or leading the research and in writing up the material.

6 Lodging and retention of submissions

Submissions (three copies) must be lodged within 12 months of the approval of candidature. Two copies of successful submissions will remain the property of the University and one will be returned to the candidate.

7 Examination fee

At the time of lodging a submission, the examination fee must be paid. Candidates must also matriculate, but no matriculation fee is charged.

8 Appointment of examiners

The University shall, in the case of each submission, appoint one internal, and, with the agreement of the University Court, two external examiners. Each examiner should be of recognised eminence in the subject of the submission. Names of examiners are confidential to the University.

9 Award of the degree

The degree shall be awarded only if the relevant committee of Senatus, on the recommendation of the examiners, is satisfied that the submission represents both an original and a substantial contribution to advancement of knowledge of the subject and that it constitutes work of high

distinction in scholarship and/or research in respect of qualities such as erudition, insight, imagination, innovation and critical balance, such that it has established or confirmed the candidate as a recognised authority in the relevant field. In the case of candidates submitting compositions for the DMus, the degree shall be awarded only if the relevant committee of Senatus, on the recommendation of the examiners, is satisfied that the submission constitutes both an original and a substantial contribution of high distinction.

10 Re-application

A candidate whose application for candidature has not been approved or whose submission has not been recommended for the award of the degree may not reapply for the degree within five years of his/her first candidature unless the period is specially reduced by the relevant committee of Senatus on the recommendation of the examiners.

11 Graduation

Successful candidates will be awarded the degree at the next available graduation ceremony. Candidates who are not already graduates of the University of Edinburgh must also pay the Registration Fee for membership of the General Council before graduating. Candidates for higher degrees may, at the discretion of the University, be permitted to graduate *in absentia*.

2. These Regulations shall apply to degrees as set out in appendix 1 of this Resolution.
3. This Resolution shall supersede those parts of all previous Resolutions and Ordinances dealing with regulations for higher degrees set out in appendix 1 and specifically sections within Resolution 17/2011.
4. This Resolution shall come into force with effect from the commencement of the 2012/2013 academic session on 1 August 2012.

For and on behalf of the University Court

K A WALDRON

University Secretary

Degrees covered by these Regulations

Higher Degrees

Doctor of Science (DSc)

College of Humanities and Social Science

Doctor of Divinity (DD)

Doctor of Laws (LLD)

Doctor of Letters (DLitt)

Doctor of Music (DMus)

The University of Edinburgh

The University Court

14 May 2012

Resolutions

No observations having been received from the General Council, the Senatus Academicus or any other body or person having an interest and in accordance with the agreed arrangements for the creation and renaming of Chairs, the Court is invited to approve the following Resolutions:

Resolution No. 4/2012:	Foundation of a Personal Chair of e-Science
Resolution No. 5/2012:	Foundation of a Personal Chair of Database Systems
Resolution No. 6/2012:	Foundation of a Personal Chair of Computation Theory
Resolution No. 7/2012:	Foundation of a Chair of Economics

Dr Katherine Novosel
May 2012

UNIVERSITY OF EDINBURGH

Resolution of the University Court No. 4/2012

Foundation of a Personal Chair of e-Science

At Edinburgh, the Fourteenth day of May, Two thousand and twelve.

WHEREAS the University Court deems it expedient to found a Personal Chair of e-Science:

THEREFORE the University Court, after consultation with the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraph 5 of Part II of Schedule 2 to the Act, hereby resolves:

1. There shall be a Personal Chair of e-Science in the University of Edinburgh, which shall be established solely for the period of tenure of the Professor appointed, and on the Professor ceasing to hold office, the provisions of this Resolution shall cease to have effect, and the said Personal Chair shall thereupon cease to exist.
2. The patronage of the Personal Chair shall be vested in and exercised by the University Court of the University of Edinburgh.
3. Notwithstanding the personal nature of this Chair, the terms and conditions of appointment and tenure which by Statute, Ordinance and otherwise apply to other Chairs in the University shall be deemed to apply in like manner to the Personal Chair of e-Science together with all other rights, privileges and duties attaching to the office of Professor.
4. This Resolution shall come into force with effect from 1 December Two thousand and eleven.

For and on behalf of the University Court

K A WALDRON

University Secretary

UNIVERSITY OF EDINBURGH

Resolution of the University Court No. 5/2012

Foundation of a Personal Chair of Database Systems

At Edinburgh, the Fourteenth day of May, Two thousand and twelve.

WHEREAS the University Court deems it expedient to found a Personal Chair of Database Systems:

THEREFORE the University Court, after consultation with the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraph 5 of Part II of Schedule 2 to the Act, hereby resolves:

1. There shall be a Personal Chair of Database Systems in the University of Edinburgh, which shall be established solely for the period of tenure of the Professor appointed, and on the Professor ceasing to hold office, the provisions of this Resolution shall cease to have effect, and the said Personal Chair shall thereupon cease to exist.
2. The patronage of the Personal Chair shall be vested in and exercised by the University Court of the University of Edinburgh.
3. Notwithstanding the personal nature of this Chair, the terms and conditions of appointment and tenure which by Statute, Ordinance and otherwise apply to other Chairs in the University shall be deemed to apply in like manner to the Personal Chair of Database Systems together with all other rights, privileges and duties attaching to the office of Professor.
4. This Resolution shall come into force with effect from 1 November Two thousand and eleven.

For and on behalf of the University Court

K A WALDRON

University Secretary

UNIVERSITY OF EDINBURGH

Resolution of the University Court No. 6/2012

Foundation of a Personal Chair of Computation Theory

At Edinburgh, the Fourteenth day of May, Two thousand and twelve.

WHEREAS the University Court deems it expedient to found a Personal Chair of Computation Theory:

THEREFORE the University Court, after consultation with the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraph 5 of Part II of Schedule 2 to the Act, hereby resolves:

1. There shall be a Personal Chair of Computation Theory in the University of Edinburgh, which shall be established solely for the period of tenure of the Professor appointed, and on the Professor ceasing to hold office, the provisions of this Resolution shall cease to have effect, and the said Personal Chair shall thereupon cease to exist.
2. The patronage of the Personal Chair shall be vested in and exercised by the University Court of the University of Edinburgh.
3. Notwithstanding the personal nature of this Chair, the terms and conditions of appointment and tenure which by Statute, Ordinance and otherwise apply to other Chairs in the University shall be deemed to apply in like manner to the Personal Chair of Computation Theory together with all other rights, privileges and duties attaching to the office of Professor.
4. This Resolution shall come into force with effect from 1 October Two thousand and eleven.

For and on behalf of the University Court

K A WALDRON

University Secretary

UNIVERSITY OF EDINBURGH

Resolution of the University Court No. 7/2012

Foundation of a Chair of Economics

At Edinburgh, the Fourteenth day of May, Two thousand and twelve.

WHEREAS the University Court deems it expedient to found a Chair of Economics:

THEREFORE the University Court, after consultation with the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act, 1966, with special reference to paragraph 5 of Part II of Schedule 2 to that Act, hereby resolves:

1. There shall be a Chair of Economics in the University of Edinburgh.
2. The patronage of the Chair shall be vested in and exercised by the University Court of the University of Edinburgh.
3. This Resolution shall come into force with effect from 1 March Two thousand and twelve.

For and on behalf of the University Court

K A WALDRON

University Secretary

The University of Edinburgh

The University Court

14 May 2012

**Quality Report: Report of the 2011 Enhancement-Led Institutional Review;
Scottish Funding Council Update on Quality Arrangements**

Brief description of the paper, including a statement of relevance to the University's strategic plans and priorities

Court was notified at its meeting of 20 February 2012 that the University had achieved a successful outcome in the Quality Assurance Agency's Enhancement-Led Institutional Review (ELIR) of November/December 2011. The review report has now been published: this paper provides a link to the report and an outline of next steps.

Action requested

For information.

Resource implications

Does the paper have resource implications? Not stated in the paper, however responding to ELIR recommendations on performance and feedback may have resource implications.

Risk Assessment

Does the paper include a risk analysis? No, however failure to implement the recommendations in a timely fashion constitutes a risk.

Equality and Diversity

Does the paper have equality and diversity implications? No.

Originator of the paper

Dr Tina Harrison, Assistant Principal Academic Standards and Quality Assurance
8 May 2012

Freedom of information

Can this paper be included in open business? Yes.

The University of Edinburgh

The University Court - 14 May 2012

Report of the 2011 Enhancement-Led Institutional Review

In the Quality Assurance Agency's Enhancement-Led Institutional Review (ELIR) of December 2011 the University received a judgement of 'confidence', with no caveats. This is the highest level of outcome possible. The Quality Assurance Agency (QAA) explains the judgement thus, "The judgement means that there can be confidence in the institution's current, and likely future, management of the academic standards of its awards and the quality of the student learning experience it provides. This is a positive judgement, indicating that the University has secure arrangements for managing academic standards and for assuring and enhancing the quality of the student experience. The alternative to a confidence judgement is for the QAA ELIR team to express either limited confidence or no confidence in the issues cited above."

The review report has now been published on the QAA website at <http://www.qaa.ac.uk/InstitutionReports/Reports/Pages/ELIR-University-Edinburgh-11.aspx>

A summary and full report are available at this link.

Assistant Principal Tina Harrison is currently developing an action plan to take forward the report's recommendations.

A report on the review outcome and action plan will be made to the meeting of Senate of 6 June 2012.

Scottish Funding Council Update on Quality Arrangements

The Scottish Funding Council has updated its quality arrangements for the next cycle beginning August 2012. Court's attention is drawn to changes relevant to institutions' governing bodies:

- The annual statement of assurance from institutions' governing bodies (presented to the September meeting of Court) will from 2012 include a statement of assurance to be signed by the chair of the governing body. The statement will be to the effect that the governing body has reviewed the institution's arrangements for the management of quality and the scope and impact of these and is satisfied that the quality of the learning of provision continues to meet or exceed the requirements set by the SFC.
- Institutions will also be required to comment on their use of performance and student feedback data and on actions for improvement, where appropriate. Court will be informed about current mechanisms for review of this data and about initiatives to derive greater value from it.

Tina Harrison

Assistant Principal Academic Standards and Quality Assurance

8 May 2012

The University of Edinburgh

The University Court

14 May 2012

Banking Matters

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

This paper seeks approval from Court on two issues relating to banking matters.

Action requested

As detailed in the paper.

Resource implications

Does the paper have resource implications? Yes

As detailed in the paper

Risk assessment

Does the paper include a risk assessment? No

Equality and diversity

Does the paper have equality and diversity implications? No

Freedom of information

Can this paper be included in open business? No

Originator of the paper

Terry Fox, Assistant Director of Finance
2 May 2012

Donations and Legacies to be notified

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

A report on legacies and donations received by the University of Edinburgh Development Trust from 11 February 2012 – 13 April 2012, prepared for the Meeting of Court on 14 May 2012.

Action requested

For information

Resource implications

None

Risk Assessment

n/a

Originator of the paper

Mr Alex Hyde-Parker
Deputy Director of Development / Acting Secretary, University of Edinburgh Development Trust

Freedom of information

Can this paper be included in open business?

No, its disclosure would substantially prejudice the effective conduct of public affairs.

The University of Edinburgh

The University Court

14 May 2012

D7

Purchase of research consumables

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

Court is asked to approve the purchase of research consumables.

Action requested

Court is asked to approve the purchase of research consumables and licenses

Freedom of information

Can this paper be included in open business? No

Its disclosure would substantially prejudice a programme of research

Its disclosure would substantially prejudice the commercial interests of any person or organisation

Originator of the paper

Professor David Porteous
Medical Genetics Section
Centre for Molecular Medicine

The University of Edinburgh

The University Court

14 May 2012

**Schedule of Dates of Meetings in Session 2012-2013
of the University Court**

The following dates and venues have been set for meetings of Court during the academic session 2012-2013:

11 September 2012	Old College
5 November 2012	Royal Observatory
10 December 2012	Teviot House
18 February 2013	Old College
13 May 2013	Centre of Sports and Exercise
1 July 2013	SCRM & Chancellor's Building

In addition, there will be two Court Seminars held during the 2012/2013 session:

11 September 2012	Old College
25 March 2013	Peffermill Playing Fields

There will also be an induction event held on 3 September 2012 in Old College.

Dr Katherine Novosel
Head of Court Services
May 2012